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LOGIC FOR COMPUTABLE FUNCTIONS DESCRIPTION OF A MACHINE IMPLEMENTATION

BY

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1. INTRODUCTION

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LCF is based on a logic of Dana Scott, proposed by him at Oxford in the Fall of 1969, for reasoning about computable functions, In Section 2 we present this logic, essentially as Scott himself presented it, but using the typed X-calculus instead of the typed combinators S end K, since the former is more familiar to computer scientists and is in any case easier to work with. Section 3 then describes the machine implementation of a proof-checker for the logic, we refer to both the logic and the implementation as the typed logic for computable functions, or typed LCF, or Just LCF,

The logic presupposes no special domain of computation (e.g. lists Or integers), However, particular domains can be axiomatized in it; Scott gave en axiomatization for arithmetic and we suggest a partial axiomatization for lists In Section 3, But many interesting results - e.g. equivalence of recursion equation schemata - are provable in the pure logic Without any proper (non-logical) axioms.

It is hoped that a **potential** user of the system **can**, with the **heip** of **the example** of Section **3.1** and with Section **4**, get onto the machine without reading the whole of this document,

Further discussion of LCF and examples of its applications can pe found in the following papers:

Milner,R., "Implementation and applications of Scott's logic for computable functions", Proc. ACM Conference on Proving Assertions about Programs, New Mexico State University, Las Cruces, New Mexico, Jan 6-7,1972,

Weyhrauch, R. and Milner, "Program semantics and correctness in a mechanized logic", Proc. USA-Japan Computer Conference, Tokyo, Oct 1972 (to appear).

Milner and Weyhrauch, "Proving compiler correctness in a mechanized logic", Machine Intelligence 7, ed. D. Michie, Edinburgh University Press 1972 (to appear).

Newey, M., "Axioms and Theorems for integers, lists and finite sets in LCF", forthcoming AI Memo,, Computer Science Dept., Stanford University, 1972.

we give no further references here; they may be found in the above papers.

2, THE LOGIC LUF

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At bottom "tr" and "ind" are types, Further if β_1 and β_2 are types then ($\beta_1 \cdot \beta_2$) is a type, We adopt the convention that \rightarrow associates to the right and frequently omit parentheses; thus we write $\beta_1 \cdot \beta_2 \cdot \beta_3$ for ($\beta_1 \cdot (\beta_2 \cdot \beta_3)$). With each term of the logic there is an unambiguously associated type. For a term t we write

t:P

to mean that the type associated with t is β . Throughout we use β , β 1, β 2, ..., as metavariables for types.

Terms (metavariables s,t,s1,t1,...)

The following are terms:

Identifiers(metavariables X,Y) - sequences of Upper or lower letters and digits. We assume that the type of each identifier is uniquely determined in some manner.

Applications - s(t) : 32, where $s:\beta_1 \rightarrow \beta_2$ and $t:\beta_1$.

Conditionals - (s+t1,t2): B, where sitr and t1,t2:B,

 λ -expressions - [λ x,s]: β 1- β 2, where x: β 1 and s: β 2.

α-expressions - [αx,s] : β , Where x,s:β,

This strict syntax is relaxed in the machine implementation (see Section 3) to allow a saving of Parentheses and brackets,

The intended interpretation of the α -expression [α f.s] is the minimal fixed-point of the function or functional denoted by [λ f.s]. For example:

 $[\alpha f, [\lambda x, (p(x) \rightarrow f(a(x)), b(x))]]$

denotes the function defined recursively as follows:

 $f(x) \leq if p(x)$ then f(a(x)) else b(x),

Constants

The identifiers TT,FF denote truthvalues true and false. UU denotes the totally undefined object of any type: in particular, the undefined truthvalue.

Atomic hell-formed formulas (awffs)

The follow/n3 is an awff:

s 🕻 t

where s and t are of the same type. The intended interpretation of $s \le t$ is, roughly, that t is at least a swell defined as, and consistent with s.

Well-formed formulae (wffs) (metavariables P,Q,P1,Q1,...)

Wffs are sets of zero Or more awffs, written as lists with separating commas. They are interpreted as conjunctions. We use

S 3 🕇

to abbreviate sct, tcs,

Sentences

-Sentences are implications between wffs, written

P |- Q

or, if P is empty, just

1- Q

Procfs

A proof is a sequence of sentences, each being derived from ZerO or more preceding sentences by a rule Of inference, Inference rules

Let us write P(s/x) or t(s/x) for the result of substituting 8 for all free occurrences of x in P or t, after first changing bound variables in P or t so that no variable free in sbecomes bound by the substitution, We have not stated coorditions on the types of identifiers and terms with each rule; any consistent assignment of types is admissible,

- RULES *** INCL () a subset of P) **P** I- 3 P I- Q1 P I- 32 C0.1J P 1- 01002 P1 I- P2 P2 I- "3 CUT P1 |- P3 Ç RULES APPL s1 = s2 |- t(s1) = t(s2)REFL P I - s c s P |- s1 c s2 P |- s2 c s3 TRANS P l = s1 = s3UU RULES *** MIN1 1- UU c s MIN2 I = UU(s) = UU

***** CONDITIONAL RULES ***** ***** CONDT $I - TT \rightarrow s, t \equiv s$ CONDU I- UU - sit E UU CONDE l- FF → s,t Ξ t **** **** RULES **** P l- s c t ABSTR ---- (x not free in P) $P \quad [- \quad [\lambda_{X,s}] \subset [\lambda_{X,t}]$ CONV $|= [\lambda x, s](t) \equiv s(t/x)$ ETACONV ------ (x and y distinct) I = [λx, y(x)] Ξ y ***** TRUTH RULE ***** P, SHIT I- Q P, SHUU I- Q P, SHFF I- Q CASES P | - Q • ***** a RULES **** FI XP $|- [\alpha x, s] \equiv s\{[\alpha x, s]/x\}$ P = Q(UU/x) P, Q = Q(t/x) (x not free in P) INDUCT ----- $P = Q([\alpha x, t]/x)$

3. THE MACHINE IMPLEMENTATION OF LCF

We now describe the machine version of the logic of Section 2, and how to use it interactively on the machine,

The user has available four groups of commands;

- Rules of Inference to generate new sentences or steps from zero Or more previous steps, (Section 3.2)
- Goal Oriented Commands to specify and attack goals and subgoals, (Section 3.3)
- Miscellaneous mainly to do with displaying or filing parts or all of the proof so far, and the goals, (Section 3.4)
- Commands for axioms and theorems to enable the user to create axiom systems, to prove and file theorems in these systems, and later to recall and instantiate those theorems, (Section 3,7)

Before describing the commands in detail, and the syntax of Wffs, terms, etc., it may be helpful to see an example,

3.1 An Example

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Let us introduce the machine version of LCF by a simple example which, although short, exhibits many of the features. It is a proof Of a version of recursion induction, which states that if F is defined recursively and G (another function) satisfies F's recursive definition thenFSG. In other wards, we prove that F is the minimal fixed point of its defining equation.

After Initial ization (see Section 4), the system types 5 asterisks as a Signal to the user to Start a proof. In facts 5 asterisks are always the Signal for the user to continue his proof. Thus, in what follows the user's contribution may be distinguished by being preceded by *****, We explain each user and machine contribution on the right of a vertical line,

****ASSUME FE[¤F,FUN F], GEFUN G;

IThe user assumes a wff (a sequence of atomic wffs separated by commas, where each atomic wff has Ξ Or icinfixed between two terms). Every user icommancends with a semicolon, Detailed syntax is igiven later • but note in particular that application imay be represented (sometimes) by juxtaposition as in i "FUN G" to save parentheses, Note also that F occurs both if ree and bound (by \cong) without confusion.

1 FE[¤F.FUN(F)] (1) 2 GEFUN(G) (2)

The machine separates the assumption into two sentences0 giving each a stepnumber. Every sentence which the lmachine generates will have a stepnumber, and will consist lof a wff followed by a list of stepnumbers of assumptions lon which the wff depends, A sentence

I n p S

lwhere Pis a wff and S a list of stepnumbers is the lanalogue I n LCF of the sentence

Q | - P

iof pure LCF, where Q Is the conjunction of assumptions idesignated by S, Each of steps 1 and 2 above thus irepresents an instance of P i-P, which is a special icase of the inclusion rule of Section 2,

****GOAL FeG;

IThe user states his goal, but does not attack it yet, IHe mightlist several goals before attacking any of them; IIn each case the machine will simple give a goal number:

NEWGOAL #1 FCG

IGoal numbers are distinguished from stepnumbers by #,

####TRY 1 INDUCT 1;

IThe user wants to attack GOAL1 using the tactic of I induction on Step 1 - which is (as it must be)a Irecursive definition - i.e. FE[@F.FUN(F)].

NEWGOAL #1#1 UUCG

NEWGOAL #1#2 FUN(F1)cG ASSUME F1cG

IThe machine Says that the Induction base and step Imust be established. For the step it picks an arbitrary Identifier not used previously (actually for mnemonic reasons lit picks something which only differs from the instantiated Ibound variable in its numerical suffix).

iWe now have two goals generated by the machine, at la lower level. The user need not • but probably will • ichoose to prove #1 by proving #1#1 and #1#2.

****TRY 1;

User chooses to attack #1#1 first, He need (and must) only refer to the goal by the last integer in its goal number. This time he doesn't state a tactic - he knows how to prove it himself - so the machine merely steps down

la level in the goal tree-and waits. Actual ly, he could luse the SIMPL tactic (see Section 3.3), since this ltactic notices Instances of MIN1 and would therefore lsave the user his next two commands,

****MIN1 G;

IThe user notes that the subgoal UU<G can be proved
Iby the first minimality rule (see Section 2), so calls
Iit with the appropriate term • G • as parameter,</pre>

3 UUCG

C

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The machine obediently generates the proper instance of iminimality. Notice that this sentence depends on no lassumptions.

****QED;

The user **Can say** QED to tell the machine that he has proved exactly the goal under attack,

GOAL **#1#1** PROVED, BACK **UP TO** COAL **#1** REMAINING SUBGOALS:

2 FUN(F1) C ASSUME F1 C

IThe maching agrees, Now in general It will baok up Ithe goal tree until it finds a goal some Of Whosesubgoals Thave not been proved, It will remain at that level and list These subgoals for the user to try.

****TRY 21

Again, user gives no tactic.

4 F1=G (4)

|The machine makes the **assumption** Of the **goal** for him (note (that **goal #1#1** had no **assumption**), and wafts.

*****APPL FUN,4;

I"Apply FUN to Step 4" - an Instance of application (see ISection 2),

5 FUN(F1)=FUN(G) (4)

****SYM 2;

ITurn step 2 around ready for an application of transitivity, 6 FUN(G)EG (2)

#####TRANS 5,6;

INote that the parameters of inference rules are always Istepnumbers or terms, separated by commas,

7 FUN(F1) = G (2 4)

****@ED;

GOAL #1#2 PROVED, BACK UP TO GOAL #1. NO MORE SUBGOALS

8 FCG (21)

I----The machine hasn't fin/shed Yet, but not8 that it
Imakes an explicit step to represent the proof of #1 (so
Ithat the whole sequence of steps, with all the goal
Istructure stripped away, shall be a formal proof). Note
laiso the assumptions of step 8,

GOAL #1 PROVED, PACK UP TO TOP LEVEL. NO MORE SUBGOALS,

I(There might have been more goals listed at toplevel, Isince the user can list many before attacking any),

*****SHOW PROOF RECIND;

The user decides to keep his proof on a file called RECIND. The version kept is shown below, Notice that not everyithing which the user typed reappears; in particular, the istatement of a goal is not reproduced, only its trial.

IIf the user wanted instead to display his proof (at any ipoint, not just at the end) he would just type "SHOW PROOF;"

PROOF

1 $F \equiv [\alpha F, FUN(F)]$ (1) ---- ASSUME. 2 $G \equiv FUN(G)$ (2) ---- ASSUME, 1 TRY #1 F C G INDUCT 1. 1 TRY #1#1 Luu C G 1 3 UU C G ---- MIN1 G.

3.2 Rules of Inference

Let us assume for the moment the syntax classes (wff), (awff) (atcric wff), (term). Details of these are in Section 3.6, but for now look only at the conventions given for syntax definitions at the start of that Section.

We need for the present

<stepname> ::= <integer>| ----- I , <identifier> ?((+|-) <integer>)

<termname> ::= ?(:Gl:<stepname>) ?(:<integer>) (:L|:R)

<range> ::= <stepname> 1 ?<stepname> : ?<stepname>

In a <stepname> "-" means "the last step", "--" means the last step but one, etc., and for example ".DD-1" means the step preceding that label led DD, See Section 3.4, the LABEL command, for how to label steps,

A (termname) may appear anywhere that a term can appear for example as a subterm of a term - and frequently saves typing lons formulae. We explain termnames by a few examples (suppose the last step was numbered 15):

:15:1:R :-:1:R :15:R -:R :R))))	all designate the term which Occurs as right hand side in the first (awif) of Step 15.
:,DD:2;L		designates the ins of the second (awff) of the steplabelled DD,
:G:2:R)	designate the rhs of the second <awff></awff> ot the current goal -THISGOAL(See Section 3.3)

The <range>s 12, 20:30, :40, 50: denote respectively the single step 12, the steps 20 to 30 inclusively, the stepsup to and including 40, and the steps from 50 onwards.

We now **list** the rules, with some examples, Note that In **the** machine **implementation** there **is** no type-checking **whatsoever**. We rely on the **user** to use types consistently,

ASSUME (wff);

Each <awff> A1 in the <wff> 1s given a new stepnumber ni, and the steps

> n1 A1(n1) n2 A2(n2)

are generated. Each one

is a tautology, since a step P(n) means Q i P, where Qis the <awff> at step number n. Thus the purpose of ASSUME Is only to introduce references for <awff>s. See Section 3.1 for examples of ASSUME,

SASSUME <wff>;

Like ASSUME, but every (awff) of the (wff) is henceforward treated as a simplification rule (see Section 3.5).

INCL <stepname>, <integer>;

Picks out an **(awff)**, Example:

15 ZEF(X,Y), AEB, [\X.X](Y)=14 (13 7)
1*****INCL 15,2;
16 AEB (13 7)

CONJ ___,<range>,___;

Forms conjunction of all steps In the <range>s. Example:

CUT <stepname>, <stepname>;

If the steps referred to are P(m1,m2,..) and Q(n1,n2,..) respectively, where the m's and n's are stepnumbers, and if every <awff> referenced by the n's occurs as an <awff> In P, then the step Q(m1,m2,..) is generated. Example:

13 17 FEG (7) 112 PCQ (7) -115 FEG, GCH (14 2) |*****CUT 15,12; 116 PCQ (14 2) HALF <stepname>; Replaces "E" b y "c" In the first <awff>, and throws the rest away, Example: 16 $X \equiv G(X)$, $Y \equiv H(Y)$ (1 3) 1*****HALF 61 17- X=G(X) (1 3) ********** SYM **<stepname>;** Interchanges the terms in the first <awff> (provided "E" occurs) and throws the rest away. Example (continuing the previous): 1*****SYM 6; 18 G(X) EX (1 3) TRANS <stepname>, <stepname>; Looks at the first (awff) in each (wff). If these are s1(E|c)s2, $s2(\Xi|c)s3$ respectively, then s1cs3 or $s1\Xi s3$ is generated, the assumptions being "unioned", Example; 112 XEY(Z), PCQ (11 4) | *W-W-113 Y(Z) CY(X) (4 9 B) 1****TRANS 12,13; 114 XCY(X) (11 4 9 8) ******* APPL {<stepname>, ___,<term>,___ !<term>,<stepname>}; In the first case, applies both sides of the first (awff) of <stepname> to the <term>s in sequence, In the second case, applies the <term> to both sides of the first (awff) of (stepname), Examples: 110 XEY(Z), PCQ (9 4) 1*****APPL F, 10;

14 $|11 - F(X) \equiv F(Y(Z)) - (9 - 4)$ 12% FE[λX , X], PcQ (11 4) 1*****AFPL 22,:-:2:R; $123 = F(Q) \equiv [\lambda X \cdot X](Q)$ (11 4) ABSTR <stepname>. ____.<identifier>,____; Does $\lambda\text{-abstraction } o$ n 1st <awff >. The identifiers must not occur free in any Of the assumptions Of the step. Example(continuing the previous): 1*****ABSTR 22,F; 124 $[\lambda F, F] \equiv [\lambda F, [\lambda X, X]]$ (11 4) These are not present as inference rules, since it is) CASES less tedious to use their goal oriented versions (see) INDUCTION) Section 3.3). CONV (<stepname>l<term>); DOBS all X-conversions in the <term> or <stepname>. Example: ----1 1 4 $B \equiv [\lambda X, X(X)] [\lambda X, X(Y)]$ | * * * * * CONV -; 115 BEY(Y) Remark: the term in 14 violates the type structure, but the system does not **chack** this, ETACONV <term>; Eta-converts the <term>, provided it has the form [$\lambda x.s(x)$], with x not free in the term S. Example (remember that F(X,Y) abbreviates (F(X))(Y)); |*****ETACONV [λY, F(X,Y)]; 149 [\Y, F(X,Y)]=F(X) EQUIV <stepname>,<stepname>; Looks at the first (awff) In each (wff), If these are s1cs2, s2cs1 respectively, then s1Es2 is generated. Example: ----116 XCY, PEQ (12) 1 ----

15 117 YEX, HEG (1 2) 1*****EQUIV 16,17; 118 XEY (12 1 2) REFL1 <term>; Gives tEt where t is designated by the mterm, Example: 1****REFL X(XX); 1 1 9 $X(XX) \equiv X(XX)$ **************************** NFL2 <term>; Like REFL1, but gives tct. MIN1 <term>; Gives UUct. Example: see Section 3,1 MIN2 <term>; Gives UU(t) EUU, Example (continuing the previous): ******* 1*****MIN2 :L; 120 UU(X(XX)) \equiv UU CONDT <term>; Checks that the $\langle term \rangle$ t has form TT+s1,s2 and if so generates tEs1, Example: *** ---- $121 F(X) \equiv TT \rightarrow X, F(G(Y, X))$ (12) 1****CONDT :R; 122 TT+X, $F(G(Y,X)) \equiv X$ CONDF <term>; Checks that the <term> t has form FF+s1,s2 and if so generates tEs2, CONDU <term>; Checks that the mterm t has form UU+s1,s2 and If so generates t ΞJU , FIXP <stepname>; Checks that the first <awff> is a recursive definition e.g. sE[@G.t], and generates sEt(s/G), Example:

i

```
|-----
|23 F Ξ [αG,H([λF,G(F)])]
|*****F1XP 23;
124 F Ξ H ([λF1,F(F1)])
```

SUBST <stepname> ?(OCC ___, <integer>,___) IN {<stepname>|<term>);
Let the first <stepname> have tl \$ t2 as its first <awff>, where
\$ stands for E in case (1), and for E or f in case (2).

Case(i), If there is an <stepname> following "IN", then t2 is substituted for all occurrences designated by the <integer>list (or all occurrences, if no list) of the the <wff>. 1

Case (1!), If there is a <term> s following"IN" then s \$ s' is generated, where s' is the result of substituting t2 for the appropriate occurrences (as in Case (i)) of t1 in s'.

Note that for **t1** to occur in a term **S** any **occurrence** of a free **variable in t1 must** not be bound In **S**. Also see the caution on occurrence numbers **in** Section **3.6**.

Example:

.

SIMPL (<stepname>l<term>) ?___((BY|WO) ___,<range>,___)'___ ;
In the case of an <stepname>, its <wff> is simplified
(see Section 3.5) using a s simplification rules those in
SIMPSET together with those designated by the <range>-list
following each "BY", and without those designated by the
<range>-list following each "WO". A <term> t is similarly
simplified, to tl say, and t = t1 is generated, The SIMPSET
remains unchanged,

Example, continuing the previous (Section 3.5 gives more detail):

| |29 [λP,P→F(X),Y](TT) ⊂ UU(X) (1Ø) |****SIMPL = BY 26; |30 x⊂UU (10 5 1)

This happens because CONV, CONDT, MIN2 are among the simplification rules.

3.3 Goal-Oriented Commands

L

Anything provable with the goal Oriented commands is provable in PURE LCF, but most woofs would then be tedious (that's why we only describe the INDUCTION and CASES rules in goal-oriented form). Experience shows that with the goal-oriented commands the user has only to type a Small fraction Of what he would otherwise have to type.

The user may generate a subgoal structure of arbitrary depth. This structure is represented by three entities GOALTREE, GQALLIST and THISGOAL. THISGOAL is always the goal currently under trial, all its ancestors in GOALTREE are (indirectly) also under trial; the subgoals of THISGOAL are listed in GOALLIST. Each goal has a goal number - e.g. #1#2#3 - which indicates its ancestors and (by the number of Parts) its level in the tree, Here is a sample goal structure:



FI GURE 1

Each goal has a status (not shown ln diagram) which is either "UNDER TRIAL" (only THISGOAL and its ancestors have this status), or "NOT TRIED" or "PROVED".

The USEr has five goal oriented commands available: we give first their syntax, then detailed descriptions,

GOAL <wff> ?(ASSUME|SASSUME) <wff>; . .

TRY ?<integer> ?<tactic> ;

QED ?<stepname> ;

ABANDON ;

SCRATCH <i nt eger > ;

<tactic> ::= CONJ | CASES <term> | ABSTR | SIMPL ?___((BY|WO) ___, <stepname>, ___) ___ | SUBST <stepname> ?(OCC ___, <integer>, ___) | INDUCT <stepname> ?(OCC ___, <integer>, ___) | USE <identifier> ?___, <instantiation>, ___

<instantiation> ::= <identifier> + <term>

The GOAL command.

.

GOAL specifies a new goal to be added to GOALLIST, Its effect on the goal structure of Figure 1 is as follows (Figure 2):





(Notice that the new goal Isn't yet under trial)

A goal may or may not be given assumptions. The only difference between ASSUME AND SASSUME is that In the latter case, when the goal is tried, the assumption wif will be added to the set of

The only purpose of the system's reply is to allot the goal a number,

The TRY command,

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EL.

TRY specifies one of the goals of GOALLIST to be tried (if the <integer> is absent, the last goal specified is assumed), If the user gives no tactic, the new GOALLIST will be null (Figure 3),



But if the user gives a tactic, the system will set up a new GOALLIST for him. Whose number of members depends on the tactic. Tactics are described later in this section, but look at the Example following QED's description below to see what happens without them,

The QED command,

first <tv> is not UU. QED will accept a contradiction, since it proves anything. The effect of QED is to restore Figure 3 to Figure 2, with the difference that the status of #2#1#2#3 will become "PRCVED"; further, if THISGOAL (of figure 2) was TRIED with a tactic, and all subgoals generated by this tactic are now "PROVED", the system will back further up the tree. This may continue for many steps; eventually the system will stop and tell the User which goal has now become THISGOAL, and which members of its GOALLIST remain to be proved.

The following example continues the one above, and illustrates TRY and QED:

_____ (****TRY 2; 113 F \equiv G (13) 114 x \equiv Y (14)) The system makes the assumptions. 1*****APPL 13,X;) 115 F(X) = G(X) (13)) 1****APPL G,14;) 116 G(X) = G(Y) (14)) The user **Proves** the goal, |*****TRANS 15,16 117 F(X)EG(Y) (13 14)) 1) |****OED: IGOAL #2 PROVED, RACK UP TO TOP LEVEL,) The system IREMAINING SUBGCALS:) backs up. 1 FCG *******

The **ABANDON** command,

ABANDON indicates that the user doesn't like his current trial of THISGOAL. The effect will be to restore Figure 3 to Figure 2 - but the status of #2#1#2#3 becomes again "NOT TRIED". Thus no further backing up can happen.

The SCRATCH command,

SCRATCH removes the indicated goal from GOALLIST, However, the system will refuse to scratch goals generated by tactics.

Tactics ,

We now describe the tactics available. There are six basic ones, each based on a particular inference rule; in addition the user may employ any THEOREM (see section 3.7) as a tactic.

For CONJ, the system generates a separate subgoal for each <awff> in the goal.

For CASES, if s is the $\langle term \rangle$ and P is the $\langle wff \rangle$ of the goal, the system generates the 3 subgoals P SASSUME sETT, P SASSUME sEUU, P SASSUME sEFF.

For ABSTR, the system instantiates in each (awff) in the goal for as many bound variables as are bound by the outermost λ In its left-hard side, thus generating a single new subgoal. New variables are chosen which - are not free in the proof so far. For example, if the goal is [χX Y.F(Y,X)] = [χZ .G(Z,Z)], and X is already free in the Proof, the new goal will be F(Y,X1) = G(X1,X1,Y).

For SIMPL, the system generates a new subgoal by simplifying the goal as far as possible, using a modified SIMPSET (if any "BY" or "WO" is present) as explained in Section 3.2 under the SIMPL rule. The modified SIMPSET remains in force, but the old one will be reinstated when the new goal is either proved or ABANDONed (see section 3.5). If the system discovers that all <awff>s of the new subgoal are identically true -i.e. they are ail of the form s<s or s = s or UUcs - it initiates the backing UP process described under QED above instead of generating the subgoal. If some but not all of the subgoal,

For SUBST, the system generates a new subgoal by substituting the rhs of (stepname) for the lhs of (stepname) in the goai - either throughout, Or at the designated occurrences when an (integer)-list is given, (see the caution on Occurrence numbers in section 3.6).

For INDUCT, let P be the $\langle wff \rangle$ of the goal. The system checks that $\langle stepname \rangle$ has the form $s \equiv [@y,t] - i,e$, that It is a recursive definition. In that case, it generates two new subgoals. The first is

P(UU/s)

and the second Is

P(t(y'/y)/s) ASSUME P(y'/s)

where y' Is a variable not previously used free, and where the substitution in P takes place at appropriate occurrences, exactly as for SUBST above,

For USE, the <identifier> is a THEOREM name. The system will instantiate the THEOREM by matching its consequent to the goal, taking Into account any instantiations supplied explicitly by the user, and will generate the appropriate instance of its antecedent as a newgoal. See section 3.7 for a fuller discussion of THEOREMS.

We now give examples of each tactic (except CONJ, which is easy to understand). Some are realistically 'combined.

→ | * * * * * GOAL P→X, P→Y, E E P→X, Z; INEWGOAL #1 P+X, P+Y, Z = P+X, Z → I ** * * TRY CASES P; INEWGOAL #1#1 PAX, PAY, Z = PAX, Z SASSUME PETT INEWGOAL #1#2 P-X, P-Y, Z = P-X, Z SASSUME PEUU INEWGOAL #1#3 $P \rightarrow X$, $P \rightarrow Y$, $\Xi = P \rightarrow X$, Z SASSUME PEFF → | # # # # # TRY 1 SIMPL; 125 PETT (25)) Here SIMPL reduces goal 126 $P \rightarrow X, P \rightarrow Y, Z \equiv P \rightarrow X, Z$ (25)) #1#1 to identity, using IGOAL #1#1 PROVED. BACK UP TO GOAL #1) 25 and also an instance IREMAINING SUBGOALS:) of CCNDT as simp. rules, 12 P+ - - - - - - - - - - - - - - Z SASSUME P = UU 13 P→ - - - - - Z SASSUME P = FF 1 → | * * * * * TRY 2 SIMPL; i(etc.)

The example looks long, but the users contribution (shown by "...") is short. (The system keeps reminding the user of what subgoals remain.) The "hard copy" proof produced by the SHOW command will be comparatively short.

The next example illustrates the remaining tactics, and also application to a particular subject matter - lists. The first four steps are the result O f SASSUME by the User. Note also the abbreviations $\forall X Y$, etc., a s explained in section 3.6.

11 YX Y. HD(CONS(X,Y)) E X (1)
12 YX Y, TL(CONS(X,Y)) E Y (2)
13 YX Y.NULL(CONS(X,Y)) E FF (3)
14 NULL(UU) E UU (4)
4 | + + + + + + ASSUME AP E _ ~ F.XX Y.NULL X+Y,CONS(HD X,F(TL X,Y));
15 AP E [~ F.[XX Y.NULL(X)+Y,CONS(HD(X),F(TL(X),Y))]] (5)

- |++++FIXP 5; 16 AP $\equiv E\lambda X Y, NULL(X) \rightarrow Y, CONS(HD(X), AP(TL(X), Y))$ (5) + 1 + + + + + GOAL VX. AP(X, AP(Y, Z)) = AP(AP(X, Y), Z); NENGOAL #1 $\forall X.AP(X,AP(Y,Z)) \equiv AP(AP(X,Y),Z)$ - | + * * * * TRY INDUCT 5 OCC 1,4; INEWGOAL #1#1 VX.UU(X,AP(Y,Z)) = AP(UU(X,Y),Z) INEWGOAL #1#2 VX.EXX Y.NULL(X) +Y, CONS(HD(X), F1(TL(X), Y))] (X, AP(Y,Z)) IE AP(EXX Y.NULL(X) +Y, CONS(HD(X), F1(TL(X), Y)) J(X, Y), Z) $|ASSUME \forall X,F1(X,AP(Y,Z)) \equiv AP(F1(X,Y),Z)$ → |++++TRY 1 ABSTR; INEWGOAL #1#1#1 $UU(X, AP(Y, Z)) \in AP(UU(X, Y), Z$ + | * * * * * TRY SUBST 6 OCC 2; INEWGOAL #1#1#1#1 UU(X,AP(Y,Z)) = $[\lambda X Y, NULL(X) \rightarrow Y, CONS(HD(X), AP(TL(X), Y))](UU(X, Y), Z)$ → I****TRY SIMPL; 17 UU(X, AP(Y, Z)) = EXX Y, NULL(X) +Y, CONS(HD(X), AP(TL(X), Y))] (UU(X,Y),Z)(4) IGOAL #1#1#1 PROVED, BACKUP TO GOAL #1#1#1. NO MORE SUBGOALS 18 UU(X,AP(Y,Z)) = AP(UU(X,Y),Z) (4 5) IGOAL #1#1#1 PROVE& BACKUP TO GOAL #1#1. NO MORE SUBGOALS $19 \forall X, UU(X, AP(Y, Z)) \equiv AP(UU(X, Y), Z) (4 5)$ IGOAL #1#1 PROVED, BACKUP TO GOAL #1. IREMAINING SUBGOALS: 12 (Herefollowsarestatemento fgoal#1#2) (etc.)

Note that simplification (Using the built-in simplification rules CONV and MIN2 and CONDU as well as Step 4) reduced goal #1#1#1#1 to identity, and the system generated step 7 on these grounds. In backing up, it generates an explicit final step, identical to the goal statement in its wff, to the up the proof of each goal proved.

Note also that the user's contribution (Indicated by ".) is short in the above example.

Finally, here is an example of a THEOREM used as a tactic (read section 3,7 first:), It also shows how the user can make many of the inference rules into tactics - even using the same names, Of course, THEOREMS used as tactics will at least as often be substantial results previously proved and filed (consider the frequent Occurrence in informal Proofs of "to prove xxx it is sufficient, by Theorem AAA, to Prove YYY and ZZZ").

 $\langle \rangle$

First, to make a THEOREN out of the TRANS rule:

```
|*****ASSUME XEY, YEZ;
|51 XEY (51)
|52 YEZ (52)
|
|*****TRANS --,-;
|53 XEZ (51 52)
|
|*****THEOREM TRANS: 53
|THEOREM TRANS: XEZ ASSUME XEY,YEZ;
```

Now to use TRANS as a tactic:

~....

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|*****GOAL F(A,X)EG(X); INEWGOAL #1 F(A,X)EG(X); ITRY USE TRANS Y+H(X,A); INEWCOAL #1#1 F(A,X)EH(X,A); INEWGOAL #1#2 H(X,A)EG(X)

Note that the X,Y,Z of the THEOREM are metavariables which do not conflict with the variables of the proof,

3,4 Miscellaneous Commands

The SIMPSET command,

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SIMPSET ___((+|-) ___,<range>,___ } ___;

The steps designated are adoed to or removed from the set of simplification rules (See section 3.5),

The SHOW command.

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SHOW

(AXIOMS ?{ (--=, <identifier>, __) } | THEOREMS ?{ (___, <identifier>, __) } | GOALTREE ?__, <range>, ___ | THISGOAL | GOALLIST | PROOF ?__, <range>, ___ | STEPS ?__, <range>, ___ | SIMPSET ?__, <range>, ___ | LABELS ?__, <range>, ___ } ?{ <identifier> ?<integer> };

If the final <identifier> is present the material is sent to the file named, otherwise it is displayed on the Console. The final <integer> if present denotes the line-width.

If a <range>- Or <identifier>-list is not present, the whole is shown, The <ldentifier>-list for AXIOMS or THEOREMS denotes the particular axioms or theorems required, The <range>-list for GOALTREE refers to levels (2 is top level), and for PROOF, STEPS, SIMPSET and LABELS refers to steonumbers, Thus

SHOW STEPS :3, 8, 20:23, 30, 55:;

will show steps 1,2,3,8,20,21,22,23,30 and 55 onwards of the proof, with no goal structure; SHOW PRCOF will show steps with goal structure, so is normally used with a single (range), or a whole proof. Only the stepnumbers bound to LABELS are shown.

The FETCH command,

FETCH ____, <identifier>,___;

The *identifier*-list names files. Axioms and theorems on those files will be broughtin. In fact any admissible commands on these files will be treated exactly as if typed at the console - e.e. ASSUMptions may be made - so the user may prepare such files other than by SHOWING axioms or theorems. Much of what a user types is dependent on the stepnumbers that the system is generating, so the use of files prepared offline islimited. However, this difficulty is somewhat alleviated by the LABEL command (seebelow). The files are expected t o be simply sequences of commands, so several files may easily be concatenated without editing.

The CANCEL command,

CANCEL ?<stepname> ;

This steps back through the <stepname> given, otherwise Just the last step. Cancelled steps are removed from the SIMPSET. Goal trials encountered will be ABANDONED. It is not possible to cancel back Past any step which proves a goal.

The INFIX command,

INFIX ____,<identifier>,____;

Inis causes all the **<identifier>s** named to be treated exactly as **<infix>es** (see **section 3.6).** In **particular**, the **user** must henceforward "!" them in non-infix contexts,

The PREFIX command,

PREFIX ____; <identifier>,____;

This revokes the infix status of al l<identifier>s named, Standard <infix>es are immune from this, however.

The LABEL command,

LABEL ____, <identifier> ?<stepname>,____ }

Each: <identifier> is attached @s a label to the step indicatedby the <stepname> if present, otherwise to the next step to be generated, Thus after "LABEL DD -;" the previous step and Its Predecessors and successors may be later referenced bythe <stepname>s ".DD", ".DD-1", ".DD+1" etc.

3.5 Simplification Rules.

At any stage in a proof, there is a Current get of simplification rules, Steps may be added to or removed from the simplification rule set (SIMPSET) in five ways:

- By SASSUME (See Section 3.2)
- By the SIMPSET command (See Section 3.4),

By the goal tactic SIMPL (See Section 3.3).
If the SIMPSET was modified by attacking a goal with a SASSUMption (see section 3.3) or by Using the SIMPL tactic, then it will be automatically reinstated when the goal is proved or ABANDONed.
By CANCEL (see section 3.4).

Simplification is invoked only by the SIMPL rule, (3.2) and by the SIMPL tactic (3.3). The rules are then applied repeatedly to ail subterms of the appropriate awff or term until they can be applied no further,

An application of a simplification rule $s \equiv t$ consists t n finding all occurrence8 Of s and replacing them by t (so the user must be careful not to make something like $F(X) \equiv G(F(X))$ a simplification rule, or he will cause indefinite expansion(). In addition, in the case of a simplification rule $V_{XYXY} \dots = \overline{s} = \cdot$, all Instances of s, gained by replacing X, Y, \dots by arbitrary terms in s, will be replaced by the appropriate instances of t.

There are five built in rules: CONV (X-CONVERSION), MIN2 (UU(s) E UU) and CONDT, CONDU, CONDF (simplification of conditionals) (see these rules of inference in 3,2). Together with the previously mentloned feature, this will allow the assumption

AX Y.HD(CONS(X,Y)) 3 X ,

when used as a **simplification rule**, to reduce

HD(CONS(s1, s2))

via [\lambda X Y,X](s1,s2)

to s1.

Such formulae may usually be kept permanently in the SIMPSET. Others, notably the SASSUMptions of the CASES tactic, will come and go under system control, Still others the user will need to handle himself! a good example is the result of FIXP on a recursive definition of form $s \equiv [\alpha x, t]$ - the result has form $s \equiv t(s/x)$ and so oan lead to indefinite expansion as asimplification rule, but will not do so in the case that the recursive computation, which it will carry Out, terminates as a consequence of other members of SIMPSET.

3.6 Syntax As well as the usual BNF conventions we use the following; () arefor grouping syntax patterns8 ? **Defore** a pattern means optional, ___P___ means one or more instances of the pattern P, , P, means one cr more instances of P separated by commas. <wff> ::= ___,<awff>,___ <awff> ::= ?___ (V ___, <identifier>, __ | <term>::)___ <term> (E |c) <term> <term> ::= <infixterm>l<conditionalterm> <conditionalterm> ::= <infixterm> - <term> , <term> <infixterm> ::= <simpleterm> ?___(<infix><simpleterm>)___ <simpleterm> ::= <closedterm> ?___(<closedterm>] (___,<term>, ___))____ <closedterm> ::= <identifier>!<\term>!<"term>!<termname>! (<turm>) <termname> ::= ?(:Gl:<stepname>) ?(:<integer>) (:Ll:R) <hterm> ::= [λ ___<Identifier>,,, , <term>] < term> ::= [a <identifier> , <term>] <identifier> ::= <word> l !<!nfix> | - | a <word> ::= ____{<letter>|<digit>| _ | _ _ _ . <infix> ;;=anyo fthe single characters nu£ |+- ** ^ / \@+ 52 <> #=+++_ or any <word> with current INFIX status (3,4) Spaces may occur anywhere except within a <word>, but are only necessary to separate <word>s of to separate "." from a digit

The brackets round $\langle \lambda term \rangle s$ and $\langle q term \rangle s$ may be omitted when no ambiguity arises.

(e.g. in "∀x, Z≤x E IT"), The latter Is because the MLISP2

Examples follow, with Intended interpretation:

parser takes ", 3" as a single element or token,

- $F \rightarrow Q \rightarrow X, Y, R \rightarrow Y, Z$ is a <conditionalterm>, abbreviating $P \rightarrow (Q \rightarrow X, Y), (R \rightarrow Y, Z)$
- AP(AP X Y,Z) is a <simpleterm>, abbreviating

AP(AP(X,Y),Z) or AP((AP(X))Y,Z) or (AP((AP(X))Y))Z

(Thus the type which we should associate with AP is $(\beta \rightarrow (\beta \rightarrow \beta))$, where β is the type of individuals.)

XX Y.NULL X.Y,TL Xp is a <λterm>, abbreviating

 $[\lambda X, [\lambda Y, (NULL(X) \rightarrow Y, TL(X))]]$

. P :: X E Y is an **<awff>**, abbreviating

 $P \rightarrow X, UU \equiv P \rightarrow Y, UU$

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• $\forall X, F(X,X) \equiv Y$ is an (awff), abbreviating

 $\lambda X \cdot F(X, X) \equiv \lambda X \cdot Y$

m VX Y, X=Y :: $x \in Y$ is an $\langle awff \rangle$, abbreviating

XX Y, X=Y \rightarrow X, UU = XX Y, X=Y \rightarrow Y, UU

• ! $\in \exists \lambda X \sqcup X = HD(L) \rightarrow TT, X \in TL(L)$

illustrates the "!"-ing (which may pronounced "shrieking" or perhaps "howling") of *(infix)es*, which is necessary whenever they are mentioned in a non-infixed context.

Many examples of (wff)s and (awff)s occur throughout this paper,

Caution: Some commands refer to Occurrences of a <term> in a <wff>. Occurrences are counted from left to right after al | Occurrences of "::^{it} (which is an abbreviation for |egibility reasons Only) have been expanded as indicated in the examples, and with <infix>es considered as prefixed,

3.7 Commands for Axions and Theorems

We now describe now the user may create, store away, and fetch axioms and theorems, so that he can build up a file of results over several sessions on the computer, and does not have to start from scratch each time,

We startwithasimple example, and then describe the new commands in detail.

The user creates an axiom consisting of several (awff>s: the example uses only One.so the others are represented by ---. The system lists them for hlm - as new steps - and will I remember the icollection by its name: - LISTS,

```
AXIOM LISTS
```

1 - - -2 - - -3 VX.NULL(X) :: X = NIL 4 - - -*****SASSUME NULL Y=TT; 5 NULL(Y)=TT (5) *****APPL 3,Y; 6 [\lambda X.NULL(X) + \lambda, VU](Y) = [\lambda X.NULL(X) + \lambda, VU](Y) 6 [\lambda X.NULL(X) + \lambda, VU](Y) = [\lambda X.NULL(X) + \lambda, NIL, UU](Y) *****SIMPL 6; 7 Y=NIL (5) Note that the SASSUMption 5 has been used, So

```
litappears as a condition for 7.
```

******THEOREN UNIQUENULL:** 7;

IThe user wants to keep the result 7 • he will be ibe able to Instantiate for Y in later USE, so the isystem really treats it as a metatheorem. The isystem writes It In full for him, reminding him lthat it depends on LISTS: -

THEOREM(LISTS) UNIQUENULL: YENIL ASSUME NULL(Y)ETT

-
-
- - -

Suppose that the user proves some more theorems, land then wants to keep his axioms (there **may** be (others besides **LISTS**) and theorems. He says:

31 **** SHOW AXIOMS AXFILE: ****SHOW THEOREMS THFILE: He can actually select just some to be kept (3,4), Also Ilf he omits the filename, they will not be kept **but** di spl ayed, NOW, ON SOME LATER OCCASION: ---IThe user decides he now wants to talk about lists, land would like the theorems that he previously proved, *****FETCH AXFILE, THFILE; AXIOM LISTS 15 ---16 - - -17 VX,NULL(X) : X = NIL 18 - - -THEOREM (LISTS) UNIQUENULL: YENIL ASSUME NULL(Y)ETT Remember there may have been other axioms and Itheorems on these files (they should have been lat least represented by ---, but we didn't lbother). The crucial point is that all variables which larefreeinthe theorem, but not free in the axioms . ton which it depends, may be instantiated, and the User can force an instantiation by using the theorem las an inference rule, Suppose later he proves (step 23); 23 NULL(HD(Z))ETT (15 18) Heapplies the theorem, as follows (and In this lcase the only free instantiable variable is Y); ****USE UNIQUENULL 23; 24 HD(Z)ENIL (15 18) It is Possible that not all the instantiable variables loccur in the hypothesis of the theorem; the full idefinition of the USE command shows how they may **be** Instanttated.

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We now give the new commands which concern axioms and theorems,

The AXI OM command,

AXIOM < identifier> : ____ (<stepname>i<awff>),____ ;

The system will remember all the <awff>s, mentioned explicitly Of designated by an <stepname>, by the name <iqentifier>; italso lists then - each with a new stepnumber. Thereafter, any THEOREMs created, and saved by the SHOW command, will be tagged as dependent on this axiom.

The THEOREM comma-nd,

THEOREM {<identifier>: <stepname> | ?{ (___,<identifier>,___) } <identifier>: <wff>?{ ASSUME <wff> };

The first option is for naming a proved result -designated by (stepname) - as a theorem. The second oat ion is for naming an explicit sentence -i.e. (wff)? (ASSUME (wff)) - as a theorem, and saying what axioms It depends on (the lists of (identifier)s is a list of axiom names).

In the first option, the system Will remember the theorem by name, and tag it as dependent on all axioms present in the system.

In the second option, the system will I check that the axioms mentioned are present (if not it Will warn you) and in any case will remember the theorem by name, and tag it as dependent on the axioms mentioned. This option is used by the system as follows, when the user saves a THECREM on afile using the SHOW command, what the system writes on the file is precisely an instance of the second option, so that when the user FETCHes the theorem on a later occasion he will be warned of any appropriate axioms that are not present so that he can FETCH them, too.

The USE command,

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USE <identifier> ?___,<stepname>, ___ ?(, ___,<instantiation>, ___);

<instantiation> ::= <identifier> + <term>

The first

Second (since there may be metavariables which Occur only In the consequent Of the theorem) the user may give a list of instantiations each of which binds a tern to a metavariable.

Any metavariables not thus instantiated will just be left as they stand, After matching, the USE command will generate a new step which is simply the appropriate instantiation of the consequent of the theorem. Example:

|*****AXIOM AX1: X=Y; |AXIOM AX1 |1 XEY |*****THEOREM (AX1) TH1: P=Z ASSUME Z=R; |----|----|15 F(Y)=G(X,Y) (2 6) |****USE TH1 15, P+H(X); |16 H(X)=F(Y) (2 6)

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4. HOW TO USE THE SYSTEM LCF

4.1 Initialization and Termination

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The system returns with an asterisk: You are now talking to LISP,

(INIT)

This will Initialize the system, which returns with 5 asterisks: you are ready to generate a Proof by the commands of Section 3. 5 usterisks is always the signal far a command. Remember, al I commands end with a semicolon.

To finis a proof (after maybe preserving it on a file using SHOW) type

\$;

The system willtypeENDPROOF and You are then randy to start another proof with

(INIT),

It is possible to save your core Image so as to resume the proofatalater time. To do this type

+C SAVE <fliename>

-and you can then either continue immediately by

START (RESUME)

oratalatertime by

RUN <filename> (RESUME)

4.2 Errors and Recovery

There are three types of errormessage:

elfyou commit a syntax error in a command, the system says

SYNTAX ERROR; TRY AGAIN

If your command Is semantically suspect - for example, you try to apply TRAYS (transitivity) to two steps for Which it is inappropriate - you will get something like

NASTYTRANS; TRY AGAIN

• If you break the system somehow and get a' LISP error, usually something~like

3246 ILL MEM REF FROM ATOM

then you can try something different (your first command may yield a syntax error, In which case Just repeat it); however, this should not occur and Malcolm Newey or I would like to know how it occurred,

If the system gets into a loop (the only known cause is if your SIMPSET allows indefinite expansion) then

+C Start (resume)

.

will restore You, If Ycu thereby abort a (long or looping) simplification invoked by the SIMPL tactic you will also need to ABANDON.

5, ACKNOWLEDGEMENTS

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The system is entirely based on the logic proposed by Dana Scott at Oxford in 1969 but unpublished by him,

Iam grateful to Richard Weyhrauch for designing a better simplification algorithm which has proved indispensable, to Malcolm Newey for undertaking the necessary programming for corrections and improvements to the system • including the simplification algorithm and to both of them for constructive criticisms and discussions which have led to many improvements, I also thank John McCarthy for encouraging me to undertake this work.

The programming of the system was eased enormously by the MLISP2 extendible parser due to Horace Enea and David Smith, and by the help they gave me in using It, Infact, extensions to the system will be simple for the same reason,

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