CS109B Notes for Lecture 4/26/95

#### From RE's to Automata

- 1. NFA's with  $\epsilon$ -transitions. ( $\epsilon$ -NFA's).
- 2. RE's  $\rightarrow \epsilon$ -NFA's.
- 3.  $\epsilon$ -NFA's  $\rightarrow$  NFA's.

#### $\epsilon$ -NFA's

Allow transition on  $\epsilon$ .

•  $\epsilon$  is invisible as far as the string labeling the part from start state to accepting state is concerned.

**Example:**  $a^*b \mid b^*a$  is accepted by the following  $\epsilon$ -NFA.



### **RE** to $\epsilon$ -NFA

Produce a special kind of  $\epsilon$ -NFA:

- One start, one accepting state.
- At most 2 arcs out of any state.

Construction of  $\epsilon$ -NFA from RE is a structural induction on the expression tree for the RE.

• See pp. 574–5, FCS for pictures.

**Basis:** Operand:  $\emptyset$ ,  $\epsilon$ , or a symbol a.

Induction: Cases for |, concatenation, \*.

• Inductive hypothesis S(R): the  $\epsilon$ -NFA constructed for RE R has paths from start to accepting state labeled by all and only the strings in L(R).

### $\epsilon$ -NFA to NFA

First step is to determine for all states s and twhether there is a path labeled  $\epsilon$  from s to t.

- Special case of all-pairs shortest path: give  $\epsilon$ -arc a weight 0 and other arcs or no arc a weight  $\infty$ .
  - $\Box$  Ask: is the distance from s to t 0?

**Example:** Here is the above  $\epsilon$ -NFA with non- $\epsilon$  arcs removed.



Here are the reaching pairs:

	1	2	3	4	5	6	
1	1	1	0	1	0	0	
2	0	1	0	0	0	0	
3	0	0	1	0	0	1	
4	0	0	0	1	0	0	
5	0	0	0	0	1	1	
6	0	0	0	0	0	1	

• Important state = start state or a state with a non- $\epsilon$  transition in.

**Example:** For our running example, all but 6 are important.

• Eliminate  $\epsilon$ -transitions by:

- $\Box \quad \text{If there is an } \epsilon \text{-path from important state} \\ s \text{ to } t \text{ and a transition on } t \text{ to } r \text{ on symbol} \\ a \text{ (therefore } r \text{ is surely important), then} \\ \text{add a transition from } s \text{ to } r \text{ on } a. \\ \end{cases}$
- $\Box \quad \text{Important state } s \text{ is accepting iff there is} \\ \text{a (possibly empty) } \epsilon \text{-path from } s \text{ to an} \\ \text{accepting state.} \end{cases}$

### Example:



## FA to RE

Key idea: *pivot* on a state (like Floyd's algorithm).

- Picture, p. 583, FCS.
- Initially, label of a FA arc is treated as a RE.
- If we pivot on state u, consider a predecessor state s and a successor state t.



• New RE for going from s to t is  $R \mid SU^*T$ . Why?

## **Reducing the Automaton**

If there is one accepting state, and it is not the start state, eliminate all other states.

• The result is a 2-state automaton with RE's on 4 arcs. Fig. 10.43, p. 586, FCS, gives the automaton and the resulting RE.

Some additional details:

- If start = accepting, you get a 1-state automaton as in Fig. 10.44.
- If there is more than 1 accepting state, repeat process for each and take the union of the resulting RE's.

# Example:



Resulting RE:  $(00)^* 01 (11 \mid 10(00)^* 01)^*$ .