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A Users Manual for FOL

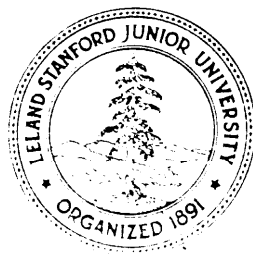
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Abstract:

This manual explains how to use of the proof checker FOL, and supersedes all previous manuals. FOL checks proofs of a natural deduction style formulation of first order functional calculus with equality augmented in the following ways:

- (i) it is a many-sorted first-order logic in which a partial order over the sorts may be specified;
- (ii) conditional expressions are allowed for forming terms
- (iii) axiom schemata with predicate and function parameters are allowed
- (iv) purely propositional deductions can be made in a single step;
- (v) a partial model of the language can be built in a LISP environment and some deductions can be made by direct computation in this model;
- (vi) there is a limited ability to make metamathematical arguments;
- (vii) there are many operational conveniences.

A major goal of FOL is create an environment where **formal** proofs can be carefully examined with the eventual aim of designing practical tools for manipulating proofs in pure mathematics and about the correctness of programs. This includes checking proofs generated by other programs. FOL is also a research, tool in modeling common-sense reasoning including reasoning about knowledge and belief.

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CONTENTS

1 SOME INTRODUCTORY REMARKS*	1
2 WHAT IS AN FOL LANGUAGE?	2
3 TERMS, AWFFS AND WFFS	4
3.1 TERMS	4
3.2 AWFFs	4
3.3 WFFs	4
4 PROOFS USING FOL	6
4.1 An FOL proof of $((P \supset Q) \wedge (P \supset R)) \supset (P \supset Q \wedge R)$	6
5 THE COMPUTER PROGRAM FOL	9
5.1 How to run FOL at Stanford	9
5.2 General information on the features of FOL	10
5.2.1 Individual symbols	10
5.2.2 Prefix and Infix notation	10
5.2.3 Extended notion of TERMs	10
5.2.4 The Equality of WFFs	10
5.2.5 VLs and subparts of WFFs and TERMs	10
5.2.6 SORTs	11
5.2.7 Semantic Attachment	11
5.2.8 Syntactic Simplification	11
5.2.9 Decision procedures*	11
6 LANGUAGE SPECIFICATION	12
6.1 Declarations	1 2

6.2 SORT manipulation	15
6.2.1 Default SORT declarations..	15
6.2.2 MOREGENERAL declaration..	15
6.2.3 EXTENSION declarations.	15
7 THE GENERATION OF NEW DEDUCTION STEPS	17
7.1 Axioms	17
7.1.1 Using axioms as axiom schemas	18
7.2 Assumptions	19
7.3 Basic introduction and elimination rules	19
7.3.1 Summary of the basic rules..	20
7.3.2 AND (\wedge) rules..	21
7.3.3 OR (\vee) rules	23
7.3.4 IMPLIES (\Rightarrow) rules	25
7.3.5 FALSE (FALSE) rules	26
7.3.6 NOT (\neg) rules	27
7.3.7 EQUIVALENCE (\equiv) rules	29
7.3.8 Quantification rules	30
7.3.8.1 Quantification example	30
7.3.8.2 UNIVERSAL QUANTIFICATION (\forall) rules	31
7.3.8.3 EXISTENTIAL QUANTIFICATION (\exists) rules	32
7.3.8.4 Quantifier rules with SORTs	34
7.4 The TAUT and TAUTEQ commands	36
7.5 The QUANT Command	38
7.6 The DISTRIB command	38
7.7 The SUBSTITUTION command	40

7.8 The MONADIC command	41
7.9 Semantic Attachment and Simplification	42
7.9.1 A technical explanation	43
7.9.2 Declaring representation names	45
7.9.3 The ATTACH command	45
7.9.4 Setting default representations	46
7.9.5 The SIMPLIFY command	48
7.9.6 Auxiliary FUNCTION definition	48
7.10 syntactic simplification	49
7.10.1 Making a simplification set	51
7.10.2 Example of syntactic simplification	53
8 ADMINISTRATIVE COMMANDS	56
8.1 The LABEL command	56
8.2 File Handling commands	56
8.2.1 The FETCH command	56
8.2.2 The MARK command	56
8.2.3 The BACKUP command	57
8.2.4 The CLOSE command	57
8.3 The COMMENT command	58
8.4 The CANCEL command	58
8.5 The SHOW command	58
8.6 The EXIT command	59
8.7 The TTY and UNTTY commands	60
8.8 The SPOOL Command	60
Appendix A FORMAL DESCRIPTION OF FOL	61

Appendix B THE SYNTAX OF THE MACHINE IMPLEMENTATION OF FOL	63
BIBLIOGRAPHY	68

Section 1 SOME INTRODUCTORY REMARKS

FOL is a computer program which checks derivations in an arbitrary first order language. This sounds very technical but it simply means that there are restrictions on the language that we use to write sentences. A description of the allowable ones is given in the following sections. In this section I briefly describe how FOL is *used*. Examples of proofs are given in sections 4.1 and 3.3.8.

FOL can be used in two ways. Proofs can be done interactively using the computer to check each step or commands may be written on a file and processed when FOL reads the file. Usually both modes are used during the same proof. The principal content of this manual is a description of the commands that FOL accepts.

The checking of a proof has several parts. First, the particular language you are going to use must be specified to FOL. This is accomplished by the declaration commands. These have three functions: they specify which identifiers are to be the different kinds of syntactic elements of your language, they describe part of the sorting mechanism, and they tell the scanner about infix operators and binding powers. The details are found in the section on declarations.

After you have specified a language, FOL can read sentences (usually called well formed formulas or **WFFs**). The first **WFFs** normally read into FOL are the axioms of the theory you are considering. For example, if you are interested in set theory you might fetch the file **KELLEY.AX[AX,RWW]**. It contains all the declarations and axioms for Kelley's version of set theory [**Kelley 1955**]. Of course you are free to make up any system of axioms you want. Notice FOL will not check whether your axioms are consistent; it only checks the correctness of the derivations you make. After you read in (or type at the console) the axioms of your theory, you are ready to check a proof.

The rules of inference of FOL allow you to generate new proof steps from those you already have. The basic set of rules consists of an introduction and an elimination rule for each of the logical connectives and each of the quantifiers. There are also other commands, like **TAUT** and **TAUTEQ** which combine some of these basic rules into powerful techniques for producing new proof steps. The basic rules are an implementation of a system of first order logic called natural deduction [**Prawitz 1965**].

For the new user of FOL a good place to begin reading this manual is section 4.1. There it gives some examples of FOL proofs and some complete dialogues with the program. Other more extensive examples can be found in **Filman** and Weyhrauch [**1976**]. The primer can be thought of as a companion volume to this manual, as it contains extensive examples and lots of hints on actually using FOL. This manual (I hope) has a correct and fairly complete description of the facilities of FOL. In addition it contains a detailed description of the syntax of its commands. A description of how to run the FOL program at the Stanford Artificial Intelligence Laboratory is found in section 5.1.

The metamathematical notions mentioned will be referred to by words in the following font: e.g. SYNTYPE, INDVAR, WFF. These notions will play a greater role in later versions of FOL.

Section 2 WHAT IS AN FOL LANGUAGE?

The FOL user specifies a first-order language by making a set of **DECLARATIONs** (see Section 6.1). The proof-checking system then generates a proof checker and a collection of rules specific to that language.

DECLARATIONs in FOL are similar to declarations in a programming language in that they introduce symbols and tell how they will subsequently be used both syntactically and semantically. FOL does **not yet have** a block structure so that all declarations are permanent. When block structure allowing declarations local to a block is added, the idea that declarations determine a first order language **will** have to be modified.

An FOL *language* is determined by specifying a way of building up expressions, called **well formed formulas** or **WFFs**, from collections of primitive symbols. In **FOL** these classes of symbols are called **SYNTYPES**. They are:

1. logical constants:

- a) *sentential constants* - **SENTCONSTs**: FALSE, TRUE
- b) *sentential connectives* - **SENTCONNs**: $\neg, \wedge, \vee, \supset, \equiv$
- c) *quantifiers* - **QUANTs**: \forall, \exists

2. sets of variable symbols:

- a) *individual variables* - **INDVARs**.
- b) *individual parameters* - **INDPARs**.

3. a set of *n-place predicate parameters* - **PREDPARs**.

These symbols are used to form those sentences common to all **FOL** languages. Sometimes a language L may also contain symbols which are intended to have interpretations which are fixed relative to the domain of the interpretation. **Examples** are: " \in " in set theory, "=" in first order logic with equality, "0" and "**Suc**" in arithmetic. These are represented by

4. sets of constant symbols:

- a) *individual constants* - **INDCONSTs**.
- b) *n-place operation symbols* - **OPCONSTs**.
- c) *n-place predicate constants* - **PREDCONSTs**.

In addition one can

- 5. declare a **PREDCONST** **P** to be a **SORT**. This means that its **ARITY** is one and that something has property P, i.e. $\exists x.P(x)$.
- 6. restrict a symbol to belong to some **SORT**.

7. designate a partial **order** to hold among some of those **PREDCONSTs** which have been declared to be **SORTs**.
8. specify the range and domain of **OPCONSTs** to range over particular **SORTs**.

These last four facilities allow the FOL user to talk about different kinds of **objects**, just as he can in **informal** proofs. Consider integers and even integers. By 5 above these can be thought of as two **SORTs** of objects. 6 allows us to say that all even integers are integers. 7 can be used to declare that plus is a function from integers to integers and therefore from even integers to integers (by 6). Using 5 we can express the result that the sum of two even integers is an even integer (and so by 6 also an integer). The FOL notation for such assertions is given in section 62.3 on **SORTs**.

Section 3 **TERMS, AWFFS AND WFFS**Section 3.1 **TERMs**

t is an **FOL TERM** if either

1. t is an **INDPAR**, **INDVAR**, or an **INDCONST**, or
2. t is $f(t_1, t_2, \dots, t_n)$, where f is an **OPCONST** of **ARITY** n and t_i is a **TERM**, or
3. t is **(IF A THEN t_1 ELSE t_2)**, where A is a **WFF** and t_1, t_2 are **TERMs**.

Section 3.2 **AWFFs**

A is an atomic well-formed formula or **AWFF** if

1. A is one of the **SENTCONSTs** **FALSE** or **TRUE**,
2. A is **P(t_1, \dots, t_n)** where P is a **PREDPAR** or a **PREDCONST** of **ARITY** n .

Section 3.3 **WFFs**

The notion of well-formed formula or **WFF** is defined inductively by:

1. An **AWFF** is a **WFF**.
2. If A , B and C are **WFFs**, then so **are**:
 $(A \wedge B)$, $(A \vee B)$, $(A \supset B)$, $(A \equiv B)$, $\neg(A)$ and **(IF A THEN B ELSE C)**.
3. If A is a **WFF**, then so are $\forall x. A$ and $\exists x. A$ provided that x is an **INDVAR**.

The main symbol or mainsym of a \forall **WFF** of the form $(A \wedge B)$, $(A \vee B)$, $(A \supset B)$, $(A \equiv B)$, $\neg(A)$, $\forall x. A$ and $\exists x. A$ is \wedge , \vee , \supset , \equiv , \neg , \forall , \exists respectively. The scope of some occurrence of a **SENTCONN** or a **QUANT** in a **WFF** A is that part of A which has this occurrence as its **mainsym**. An **occurrence** of an **INDVAR** x in a **WFF** A , is **bound** or free according as the occurrence belongs or does not belong to the scope of a **QUANT** that is immediately followed by an x .

The above notations are entirely conventional in mathematical logic except for the conditional expression **(IF A THEN t_1 ELSE t_2)**. Its value as a term is that of t_1 if A is true and that of t_2 otherwise. The notation is eliminable, but it makes the description of computable functions much more straightforward.

The notations **A[$t \leftarrow x$]** and **A[$t \leftarrow u$]**, where A is a **WFF**, t, u **TERMs** and x an **INDVAR**, are used to denote the result of substituting x or u , respectively, for all occurrences of t in A (if any). In contexts where a notation like **A[$t \leftarrow x$]** is used, it is **always** assumed that t does not occur in A within the scope of a quantifier that is immediately followed by x . The notation **A[$x \leftarrow t$]**, denotes the result of substituting t for all free occurrences of x .

The notation **A[$a \leftarrow x, x \leftarrow t$]** means the result of first substituting x for a and then t for x . To denote simultaneous substitution we use **A[$a \leftarrow x; x \leftarrow t$]**.

In FOL there are many ways of referring to **WFFs** and **TERMs** which already appear in a proof. The syntax for these constructs is found in Appendix **B**.

Section 4 PROOFS USING FOL

An FOL *derivation* is a sequence of proof steps each of which is a valid *consequence* of the collection of facts already asserted. We refer to facts within the context of a given derivation as **VLs**. Each VL has a name which specifies a WFF W as well as information as to how W came to be part of this particular derivation. Three different types of names for VLs are **LINENUMs**, **LABELs** and **AXIOM** names.

Each **RULE** listed below has the following form. It takes some set of **WFFs** and **VLs** and produces a new step. The **LINENUM** of this step is the name of this VL and can be used to refer to it.

A derivation starts by making some **ASSUMPTIONs** or stating **AXIOMs** and then using the **RULEs** of inference to generate new steps. We now give an examples to show the structure of FOL proofs. Other proofs can be found throughout the manual. Section 7.3.8 is an example using all of the quantifier rules.

In this and all succeeding-sections examples of interactions with the computer will appear indented. **Those lines which are typed by the user will be preceded by five stars "*****" and appear in the same font as this sentence.** The lines typed by the computer will appear like this.

Section 4.1 An FOL proof of $((P \supset Q) \wedge (P \supset R)) \supset (P \supset (Q \wedge R))$

Below is a proof of the propositional tautology: $((P \supset Q) \wedge (P \supset R)) \supset (P \supset (Q \wedge R))$. It would usually be done in a single step using the **TAUT** command (see section 7.4) but is included here to illustrate the use of FOL.

The proof **shows** that if P implies Q and P implies R , then P implies $Q \wedge R$. The informal argument goes as follows: suppose we know $(P \supset Q) \wedge (P \supset R)$ then we know both $P \supset Q$ and $P \supset R$. So if we assume P we can conclude both Q and R , i.e. $Q \wedge R$. Therefore from $P \supset Q$ and $P \supset R$ we can conclude $P \supset (Q \wedge R)$, dropping our assumption of P . Finally we conclude $((P \supset Q) \wedge (P \supset R)) \supset (P \supset (Q \wedge R))$ without **any assumptions** at all. The **FOL** proof is written below. Please look at this proof carefully as it is in this section that a detailed description of what FOL prints and what it means is most clearly explained. One way to follow this proof is to actually try it on the computer. How to do this is explained in section 5.1.

```
*****DECLARE SENTCONST P Q R;
```

This specifies the FOL language we are using has three **SENTCONSTs**, P , Q and R . Making declarations is essential. Failure to declare an identifier is the most common reason for a syntax error. The second set of five stars is the FOL prompt "character". It means that it understood your last command and it is waiting for you to type more. If you make an error it attempts to say what it thinks is wrong. Don't worry, you can't break it by making errors.

```
*****ASSUME (P>Q)^(P>R);
```

1 $(P \supset Q) \wedge (P \supset R)$ (1)

This step says assume I know $((P \supset Q) \wedge (P \supset R))$. FOL responds by printing a LINE. Each LINE typed by the computer contains: 1) a LINENUM, which, labels that LINE; 2) the WFF representing the result of applying the RULE typed by the user on the line above; 3) a list of numbers representing those LINES of the proof on which the WFF depends. Note that an assumption only depends on **itself**. The LINENUM 1 is the VL, or the name for that LINE of the proof.

***** \wedge E 1 1;

2 $P \supset Q$ (1)

This is an example of the RULE *AND elimination*. The " \wedge E" is the rule name. The "1" after the rule name is the VL 1, i.e. the first LINE of the proof. It is the VL that the rule applies to. The second "1" says conclude the first conjunct. All together this command reads do an *and elimination* on line one of the proof picking the first conjunct. FOL then creates a new LINE, which it labels 2, and which, asserts the first conjunct of LINE one. Note that the VL 1 appears in the list of dependencies.

***** \wedge E 1 \uparrow :#2;

3 $P \supset R$ (1)

This is another example of *AND elimination*. It asserts the second conjunct of LINE one. The syntax used is an alternative to the one above and is included here to introduce you to FOL subpart designators. They are explained in detail in Appendix B. The \uparrow is a special label for LINENUMs. It means two LINES from the end of the proof. Similarly for any other number of up arrows. There is more use of this construct in the proofs below. The colon following the " \uparrow " is one of the most important concepts in FOL. It can be thought of as a function on VLS which retrieves the WFF associated with the VL. \uparrow : is the same as 1: is the same as $((P \supset Q) \wedge (P \supset R))$. Any VL followed by a : is a WFF and NOT a VL. WFFs cannot be used where VLS are expected. This distinction is also explained in appendix B.

*****ASSUME P;

4 P (4)

***** \supset E \uparrow ;

5 Q (1 4)

***** \supset E \uparrow , \uparrow ;

6 R (1 4)

***** \wedge I 5 6;

7 $Q \wedge R$ (1 4)

***** \supset I 4 9

8 $P \supset (Q \wedge R)$ (1)

**** \supset I 1 \supset :

9 $((P \supset Q) \wedge (P \supset R)) \supset (P \supset (Q \wedge R))$

Look at the LINE beginning with **7** in the above example. **7** is its LINENUM, $Q \wedge R$ is the WFF on this LINE, and the derivation of $Q \wedge R$ on this LINE depends on the assumptions **1** and **3**. This LINE was generated by the specifying as a RULE *AND introduction* using LINES 4 and 5. On LINE 8 when *IMPLIES introduction* is applied to LINES 3 and **7**, LINENUM 3 has been removed from the list of dependencies of the new LINE. This **corresponds** to the informal idea that the truth of the conclusion no longer need the discharged assumption. There are five rules that discharge assumptions. They are *IMPLIES introduction*, *OR elimination*, *NOT introduction*, *NOT elimination* and *EXIST introduction*. The exact details of what assumptions are eliminated can be found in each of the individual descriptions of the RULES. On LINE **10** assumptions are again discharged and the theorem is proved. I repeat: this theorem is a tautology and therefore can be proved in a single step using the TAUT rule and should usually be done that way when using FOL.

Section 5 THE COMPUTER PROGRAM FOL

Section 5.1 How to run FOL at Stanford

FOL is invoked at the Stanford AI Lab by typing *R FOL* to the monitor. To save an entire session you want to continue later type the command **'EXIT;'** to FOL, followed by *SAVE <filename>* to the monitor. To restart type *RU <filename>* to the monitor and you will be where you left off.

FOL commands fall naturally into several classes:

1. **Commands** for defining the first-order language under consideration; that is to say, commands for making *declarations*;
2. Commands for creating new **VLS**. These include making **AXIOMs**, assumptions, and **applying** the **RULEs** of inference to generate new steps in a derivation;
3. **Administrative** commands, **which** do not alter the state of the derivations, but enable various book-keeping functions to be carried out.

In this manual the syntax of **FOL** is described using **the following** notion of pattern. Those form the basic constructs of the FOL parser.

1. Identifiers which appear in **patterns** are to be **taken** Morally.
2. Patterns for syntactic typos are surrounded by **angle brackets**. Thus **<wff>** is a WFF.
3. Patterns for **repetitions** are **designated** by:
REP_n[<pattern>] means **n** or more **repeated** PATTERNS.
 If a **REP_n** has two **arguments** then the **second** argument is a pattern that **acts** as a separator. So that **REP₁[<wff>,]** means one or more **WFFs** separated by commas.
4. Alternatives appear as **ALT[<PATTERN₁>] . . . [<PATTERN_n>]**.
ALT[<wff> | <term>] means **either** a WFF or a TERM.
5. Optional things **appear** as **OPT[<pattern>]**
REP₂[<wff>, OPT[,]] means a sequence of two or more **WFFs** optionally separated by commas.
These conventions are combined with the comparatively standard Backus-Naur Form description.

Section 5.2 General information on the features of FOL

Section 5.2.1 Individual symbols

In FOL **INDVARs** may appear both free and bound in **WFFs**. **INDPARs**, however, must always appear free. Natural numbers are automatically declared **INDCONSTs** of SORT **NATNUM**. The only kind of numbers understood by FOL are natural numbers, i.e. non-negative integers. -3 should be thought of not as an individual constant, but rather as the prefix operator -, applied to the **INDCONST** 3.

Section 5.2.2 Prefix and Infix notation

FOL allows a user to specify that binary predicate and operation symbols are to be used as infixes. The declaration of a unary application symbol to be prefix makes the parentheses around its argument optional. The number of arguments of an application term is called its **ARITY**. Section 6.1 describes how to make such declarations.

Section 5.2.3 Extended notion of TERMS

In addition to ordinary application terms, FOL accepts several other kinds of **TERMs**. There are three kinds of bracket **TERMs**: those surrounded by square brackets **[,]**, those surrounded by curly brackets **{,}**, and those surrounded by angle brackets **<, >**. These are the only expressions in FOL that do not have a fixed number of arguments; Quote **TERMs** are individual constants for s-expressions. They appear in proofs as any s-expression preceded by a "''" symbol. FOL also parses comprehension expressions of the form **{x|P(x)}**. A detailed description of the syntax of these **TERMs** and more examples are found in Appendix B.

Section 5.2.4 The Equality of WFFs

FOL always considers two **WFFs** to be equal if they can both be changed into the same WFF by making allowable changes of bound variables. Thus, for example, the **TAUT** rule will accept $\forall x.P(x) \supset \forall y.P(y)$ as a tautology if **x** and **y** are of the same **SORT**.

Section 5.2.5 VLs and subparts of WFFs and TERMS

FOL as implemented offers very powerful and convenient techniques for referring to objects in a proof: essentially, any well-formed expression has a **name**, and can be manipulated as a single entity. As explained above a **VL** is a part of a derivation. The syntax of naming **VLs** is very extensive and a review of it will be left to Appendix B.

Section 5.2.6 SORTs

The declaration of **SORTs**, and specification of a partial order over them, constitutes a major feature of FOL from a computational point of view. It was **the** first major difference of FOL from the usual formalisms for first order **logic**.

Section 5.2.7 Semantic Attachment

The semantic attachment mechanism of FOL **is** one of its most novel features. It allows a user to describe to the proof checker some computational information about the theory he is examining and allows him to make **conclusions using** this computational information rather than using the FOL rules of inference.

Section 5.2.8 Syntactic Simplification

This is a powerful syntactic simplifier which **allows** a user to specify a set of equations as simplification rules and then to simplify any expression by continually performing replacements until **no** more are possible.

Section 5.2.9 Decision procedures

FOL presently has three decision procedures implemented. TAUT decides if **WFFs are** propositional tautologies. TAUTEQ is like TAUT but takes equalities into account. MONADIC decides monadic predicate calculus statements.

Section 6 LANGUAGESPECIFICATION

The first step in specifying a first-order theory is the description of the language which is to be used. This is done by defining the symbols of the language, using the declaration commands. These commands specify which symbols are to be variables, constants and predicate or function symbols.

Section 6.1 Declarations

As we mentioned above, the first thing that a user of FOL must do is to define the FOL language to be considered. Every identifier in a proof must be declared to have a SYNTYPE. Only nine of these types can be declared by the user. They are:

1. SYNTYPE 1

- a) INDVAR (*individual variables*)
- b) INDPAR (*individual parameters*)
- c) INDCONST (*individual constants*)
- d) SENTPAR (*sentential parameters*)
- e) SENTCONST (*sentential constants*)

2. SYNTYPE2

- a) PREDPAR (*predicate parameters*)
- b) PREDCONST (*predicate constants*)
- c) OPPER (*operation parameters or function parameters*)
- d) OPCONST (*operation constants or function constants*)

All identifiers of SYNTYPE2 require one or more arguments.

Declarations are fixed within a proof and once made they *cannot be* changed.

```
D E C L A R E ALT [ REP1 [ <simpledec> OPT [, ] ] | REP1 [ <appliedec> OPT [, ] ] ] 3 ;
```

There are two kinds of SYNTYPES, those of symbols which take arguments, SYNTYPE2s, and those which do not, SYNTYPE1s.

```
<syn type 1> := ALT [ <indsym> <sen tsym> ]
<syn type 2> := ALT [ <predsym> <opsym> ]
```

The idea of SORTs is to allow a user of FOL to restrict the ranges of function to some predetermined set. This corresponds to the usual practice of mathematicians of saying let f be a function which maps integers into integers. In FOL a SORT is just a PREDCONST of ARITY 1, i.e. a property of individuals. The effect of this **informal restriction** to integers is achieved in FOL by

```
*****DECLARE PREDCONST INTEGER 1;
```

followed by

```
*****DECLARE DPCONST +(INTEGER, INTEGER) =INTEGER;
```

A PSEUDOSORT is an identifier which has not yet been declared but is assumed to be a PREDCONST of ARITY 1 and is declared such because of the context in which it appears. If INTEGER had not been separately declared above, in its appearance in the second command it would have been considered to be a PSEUDOSORT and declared accordingly. There is one special PSEUDOSORT, i.e. the PREDCONST UNIVERSAL. This represents the most general SORT and is the default option whenever SORT specifications are optional. In declarations it can also be abbreviated by "*". The MOSTGENERAL command explained in the next section, can be used to change the name of the MOSTGENERAL SORT.

```
<pseudosort> := ALT[ <identifier> | * ]
```

There are two kinds of declarations: simple declarations, and application declarations. Simple declarations define objects which do not have arguments; in the present structure of FOL, these objects are INDVARs, INDPARs, INDCONSTs, SENTPARs, and SENTCONSTs. Application declarations define objects with arguments; this class includes PREDPARs, PREDCONSTs, OPPARs, and OPCONSTs. The BNF formulation of the declaration syntax is

```
<simpledec> := <syntype1> <idlist> OPT[ ( <pseudosort> ) ]
<appdec>   := <syntype2> <idlist> <argdec> OPT[ ( <bpdec> | ) ]
<argdec>   := ALT[ <argsort> | <natnum> ]
<argsort>  := ALT[ : <sortrep> ALT[ = | + ] <pseudosort> |
                 ( <sortrep> ) ALT[ = | + ] <pseudosort> |
                 ]
<sortrep>  := REP1[ <pseudosort> , OPT[ ALT[ = | + ] ] ]
<bpdec>    := ALT[ <rbbp> | <rbbp> <lbbp> | <lbbp> <rbbp> | INF | PRE ]
<rbbp>     := R + <natnum>
<lbbp>     := L + <natnum>
```

Examples of simple declarations:

```
*****DECLARE INDVAR x y z;
```

```
*****DECLARE INDVAR a b c ∈ Set, A B C ∈ Class;
```

```
*****DECLARE SENTCONST P1P2Q;
```

Examples of application declarations:

```
*****DECLARE OPCONST EXP (NATNUM, NATNUM) =NATNUM[L←850 R←800];
```

The meaning of this **declaration** is that EXP is an OPCONST, it has two arguments (ARITY 2), both of which are of SORT NATNUM. It also has a value of SORT NATNUM, and is to be used as an infix operator with a right binding power of 800 and a **left** binding power of 850. This could also be declared by

```
*****DECLARE OPCODE EXP : NATNUM NATNUM NATNUM [L←850 R←800];
```

Simpler declarations can be made if you don't wish to specify so much information.

```
*****DECLARE OPCODE EXP : NATNUM NATNUM NATNUM [I WE];
```

declares EXP the same as above but uses the default infix bindings R←500, L-550.

```
*****DECLARE OPCODE EXP (NATNUM, NATNUM) = NATNUM;
```

simply makes EXP an ordinary applicative function, so you must type EXP (a, b) rather than (a EXP b). Further simplification can be made if less sort information is wanted

```
*****DECLARE OPCODE EXP (NATNUM, NATNUM);
```

makes the value of EXP have the SORT UNIVERSAL (the MOSTGENERAL SORT), and

```
*****DECLARE DPCODE EXP 2;
```

just says it has ARITY 2. Of course

```
*****DECLARE OPCODE EXP 2 [INF];
*****DECLARE OPCODE EXP 2 [L←850 R←800];
```

have the obvious meaning. This section has illustrated most of common ways of making declarations. There are some other examples scattered throughout this manual.

Section 6.2 SORT manipulation

There are several **commands** which affect the SORT structure.

Section 6.2.1 Default SORT declarations

```
MOSTGENERAL      • sort ;
NUMERALSORT      <sort> ;
CUBRACKETSORT    <sort> ;
ANRRACKETSORT    <sort> ;
SOBRACKETSORT    <sort> ;
SEXPRSORT        <sort> ;
```

In FOL certain TERMS come with predeclared SORTs; numerals become **INDCONSTs** of SORT NATNUM, comprehension terms, curly bracket TERMS (sometimes called finite set TERMS) and angle bracket TERMS (sometimes called n-tuple TERMS) have SORT CLASS, quote TERMS have SORT SEXPR, and the default MOSTGENERAL SORT is the PREDCONST UNIVERSAL. This is also the default SORT of square bracket TERMS. The effect of the above commands is to replace these default SORTs with those specified by the user.

Section 6.2.2 MOREGENERAL declaration

```
MOREGENERAL <sort> ≥ {<sort_list>};
```

For example,

```
*****MOREGENERAL CHESSPIECE ≥ {WHITEPIECE, BLACKPIECE};
```

is equivalent to the axioms

$$\begin{aligned} \forall x. (WHITEPIECE(x) \supset CHESSPIECE(x)) \\ \forall x. (BLACKPIECE(x) \supset CHESSPIECE(x)) \end{aligned}$$

where CHESSPIECE, WHITEPIECE and BLACKPIECE are previously declared SORTs. Another typical example would be the declaration of classes to be MOREGENERAL than sets. The MOREGENERAL declarations establish a partial order among SORTs. The effect of this partial order on the quantifier rules is explained in section 7.3.8.4.

Section 6.2.3 EXTENSION declarations

```
EXTENSION <sort> <ext_set>;
```

```
<ext_set> := <primext> REP0[ ALT(U|N|/) <primext> |
<primext> := ALT[ <sort> | { <indconstlist> | |
```

where each of the **SORTs** in the `<primext>` already has an **EXTENSION** defined. For example,

```
*****DECLARE INDCONST BK ∈ BKINGS, WK ∈ WKINGS;
```

```
*****DECLARE PREDCONST KINGS 1;
```

```
*****EXTENSION BKINGS {BK};
```

Extension of BKINGS is (BK)

```
*****EXTENSION WKINGS {WK};
```

Extension of WKINGS is (WK)

```
*****EXTENSION KINGS WKINGS ∪ BKINGS;
```

Extension of KINGS is (WK BK)

The initial declaration declares BK to be of SORT BKING, and WK to be of SORT WKING. The command **EXTENSION BKINGS {BK}**; says that BK is the *only* object which satisfies the predicate BKINGS; similarly, the command **EXTENSION KINGS BKINGS ∪ WKINGS**; says that the *only* objects which satisfy the predicate KINGS are those in the union of the extensions of BKINGS and WKINGS, i.e. BK and WK. This is equivalent to the introduction of the axioms:

$$\begin{aligned} \forall x. (BKINGS(x) \equiv (x=BK)) \\ \forall x. (WKINGS(x) \equiv (x=WK)) \\ \forall x. (KINGS(x) \equiv ((x=BK \vee x=WK) \wedge \neg(BK=WK))) \end{aligned}$$

By itself, this command has no effect, but the semantic simplification mechanism (Section 7.9) uses these axioms.

The facts about integers and even integers mentioned in section 2 are expressed by the declarations:

```
*****DECLARE PREDCONST EVENINTEGER {INTEGER};
```

```
*****MOREGENERAL INTEGER ≥ {EVENINTEGER};
```

```
*****DECLARE OPCONST +: INTEGER → INTEGER → INTEGER {INF};
```

```
*****DECLARE INDVAR e1 e2 e3 ∈ EVENINTEGER;
```

```
*****AXIOM EVEN: ∀e1 e2. ∃e3. e1+e2=e3;
```

```
, EVEN: ∀e1 e2. ∃e3. (e1+e2)=e3
```


Section 7 THE GENERATION OF NEW DEDUCTION STEPS

Section 7.1 Axioms

AXIOMs play the same role as **ASSUMPTIONs**, but they do not appear in the dependency list of any step of a deduction, nor are they printed when you show the proof. Thus derivations are always relative to an unmentioned theory. When a theorem creating mechanism is available this will change. The syntax for defining an axiom is:

```
AXIOM <axiom> ;
```

where

```
<axiom> := <axnam> : <wfflist> ;
```

Each **WFF** in **WFFLIST** is given a name by FOL. This name is generated by taking the **AXNAM** and concatenating an integer to it. For example, if the **AXNAM** is **GROUP** then they will be given the names **GROUP1, GROUP2,...**. These can then be used to refer to particular axioms. An **AXNAM** is a **VL** and may be used in any context that that expects one. If **WFFLIST only** contains one **WFF** that axiom is called **AXNAM**.

NOTE: The syntax calls for two semicolons!!!!

Examples:

```
*****DECLARE SENTPAR P,Q,S;
*****AXIOM P1: (P>(Q>P)),
                (S>(P>Q))>((S>P)>(S>Q)),
                ((P>FALSE)>FALSE)>P;;
```

This creates the axiom P 1. It generates three additional subaxioms **P 11=(P>(Q>P))**, **P 12=(S>(P>Q))>((S>P)>(S>Q))** and **P 13=((P>FALSE)>FALSE)>P**. At the moment no checking is done for the consistency of axiom names. You lose if you create conflicting ones. Axioms cannot be gotten rid of, so be careful; Numbers **are not** legitimate **AXNAMs**.

Section 7.1.1 Using axioms as axiom schemas

There are no special rules for axiom schemas, merely an extension of the use of the rules already given. Namely, an *axiom schema* is simply an AXIOM containing a PREDPAR or an OPPAR.

An axiom can be used anywhere a VL can by using an AXREF. This is of the form AXNAM [PP₁←XX₁,...,PP_n←XX_n] and its syntax is described in the section on VLS. An AXREF can appear anywhere a VL can. In the form AXNAM [PP₁←XX₁,...,PP_n←XX_n], the PP_i are PREDPARs or OPPARs appearing in the axiom, and the XX_i are propositional functions assigned to these parameters. The assignments are done successively rather than simultaneously.

An XX_i is a WFF or TERM preceded by λ, any number of INDVARs and a "." (period). Thus, e.g. λ x y z.<wff>. The ARITY, p, of the PREDPAR or OPPAR must be less than or equal to the number of variables following the λ. The indicated X-conversion on the first p variables is done automatically. The error message NOT ENOUGH LAMBDA VARIABLES means p is too large. The remaining variables are treated as parameters of the entire axiom, and the instance of the axiom returned is the universal closure of the axiom with respect to these parameters.

The '!' notation, explained in appendix 7.9, can be used to name the WFF associated with this axiom. The SUBPART designators can then be used in the same way as they are with other VLS.

Example of using axiom schemas:

```

*****DECLARE PREDPAR P I;DECLARE INDVAR n;
*****DECLARE PREDCONST 2 2 [INF];DECLARE OPCONST t 2 [INF];

*****AXIOM INDUCTION: P(0)∧∀n.(P(n)⊃P(n+1))⊃∀n.P(n);;
INDUCTION: P(0)∧∀n.(P(n)⊃P(n+1))⊃∀n.P(n)
*****DECLARE INDVAR a b;
*****λ I INDUCTION [P←λb a. a+b≥b];

1 ∀a.(((a+0)≥0∧∀n.((a+n)≥n⊃(a+(n+1))≥(n+1)))⊃∀n.(a+n)≥n)
*****λ I INDUCTION [P←λb. ∀a. a+b≥b];

2 (∀a.(a+0)≥0∧∀n.(∀a.(a+n)≥n⊃∀a.(a+(n+1))≥(n+1)))⊃∀n a.(a+n)≥n
*****λ I INDUCTION [P←λb n. n+b≥b];

3 ∀n.(((n+0)≥0∧∀n1.((n+n1)≥n1⊃(n+(n1+1))≥(n1+1)))⊃∀n1.(n+n1)≥n1)

```

Section 7.2 Assumptions

ASSUME <wfflist> ;

The ASSUME command makes an assumption on a new line of the deduction for each **WFF** in **WFFLIST**. Note that assumptions depend upon themselves.

Examples:

```

*****ASSUME P^Q;
1 P^Q (1)
*****ASSUME P^Q, P^R;
2 P^Q (2)
3 P^R (3)

```

Section 7.3 Basic introduction and **elimination rules**

The general form of a RULENAM is

<rulename> := <logconst> ALT[I | E]

where I stands for *introduction* and E for *elimination*. The format of a command is:

<rule> := <rulename> <linenuminfo> ;

The **LINENUMINFO** is different for each **RULE**. This is explained below. We will use * to stand for an arbitrary VL. In the description of some of the **RULEs** it is necessary to distinguish among several VLs. In this case we write *1,*2,... We will write

$\wedge I \ #^{\wedge} \#$;

rather than

$\wedge I \ \langle v1 \rangle \ \wedge \ \langle v1 \rangle$;

-Alternative alphabetic **RULENAMs** will be given in parentheses after the standard ones. These usually correspond to other frequently used names for these rules. Thus MP (*modus ponens*) or UG (*universal generalization*) can be used, instead of $\supset I$ or $\forall I$.

If there is no syntactic ambiguity any comma appearing in these rules is optional. This will not be mentioned explicitly in the following sections. Thus a ',' appearing in a rule specification it is to be thought of as **OPT[,]**.

Section 7.3.1 Summary of the basic rules

The inference rules consist of an *introduction* (I) and an *elimination* (E) rule for each logical constant. This page is included for reference as each rule is discussed further on. The letters within parentheses indicate that the inference rule discharges assumptions of that form.

$\wedge I) \frac{A \quad B}{A \wedge B}$	$\wedge E) \frac{A \wedge B}{A} \quad \frac{A \wedge B}{B}$
$\vee I) \frac{a \quad B}{A \vee B} \quad \frac{B}{A \vee B}$	$\vee E) \frac{A \vee B \quad \begin{array}{l} (A) \\ C \end{array} \quad \begin{array}{l} (B) \\ C \end{array}}{C}$
$\supset I) \frac{\begin{array}{l} (A) \\ B \end{array}}{A \supset B}$	$\supset E) \frac{A \quad A \supset B}{B}$
$\forall I) \frac{A}{\forall x. A(x)}$	$\forall E) \frac{\forall x. A}{A(x)}$
$\exists I) \frac{A(x)}{\exists x. A}$	$\exists E) \frac{\exists x. A \quad \begin{array}{l} (A(x)) \\ B \end{array}}{B}$
$\neg I) \frac{\begin{array}{l} (A) \\ \text{FALSE} \end{array}}{\neg A}$	$\neg E) \frac{\begin{array}{l} (\neg A) \\ \text{FALSE} \end{array}}{a}$
$\text{FI}) \frac{\neg A \quad A}{\text{FALSE}}$	$\text{FE}) \frac{\text{FALSE}}{A}$
$\equiv I) \frac{A \supset B \quad B \supset A}{A \equiv B}$	$\equiv E) \frac{A \equiv B \quad A \equiv B}{A \supset B \quad B \supset A}$

Restriction on the $\forall I$ -rule: x must not occur in any assumption on which A depends.

Restriction on the $\exists E$ -Rule: x must not occur in $\exists x.A$, in B , or in any assumption on which the upper occurrence of B depends other than $A[x \leftarrow a]$.

Section 7.3.2 AND (A) rules .Introduction rule

AI (AI) (#^#) ^# ;

The LINENUMINFO for AI is any parenthesized conjunctive expression in which all **conjuncts** are VLS. If no parentheses appear (even in a subexpression) association is to the right, thus $*\wedge(*\wedge*\wedge*)\wedge*$ means $*\wedge((*\wedge(*\wedge*))\wedge*)$. AND is always a binary connective. The "&" and ";" are alternatives to the "^" symbol. The dependencies of a line are those LINENUMs mentioned.

```

*****ASSUME P,Q;
1 P (1)
2 Q (2)
*****^I 1,2;
3 P^Q (1 2)
*****^I 1 (2 1) ;
4 P^(Q^P) (1 2)

```

Elimination rule

^E (AE) # OPTC ALT[,|:] 3 ALT[1|2| <subpart>] ;

1 picks out the first conjunct, 2 picks out the second conjunct and SUBPART picks the appropriate subpart. For the definition of SUBPART see Appendix B. The dependencies of the result are the same as those of #.

```

*****ASSUME P^(Q^R);
1 P^(Q^R) (1)
*****^E 1 1;
2 P (1)
*****^E ↑ 2;
3 Q^R (1)
*****^E 1: #2#2;

```

4 R (1) .

Note the various **possible** syntaxes. Each of these commands could be replaced by an appropriate TAUT command; **e.g.**, the above command **AE 1 :#2#2;** could be replaced by TAUT **Q^R 1;**.

Section 7.3.3 OR (\vee) rulesIntroduction rule

VI(OI) $(\# \vee \langle wff \rangle \vee \langle wff \rangle)$;

ORs may be parenthesized just like ANDs, but at least one disjunct *must* be a VL. Any VLs given will cause the dependencies of that line to be included in those of the conclusion. As with AND, association is to the right and OR is binary.

```

*****ASSUME P;
1 P (1)
*****VI 1, (PvR);
2 Pv(PvR) ( 1 )

```

Elimination rule

$\vee E$ (OE) # , #1 , #2 ;

is the VL on which a disjunction $A \vee B$ appears #1 and #2 are both VLs such that #1: and #2: are both equal to the WFF C. The conclusion of this rule is the WFF c. The dependencies of the conclusion are those of # along with those of #1 which are not equal to A and those of #2 not equal to B. Remember two WFFs are equal if they differ only by a change of bound variable. In the example two different commands are given. Note how the dependencies are treated in each case.

```

*****ASSUME PvQ,P,Q;
1 PvQ (1)
2 P (2)
3 Q (3)
*****I -Q, 2;
4 -Q>P (2)
*****ASSUME -Q;
5 -Q (5)

```

*****FI 3,1

6 FALSE (3 5)

*****FE P ;

7 P (3 5)

*****I 5,1

8 $\neg Q \supset P$ (3)

*****VE 1,4,8;

9 $\neg Q \supset P$ (1)

*****VE 1,8,4;

10 $\neg Q \supset P$ (1 2 3)

TAUT could replace the introduction command, but if a TAUT were used in the elimination rule, the resulting VL would have extra dependencies

*****TAUT $\neg Q \supset P$ 1,4,8;

11 ($\neg Q \supset P$) (1 4 8)

Section 7.3.4 IMPLIES (\supset) rulesIntroduction rule

\supset I (DED) ALT[# \supset # | <wff> \supset #] ;

The difference between # \supset # and <wff> \supset # is that in the former case dependencies of the conclusion which are equal to the hypothesis are deleted. A comma is an alternative to the " \supset " symbol. In other styles of presenting first order logic this rule is often called the deduction theorem.

*****ASSUME P;

1 P (1)

***** \supset I P,1;

2 P \supset P (1)

***** \supset I 1 \supset 1;

3 P \supset P

Elimination rule

\supset E (MP) # , # ;

The order in which the arguments are specified is irrelevant. This is the classical rule *modus ponens*. The dependencies of the conclusion are the union of the dependencies of both VLe.

*****ASSUME P \supset Q,P;

1 P \supset Q (1)

2 P (2)

***** \supset E 1, 2;

3 Q (1 2)

The elimination rule can be replaced by TAUT, but TAUT will not remove those dependencies removed by the \supset I rule.

Section 7.3.5 FALSE (FALSE) rules

Introduction rule

FI #1 , #2 ;

If #1 is of the form A , then #2 must be of the form $\neg A$ (or the other way around). The conclusion is just the WFF "FALSE". Its dependencies are the union of those of #1 and #2.

*****ASSUME $\neg P, P$;

1 $\neg P$ (1)

2 P (2)

. *****FI \uparrow ,;

3 FALSE (1 2)

*****-I $\neg P$;

4 $\neg\neg P$ (2)

***** \supset I \uparrow ;

5 $P \supset \neg\neg P$

Elimination rule

FE # , ALT [#1 | <wff>];

must be of the WFF "FALSE". A new line is created with either #1; or the WFF specified by the alternative. This rule says that anything follows from a contradiction. The dependencies (there had better be some or your theory is inconsistent) are just those of #.

. *****ASSUME FALSE;

1 FALSE (1)

*****FE $P \wedge \neg P$;

2 $P \wedge \neg P$ (1)

Section 7.3.6 NOT (\neg) rulesIntroduction rule

\neg -I (NI) # ., ALT[#1 | <wff>] ;

must be the WFF "FALSE". The conclusion of the rule is the negation of #1: or the WFF, The dependencies of the conclusion are those of # minus the ones equal to #1: or WFF.

*****ASSUME \neg P;

1 \neg P (1)

*****ASSUME P;

2 P (2)

*****FI \uparrow ;

3 FALSE (1 2)

*****-I \neg P;

4 $\neg\neg$ P (2)

***** \supset I \uparrow >;

5 P \supset $\neg\neg$ P

Elimination rule

\neg -E (NE) # , ALT[#1 | <wff> 3 ;

must be the WFF "FALSE". #1 or WFF must have the form \neg A. The conclusion is A. The dependencies are those of #, minus any equal to \neg A. If this rule is omitted (or simply not used) and only the introduction and elimination rules are used the proof is intuitionistically valid.

*****ASSUME $\neg\neg$ P, \neg P;

1 $\neg\neg$ P (1)

2 \neg P (2)

*****FI 1 2;

3 FALSE (1 2)

*****NE 2;

4 P (1)

*****I 1;

5 --P>P

Section 7.3.7 EQUIVALENCE (\equiv) rulesIntroduction rule

$\equiv I$ (EI) #1 , #2 ;

Either #1 is of the form $A \supset B$ and #2 is of the form $B \supset A$ or vice versa. The conclusion is $A \equiv B$. The dependencies are the union of the dependencies of #1 and #2.

*****ASSUME $FALSE \supset P$;

1 $FALSE \supset P$ (1)

*****ASSUME $P \supset FALSE$;

2 $P \supset FALSE$ (2)

***** $\equiv I$ 1 2;

3 $FALSE^* \supset P$ (1 2)

Elimination rule

$\equiv E$ (EE) # , $ALT[ALT[b|1] | ALT[c|2]]$;

If # is of the form $A \equiv B$ then the first alternative produces $A \supset B$, the second $B \supset A$. The dependencies are those of #.

*****ASSUME $P \equiv \neg\neg P$;

1 $P \equiv \neg\neg P$ (1)

***** $\equiv E$ 1;

2 $P \supset \neg\neg P$ (1)

***** $\equiv E$ \uparrow c;

3 $\neg\neg P \supset P$ (1)

Section 7.3.8 Quantification rules

Section 7.3.8.1 Quantification example

```

*****DECLARE INDVAR x y; DECLARE INDPAR a b; DECLARE PREDPAR P 2;
*****ASSUME  $\forall x. \exists y. P(x,y) \wedge \forall x y. (P(x,y) \supset P(y,x))$ ;
1  $\forall x. \exists y. P(x,y) \wedge \forall x y. (P(x,y) \supset P(y,x))$  (1)
***** $\wedge$  E 1 1;
2  $\forall x. \exists y. P(x,y)$  (1)
***** $\wedge$  E 1 2;
3  $\forall x y. (P(x,y) \supset P(y,x))$  (1)
***** $\vee$  E 2 a; ~
4  $\exists y. P(a,y)$  (1)
***** $\vee$  E 3 a b;
5  $P(a,b) \supset P(b,a)$  (1)
***** $\exists$  E 4 b;
6  $P(a,b)$  (6)
***** $\supset$  E 5,6;
7  $P(b,a)$  (1 6)
***** $\wedge$  I 6 7;
8  $P(a,b) \wedge P(b,a)$  (1 6)
***** $\exists$  I 8 b $\leftarrow$ y;
9  $\exists y. (P(a,y) \wedge P(y,a))$  (1)
***** $\forall$  I 9 a $\leftarrow$ x;
10  $\forall x. \exists y. (P(x,y) \wedge P(y,x))$  (1)
***** $\supset$  I 1 $\supset$ 10;
11  $(\forall x. \exists y. P(x,y) \wedge \forall x y. (P(x,y) \supset P(y,x))) \supset \forall x. \exists y. (P(x,y) \wedge P(y,x))$ 

```

Section 7.3.8.2 UNIVERSAL QUANTIFICATION (V) rules

Introduction rule

V I (UG) # , REP1 [OPT [ALT [<indvar> | <indpar>] ←] <indvar> , OPT [,]] ;

Several simultaneous universal generalizations on • can be carried out with this command. For each element of the list (either x or a←x) a new universal quantifier (Vx) is put at the front of #: (with x for all free occurrences of a in the second case) and a new line of the derivation is created.

Remember there is a restriction on the application of this rule, namely the newly quantified variable must not appear free in any of the dependencies of #.

In the example step 10 is a universal generalization of step 9. There is nothing free in the WFF on line 1 (line 9's only dependency) so the generalization is legal. Notice that the "a" was changed to an "x". "a" cannot serve as a bound variable, as it is an INDPAR.

Elimination rule

VE (US) # , <term list> ;

Universal specialization uses the terms in the <term list> to instantiate the universal quantifiers in the order in which they appear. If a particular term is not free for the variable to be instantiated a bound variable change is made and then the substitution is made. The variable created is declared to be an INDVAR of the correct SORT.

Line 4 and 5 of the example were created by this rule.

Section 7.3.8.3 **EXISTENTIAL QUANTIFICATION (3) rules**Introduction rule

31 (EG) # , REP1 [OPT[<term> ←] <indvar> OPT[<occlist>], OPT[,]] ;

The list following * tells which TERMS are to be **generalized**. If the optional <term> is present, it is first replaced by <indvar> at each occurrence mentioned in the <occlist>. The WFF on * is then generalized and the next thing in the list is considered. Notice that no **use** can be made of an <occlist> if there is no TERM present. The machine will ignore such a list in this case. The dependencies of the conclusion are just those of *.

<occlist> := OCC <natnumlist>

In the example existential introduction is done on line 9 of the proof. This is the most interesting line of this example. You will note that the dependencies of this line are **not** as described above because of the previous existential elimination. This is explained below.

```

*****DECLARE PREOCONST F 1;
*****DECLARE INDVAR x y;
*****TAUT F (x) v ~F (x);
  1 F (x) v ~F (x)
*****EI 1, x ← y OCC 2 ;
  2 ∃y. (F(x) v ~F(y))
*****VI 2, x;
  3 Vx. ∃y. (F(x) v ~F(y))

```

Elimination rule

3E (ES) # , REP1 [ALT[<indvar> | <indpar> J, OPT[,]] J ;

The implementation of this rule is the most radically different from the formal **statement given** above. This rule corresponds in informal reasoning to the following kind of argument. Suppose we have shown that something exists with some particular property, e.g. $\exists y.P(a,y)$. Then we say "call this thing **b**". This is like saying ASSUME $P(a,b)$. Then we can reason about **b**. As soon as we have a sentence, however, that no longer mentions **b**, it is a theorem which does not depend on what we called "**y**" but only on the dependencies of the existential statement we started with. Thus we

can eliminate $P(a,b)$ from the assumptions of this theorem and replace them with those of the assumptions of $\exists y.P(a,y)$

The machine implementation thus makes the ‘correct assumption for ‘you, remembers it and *automatically* removes it at the first legitimate opportunity. Several eliminations can be done at once.

In **the** example an existential elimination was done creating step 6. This line actually **has** as its **REASON** that it was **ASSUMEd**. Line **8** thus depends on it. When the existential generalization was done **on** the next line, b no longer appeared and so line 6 was removed from the dependencies of line 9. A user should try to convince himself that this is equivalent to the rule stated at the beginning of this manual.

Section 7.3.8.4 Quantifier rules with SORTs

The following table describes the effect of the quantifier rules in the presence of **SORT** and **MOREGENERAL** declarations, such that p is of SORT P , q is of SORT Q and r is of SORT R , and R is **MOREGENERAL** than Q and Q is **MOREGENERAL** than P

VE	$\frac{\forall q. A(q)}{A(p)}$	$\frac{\forall q. A(q)}{A(q)}$	$\frac{\forall q. A(q)}{Q(r) \supset A(r)}$
VI	$\frac{A(q)}{\forall p. A(p)}$	$\frac{A(q)}{\forall q. A(q)}$	$\frac{A(q)}{\text{error}}$
VE	$\frac{\exists q. A(q)}{\text{error}}$	$\frac{\exists q. A(q)}{A(q)}$	$\frac{\exists q. A(q)}{A(r)}$
VI	$\frac{A(q)}{P(q) \supset \exists p. A(p)}$	$\frac{A(q)}{\exists q. A(q)}$	$\frac{A(q)}{p-m \text{---} \exists r. A(r)}$

As an example, consider the following FOL proof:

```

*****DECLARE PREDCONST CHESSPIECE WHITEPIECE BLACKPIECE 1;
*****DECLARE INDCONST black white ∈ Color;
*****DECLARE OPCONST color:CHESSPIECE→Color;
*****DECLARE INDVAR p ∈ CHESSPIECE, wp ∈ WHITEPIECE, bp ∈ BLACKPIECE;
*****AXIOM COLOR: Vwp. (color(wp)=white),
*                Vbp. (color(bp)=black);
.
COLOR: COLOR1: Vwp. color(wp)=white
      COLOR2: Vbp. color(bp)=black

*****Ve COLOR1 wp;
.
1 color(wp)=white
.
*****Ve COLOR1 p;
.
2 WHITEPIECE(p)⊃color(p)=white

```

In general, if universal specialization is applied to a formula with a term whose SORT is

MOREGENERAL than **the** quantified variable, the result of the specialization is an implication asserting that if the term is of the proper SORT, then the specialization holds. if the variable is MOREGENERAL than the term, then the usual WFS is returned. Corresponding results hold for the other quantifier rules.

Section 7.4 The TAUT and TAUTEQ commands

TAUTOLOGY rule

T A U T <wff> , <vllist>;

This rule decides if the **WFFs** follows as a **tautological** consequence of the **WFFs** mentioned in the **VLLIST** (the notion of **VLLIST** is **defined** in Appendix 2). In this case **WFF** is, concluded and its dependencies are the union of the dependencies of each **WFF** in the **VLLIST**. We think this algorithm is fairly efficient and thus **should** be used whenever possible.

TAUTEQ rule

TAUTEQ implements a decision procedure for the theory of equality and n-ary predicates, $n \geq 0$. Its syntax is the **same as** the TAUT rule:

TAUTEQ <wff> , <vllist>;

This rule decides if **WFF** follows from the **WFFs** mentioned in **VLLIST** in the above-mentioned theory. Thus, anything that can be proven by TAUT can also be proven by TAUTEQ but TAUTEQ runs more slowly than the TAUT rule.

```
*****DECLARE PREDCONST P 1 Q 1;
```

```
*****DECLARE OPCONST f 1;
```

```
*****DECLARE INOVAR a b;
```

```
*****TAUTEQ a=b > (P (a) = P (b)) ;
```

```
  1 a=b > (P (a) = P (b))
```

```
*****TAUT a=b > (P (a) = P (b)) ;
```

Not a tautology

```
*****TAUTEQ a=b > f (a) = f (b) :
```

- Not a tautology

The formula $a=b \supset (P(a) = P(b))$ cannot be proven **propositionally**: TAUT would simply rename $(a=b)$ to a **new** PREDPAR with **ARITY** 0, say **P1**, $P(a)$ to **P2**, and $P(b)$ to **P3**, and then try to prove $P1 \supset (P2 = P3)$. The formula $(a=b) \supset f(a) = f(b)$ cannot be proven by **TAUTEQ** since **TAUTEQ** does not know about the arguments of functions.

As mentioned before, any inference by one of the basic propositional rules can also be performed by TAUT. The difference is that TAUT sometimes handles dependencies unsatisfactorily, as in the following example:

```

*****DECLARE PREOCONST P Q 1; DECLARE INDVAR X Y Z;
*****DECLARE SENTCONST A B;
*****ASSUME A∨B, A⇒VX.P(X), B⇒VX.P(X), A, B;
  1 A∨B (1)
  2 A⇒VX.P(X) (2)
  3 B⇒VX.P(X) (3)
  4 A (4)
  5 B (5)
*****⇒E 4 2;
  6 VX.P(X) (2 4)
*****⇒E 5 3;
  7 VX.P(X) (3 5)
*****VE ↑ X ;
  8 P(X) (2 4)
*****VE ↑ X ;
  9 P(X) (3 5)
*****∨E 1,8,9;
 10 P(X) (1 2 3)
*****TAUT P(X) 1,2,3,8,9;
 11 P(X) (1 2 3 4 5)
*****TAUT P(X) 1,2,3;
Not a tautology

```

Section 7.5 The QUANT Command

Quantification rules

There are three new FOL commands which affect **WFFs** with quantifiers. They are PUSH, PULL, and **QUANT**. PUSH works on **WFFs** with an initial negation sign followed by any **number** of quantifiers. It pushes this, and any other negation symbols it might find, through these quantifiers making the necessary changes until the matrix of the formula is reached. PULL does the opposite, namely it pulls negations out to the front of the formulas.

The syntax for these commands is

PUSH <vl>;
PULL <vl>;

The QUANT command is much harder to explain. It tries to do “correct quantifier manipulations”, but the phrase in quotes is not **clearly** defined. Its syntax is

QUANT <wff> , <vl> ;

The meaning of this command is similar to TAUT. It says verify that the WFF follows from the given VL by **quantifier** manipulations. PUSH and PULL are just special cases of this rule. First there are **some** restrictions on the form of the WFF compared to that of the VL. They must be *propositionally similar* or there is no hope of applying this rule. If there are no equivalences, this means that the two must be identical when

- 1) quantifiers are dropped
- 2) terms are replaced by *'s.
- 3) negations are pushed in to **AWFFs**
- 4) **implications (A \supset B)** are changed to disjunctions (**$\neg A \vee B$**)

Thus $\neg(A(t1) \vee B(x))$ is **propositionally** similar to $\neg A(f(x)) \wedge \neg B(t3)$ but not to $\neg(B(x) \vee A(t1))$.

$\exists m. S(m) \supset \exists m. (\forall k. (k \supset m \supset \neg S(k)) \wedge S(m))$ follows from $\neg \forall m. \neg S(m) \supset \neg \forall m. (\forall n. (n \supset m \supset S(n)) \supset S(m))$ by QUANT.

Section 7.6 The DISTRIB command

Since FOL accepts the following alternatives to **WFFs** and **TERMs**.

<wff> := <condw> := I F <wff> THEN <wff> ELSE <wff>
<term> := <condt> := I F <wff> THEN <term> ELSE <term>

the DISTRIB rule can be used to distribute function and predicate symbols over conditional expressions.

DISTRIB λ <indvar> .<applexp> <condt> ;

Where <indvar> is an INDVAR, <applexp> is an application expression, i.e. either a P R E D S Y M or an OPSYM followed by an argument list of TERMS, and <condt> is a conditional expression which is a TERM,

The effect of this rule is to distribute the application symbol over the conditional expression on the arguments specified by the individual variable.

Examples:

*****DISTRIB λ X.F(X) IF TRUE THEN Y ELSE Z;

1 F(IF TRUE THEN Y ELSE Z)=IF TRUE THEN F(Y) ELSE F(Z)

*****DISTRIB λ X.P(Y,X,X) IF TRUE THEN F(Y) ELSE F(Z);

2 P(Y, IF TRUE THEN Y ELSE Z, IF TRUE THEN Y ELSE Z)=
IF TRUE THEN P(Y,F(Y),F(Y)) ELSE P(Y,F(Y),F(Y));

Section 7.7 The SUBSTITUTION command

This command allows you to take a line with an equation on it and substitute its right side for its left side in some other line. Its syntax is

```
SURST #1 IN #2 OPT[ O C C <ordernatnumlist> ] ;
```

#1 can have either = or ≡ as its major connective. If no occurrence list is specified then all possible substitutions are made. If you want to substitute the left side of #1 for the right side the command is

```
SUBSTR #1 IN #2 OPT[ OCC <ordernatnumlist> 3 ] ;
```

In order to replace t_1 by t_2 within the occurrence of t_3 in (IF A THEN t_3 ELSE t_4), it isn't necessary to prove that $t_1 = t_2$, but only $A \supset t_1 = t_2$, and the SUBSTITUTION command uses this fact in a generalized form:

Namely, if #1 has the form $wff \supset wff_1 = wff_2$ or $wff \supset t_1 = t_2$ the substitution is made only if TAUTEQ proves that $P \supset wff$, where P is the precondition of the left hand side of the equality.

The precondition of any subexpression of an FOL expression is then the conjunction of the preconditions of those parts of the conditionals which contain the subexpression. In a conditional, IF P THEN Q ELSE R, the precondition of the THEN part is P and the precondition of the ELSE part is $\neg P$.

For example, in the WFF IF P THEN (IF Q THEN a ELSE b) ELSE b The first occurrence of b has precondition $P \wedge \neg Q$, the second occurrence $\neg P$.

Ordinarily, $f(x)$ cannot be substituted for y in $\forall x.F(x,y)$ as the x in $f(x)$ would then become bound, i.e. $f(x)$ is not free for y in $\forall x.F(x,y)$. FOL automatically handles this conflict of bound variables in a substitution; those occurrences of a bound variable which will cause a conflict are c-hanged. Thus, if one tries to substitute $f(x)$ for y in $\forall x.F(x,y)$ the generated substitution instance will be $\forall x_1.F(x_1,(f(x)))$. Here the newly created variable will have the same SORT as x .

The 'new' variable is created by considering the 'old' variable to have two parts: a prefix which is the identifier up to and including its last alphanumeric character, and an index, either empty or a positive integer. The new variable which is generated will have the same prefix, and an incremented index. For this purpose, an empty index is considered to be '0'.

Section 7.8 The **MONADIC** commandMONADIC rule

M O N A D I C <wff> , <vllist>;

This rule implements a decision procedure for the monadic predicate calculus; i.e., it will decide whether WFF follows from VLLIST whenever the formulas involved contain only unary predicates. More generally, this command will always attempt to decide whether VLLIST implies WFF. Of course, this will not generally work, but it does work in many cases. If the decision procedure succeeds, WFF is concluded and dependencies are the union of the dependencies of each WFF in the VLLIST.

```

*****DECLARE PREOCONST P 1;DECLARE SENTCONST A;

****
*****DECLARE INDCONST C;DECLARE INDVAR X;

****
*****MONADIC V X.P(X)⊃P(C);

1 V X.P(X)⊃P(C)

*****MONADIC V X.(A⊃P(X))∧∃X.P(X)⊃ A;

2 (V X.(A⊃P(X))∧∃X.P(X))⊃A

```

Section 7.9 Semantic Attachment and Simplification

FOL is intended to express a variety of methods of human reasoning. Though the word “reasoning” usually connotes a logical deductive **process** of using facts and assertions to obtain conclusions, much of human intelligence relies more upon observation than upon deduction. We look at a book. The book is seen to be “green”, as an immediate observation, not as a deduction involving, say, analysis of wavelengths of light and sensory receptors in the eye. Similarly, humans cross streets without conscious analysis of the traffic flow, add numbers without resorting to basic set theory, and play chess without considering each move in terms of the geometry of the board.

Any system which hopes to express a variety of reasoning processes therefore needs a method of doing purely computational tasks. In FOL, the simplification mechanism provides this ability. These routines have two parts. First, **FOL's ATTACH** command permits the user to define a correspondence between the various constants (function symbols, predicate constants, individual constants) of his language and corresponding objects in the programming language LISP. Second, facts about the LISP structure can be used directly in the proof via the **SIMPLIFY** command, eliminating the necessity of a possibly complicated deduction. For example, obvious attachments to the function symbol + and to the individual constants **17,34,51** would allow one to conclude **17+34=51** in one step, instead of computing 34 successors of 17. In order to explain this more clearly we first give an informal account of the technical details.

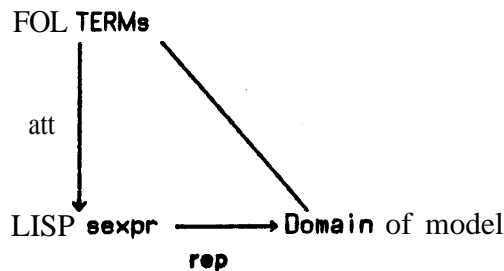
The declarations made by an **FOL** user specify a first order language $L = \langle P, F, C \rangle$, where P is the list of **PREDCONSTs**, F the list of **OPCONSTs**, and C is the list of **INDCONSTs**. A model for such a language is a structure $M = \langle D, P', F', C' \rangle$ where D is a set, and $P', F',$ and C' are lists of predicates' over D , functions on D , and individuals of D such that the **ARITYs** of the symbols in P and F match the **ARITYs** of the predicates and functions at the corresponding positions in P' and F' . The idea here is that the language L is used for making statements, about structures such as M . In particular, when the user writes down a theory in FOL, he generally has in **mind** some particular model for his language, and the axioms of his theory are intended to express the properties of this **particular** model. The **fact** that FOL' is actually a **LISP program** running in a LISP environment inspires the following idea: some parts of a models for an **FOL** languages can often be expressed computationally in the sense that the elements of D can be represented by s-expressions, and the predicates and functions on D can be represented by **LISP** functions and predicates. It should then be possible to use the computational representation to aid FOL deductions concerning the model. For example, suppose the **theory** we are interested in is first order number theory, and the model that we have in mind is the set of natural numbers together with the operations of successor, addition and multiplication. The **numerals** have natural representations as LISP numbers, and the functions in question have ***PLUS I, *PLUS, and *TIMES** as their LISP counterparts. As mentioned above it should then be possible to use the computational representation to provide swift deductions of such statements as $25+37=52$.

The semantic attachment facility in FOL allows the user to set up these computational representations of his subject matter, and to use this representation to aid deduction in FOL. This **ability** is achieved by using the **ATTACH** and **SIMPLIFY** commands. The **ATTACH** command allows FOL **OPCONSTs, PREDCONSTs,** and **INDCONSTs** to be attached to the corresponding kinds of LISP objects. The **SIMPLIFY** command **allows** the attachment information to be used in deduction:

when the user gives a TERM as the argument to SIMPLIFY, any attachments which may exist to the symbols in that TERM are looked up, and if possible, the value of the TERM in the computational representation is computed; finally, if an FOL TERM with that value can be found, the equality of the TERM with its simplified version is asserted as the next line of the proof: SIMPLIFY behaves in an analogous manner if given a WFF rather than a TERM as its argument. With the above overview in mind, let us proceed to the details.

Section. 7.9.1 A technical explanation

Given a language $L = \langle P, F, C \rangle$ and a model $M = \langle D, P', F', C' \rangle$, we define an interpretation function I which gives, for each TERM t of L in which no free variable occurs, the individual in D which t denotes. In particular we define the interpretation of an INDCONST c to be the individual c' in D , and where f is an OPCONST, and the interpretations of TERMS t_1, \dots, t_n are defined, we inductively define the interpretation of the TERM $f(t_1, t_2, \dots, t_n)$ to be $f'(I(t_1), I(t_2), \dots, I(t_n))$. We may extend the interpretation function to formulas (again without free variables) over L by defining $I(w)$ to be the object TRUE exactly when the formula w is true of the model (for a technical definition see Kleene [1968]). When f' is the function in a model corresponding to the OPCONST f in L , we will also say that f' is the interpretation of f , and similar for PREDCONSTs. Now we define a computational model to be an object $K = \langle D', P'', F'', C'' \rangle$, where it is understood that D' is a set of s-expressions, and $P'', F'',$ and C'' are lists of LISP predicates, functions, and s-expressions respectively, with the appropriate restrictions on ARITYs. From the extensional point of view, a computational model is for a language is just like a set-theoretic model for a language, except that we do not require that the functions and predicates concerned be total; that is functions and predicates may be undefined (non-terminating) for some elements of D' . We define an attachment map att from terms and formulas of L into K in a manner exactly analogous to the definition of I above. We have one last map to worry about, the map rep which gives, for each object in the domain D' of the computational model M , the object it represents in the domain D of the model M . Now we may define precisely the meaning of attachments made in the FOL system: The attachment of an INDCONST c to an SEXPR C signifies that c and C represent the same object in the model, that is to say, $I(c) = rep(C)$. Similarly, the attachment of an OPCONST f to a LISP EXPR or SUBR F signifies that the result of applying F to an SEXPR C which represents an individual c in the model, is a SEXPR which represents the individual $f'(c)$ in the model. The analogous statements hold for attachments to PREDCONSTs. The above conditions are equivalent to the statement that the following diagram commutes.



The semantic simplifier given an FOL TERM, attempts to compute its attachment, and to find a simpler TERM with the same attachment. if it succeeds, the simplified TERM is returned. For example, we might associate with **function** symbols the corresponding LISP functions. The OPCONST + might be semantically attached to the LISP function, PLUS, and the INDCONSTs 1 and 2 (i.e. the numerals) **attached** to the numbers 1 and 2, so that an evaluation of 1+2 in the LISP representation of the model would give the number 3 as an answer - the simplifier would then return the INDCONST 3.

The attachment **mechanism** allows several representation of the model by LISP SEXPRs to be in force at the same **time**: I will seek to motivate this aspect of the attachment facility by means of an example: consider a theory of chess which includes a general theory of **lists** as a subtheory (this subtheory would be applied in arguments about lists of pieces, lists of game positions, and so on). The intended model of such a theory includes at least two kinds of objects: chess positions, and lists. Lists and positions form disjoint domains in the model, though it may be possible to build lists of chess positions. If we are going to build a computational representation of this model, we will need to represent positions and **lists** by s-expressions in such a way that no s-expression represents both a list and a position. The natural representation of a chess position as an s-expression is as a **list** of eight lists, each of which--is a list of eight piece names (one of which is "empty" or some such), and the natural representation of lists as s-expressions is the direct representation as LISP lists. This representation scheme cannot be used, since it will not be possible to decide whether a given list of eight lists of eight piece names represents a chess board or a list of list of pieces. That is to say, the map **rep** will not **be** well defined. It is of course not hard to solve this problem by the use of some slightly fancier coding, but a general solution to the problem of disambiguating computational representations is available: Suppose that the intended model of an FOL theory T includes the disjoint domains D_1, \dots, D_n , and suppose further that we have a different coding function for each of these domains. That is we have n different representation functions **rep_i**, which map the domain of s-expressions into the domain of the model, with the property that the range of **rep_i** is a subset of D_i . Then it is possible that a single s-expression **s** codes two different objects d_i, d_j in the model, but as long as we know what coding function **rep_i** to apply, there is no ambiguity. **Then** the definition of the **att** map may be extended to take account of the possibility of multiple representations in the **folloing** way: The domain of the **att** map will still consist of the set of FOL terms and formulas, but its range **will** now lie in the set of pairs of the form, <representation **function**, s-expression>. The **soundness** condition for the **att** map is now that, when **att(t)=<rep, s>**, we have **rep(s)=i(s)**. In order to specify this new more complicated **att** map, the user of the FOL system must give representation information **concerning** his attachments. Specifically, each representation function must be given, a name, and when the attachment to an INDCONST is given, the name of the associated representation' function must be given as well. Similarly, when the attachment F to an OPCONST f is specified, the (names of the) representations of its arguments and of the value it returns must be given, and when the attachment to a PREDCONST is specified, the representations of its arguments must also be specified. The significance of specifying that the representations of the arguments **and value** of the attachment F to an OPCONST f are R_1, R_2, \dots, R_n , and R_v respectively, is that $R_v(F(A_1, A_2, \dots, A_n)) = f'(R_1(A_1), R_2(A_2), \dots, R_n(A_n))$, where **f'** is the interpretation of **f**, whenever A_1, \dots, A_n are SEXPRs in the domains of R_1, \dots, R_n . The same holds for attachments to PREDCONSTs, mutatis mutandis. Given the attachments with representation information for individual symbols, the map **att** on the domain of terms and formulas is defined inductively in the obvious way: If f is attached to F, and the declared representations of the arguments of F are R_1, R_2, \dots, R_n , and terms t_1, t_2, \dots, t_n have

attachments with representations R_1, R_2, \dots, R_n then $\text{att}(f(t_1, t_2, \dots, t_n)) = F(\text{att}(t_1), \text{att}(t_2), \dots, \text{att}(t_n))$. Under this definition the diagram above commutes for each individual representation function.

Note that if the representation of the attachment of any term t does not match that of its place in the argument list, then $F(\text{att}(t_1), \text{att}(t_2), \dots, \text{att}(t_n))$ cannot be expected to represent the interpretation of $f(t_1, \dots, t_n)$. The reason for this is that the correctness of a computation which purports to represent a mathematical function depends on the representation of the arguments of the function as data objects. For example, no one would expect a floating point multiplication algorithm to behave correctly if its arguments were encoded as integers rather than floating point numbers.

Finally, note that the attachment map, as well as the **EXPRS** which represent functions, may be partial. The user is never required to provide an attachment for any FOL symbol, nor is any attachment to an OPCONST or PREDCONST required to be complete. The simplification mechanism will use whatever **information is** available, but it never dies because of insufficient information.

Section 7.9.2 Declaring representation names

The representation maps from LISP objects to the intended model may be given names by use of the declaration command. Representation names may be any sequence of characters which is accepted by the FOL parser as a token (the user would do well not give his representations weird names which might interfere with the parsing of the statements in which the name might appear. For example "J" doesn't make it as a REPNAME.) The following syntax is used:

```
DECLARE REPRESENTATION REP1 [<randomtoken>];
```

Since the model itself appears nowhere in the FOL system, there is no need for the user to give any detailed information about the nature of the representation maps which he has in mind. All that is necessary is that he give each such map a name so that he may refer to it at will.

Section 7.9.3 The ATTACH command

Attachments to FOL symbols are made using the ATTACH command. The syntax for this command is:

```
*ATTACH ALT [<predconst> | <opconst> | <indconst>]
      OPTALT [TO | to | → | * | *]
      OPT [" ALT [<REPNAME>]
            [<REPNAME1>, ..., <REPNAMEn>] |
            [<REPNAME1>, ..., <REPNAMEn> = <REPOUT>]
            "j" ]
      <sexpr>;
      ; for INDCONSTS
      ; for PREDCONSTS
      ; for OPCONSTS
```

where

```

<s_expr>      := ALT[ <atom> | ( <s_explist> OPT[<dotend>] ) ];
<s_explist>   := REP1[ <s_expr> |
<dotend>      := . <s_expr>
<atom>       := ALT[ <identifier> | <numeral> ]

```

The effect of the command is that the FOL symbol appearing as the first argument is attached to the SEXPR. If the FOL symbol is a **PREDCONST** or **OPCONST**, then the SEXPR must be either an **atom** which names an already existing LISP function or predicate (i.e. the atom has an EXPR or SUBR on its property list), or a **LAMBDA** expression. The **ARITY** of the FOL symbol in these cases should match the number of arguments accepted by the attached LISP function.

There are two optional arguments to the ATTACH command. The first specifies whether or not the attachment should be regarded as “going in both directions”, and is only meaningful if the FOL symbol is an **INDCONST**. A two way attachment has the effect of telling the simplifier that, whenever SEXPR is computed as the **LISP** representation of a **TERM**, then the attached FOL symbol should be returned as the simplified version of that **TERM**. That is to say, if the FOL **INDCONST** **A** is attached “both ways” to the SEXPR **S**, then, not only is **S** the LISP representation of **A**, but **A** is the preferred FOL name of the (model value denoted by the) LISP object **S**. The manner in which the argument specifies whether the attachment goes both ways is as follows: **TO**, **to**, and **→** indicate a one-way attachment, while **↔** and ***** indicate a two-way attachment. If the argument is left out, then a one-way attachment is assumed.

The second optional argument specifies the representation information associated with the attachment: If the attachment represents an individual, then [**<REPNAME>**] specifies that the name of the representation map for that attachment is **<REPNAME>**. If the attachment represents a predicate, then [**<REPNAME1>,...<REPNAMEn>**] gives the names of the representations expected for the arguments of the attachment. If the attachment represents a function, then [**<REPNAME1>,...<REPNAMEn>=<REPOUT>**] specifies that the names of the representations expected for the arguments of the attachment are **<REPNAME1>,...,<REPNAMEn>** respectively, and that the name of the representation of the output is **<REPOUT>**. The character ***** may occur anywhere where a representation name is expected. The effect is that the default representation name for the context in which the representation name occurs is used. The default specification facilities for representation names are described in the next section.

Section 7.9.4 Setting default representations

The **REPRESENT** command may be used to associate representation names with **SORTs**, with the effect that the representation name associated with a **SORT** is used whenever ‘an attachment is made to a symbol “involving” the given **SORT**, and no representation name is specified directly. To be more precise, each FOL symbol has a collection of slots: an **INDCONST** has one slot, whereas an **OPCONST** of **ARITY** **N** has **N+1** slots, its output, and its arguments. At the present time each symbol may have one piece of **SORT** information and one piece of representation information associated with each of its slots. The result of associating a **SORT** **s** with a representation **r** via the **REPRESENT** command is that, whenever an attachment is made where no representation is given directly for a

slot of the symbol being attached to, and the SORT of that slot is **s**, then representation of that slot is set to **r**. The purpose of this command is to allow the user to set up a convenient set of defaults for representation information; nothing can be accomplished **with** the command that could not be accomplished without it, given sufficient patience on the part of **the** user. The syntax for the command is:

```
REPRESENT ALT ['*|'(REP1 [<SORTSYM> '])] AS <REPNAME>;
```

The effect of REPRESENT commands is cumulative; at any given time a SORT has the default representation most recently assigned by a REPRESENT command. Note that the effect of one represent command can override that of a previous REPRESENT command. If a ***** appears instead of a list of **SORTs**, then **<REPNAME>** becomes the “default default”. The effect of this is that whenever an attachment is made to a symbol involving a given SORT, and no representation name is specified, and there is no default representation for the SORT, then the default default, if any, is used. If no default default has been assigned, and no representation name has been specified in any other way, then an **error** message will **be** printed out at the time of the attempted **attachment**. The REPRESENT ***** command can be repeated with the effect that the effect of the **last** such command is overridden.

There are two sets of canonical attachments to **INDCONSTs** in effect in any FOL system. Each of the numerals (i.e. the **INDCONSTs 0,1,2,...**) has the LISP integer which it denotes as its canonical attachment; the representation name for all canonical attachments to numerals “NATNUMREP”. Similarly each of the quote **INDCONSTs** (e.g. **'(A B)**) is attached to the s-expression which it denotes, with the representation name “SEXPREP”. The canonical attachments are two-way attachments.

Section 7.9.5 The **SIMPLIFY** command

The **SIMPLIFY** command makes use of information concerning attachments, sorts, and extensions in computing a simplified expression which is **equivalent** to its argument. The syntax of the command is:

```
SIMPLIFY [ALT<wff>|<v|>| <term> 3 ;
```

The simplifier then attempts to find an expression in the language which corresponds to this evaluated entity. In the case of **VLS** and **TERMs**, the original expression is returned, set equal to its maximally simplified form; if a **TERM** exists in the language for the simplification, then that forms the right hand of the equality. (The simplifier is aware that **NATNUMs** and **LISP** numbers correspond to each other). In the case of **WFFs** if the result of simplification is a truth-value, the **WFF** or its negation is returned, whichever is appropriate.

If a **LISP** error is encountered during simplification, an error message is given.

Examples of the use of these commands are found in the primer.

The method employed for simplification is roughly as follows: if A is a **TERM** having the form $f(t_1, t_2, \dots, t_n)$, then (recursively), the sorts, attachments, and simplified **FOL** expressions of t_1, t_2, \dots, t_n are computed. (Of course, it is not always the case that all of this information can be determined). The same information concerning A is computed in the following manner: if f has an attachment whose argument representations match the representations of t_1, t_2, \dots, t_n then the attachment to A is computed by applying the attachment to f to the attachments of t_1, t_2, \dots, t_n . The sort of A is determined in the obvious manner: if the sorts of t_1, t_2, \dots, t_n match the argument sorts of f , then A has the output sort of f . The simplified **FOL** expression for A is the "inverse" attachment to the attachment to A if such exists, and f applied to the simplified versions of t_1, t_2, \dots, t_n otherwise. Thus when simplifying a complicated **TERM**, we first simplify its subparts, and then use the information so obtained to simplify the **TERM**.

Section 7.9.6 Auxiliary **FUNCTION** definition

```
FUNCTION <function-s_expr>;
```

This allows the definition of **<function-s_expr>** as an auxiliary **LISP** function. If the function definition is a legal **<s_expr>** which is not a legal **LISP** function definition of the **DE** or **DEFPROP** sort, an error message will be given.

Section 7.10 syntactic simplification

The basic idea of syntactic simplification is repeated substitution of selected equalities and equivalences into a given expression. More “precisely, let E be a set of universally quantified equations and equivalences, so members of E look like $\forall x.(t_1=t_2)$ or $\forall y.(F_1=F_2)$, where x and y represent variable sequences, t_1 and t_2 represent FOL TERMS, and F_1 and F_2 represent FOL WFF. A match, or immediate simplification, of an FOL expression $EXPR$ consists of replacing an occurrence of $t_1[x\leftarrow u](F_1[y\leftarrow v])$ in $EXPR$ by $t_2[x\leftarrow u](F_2[y\leftarrow v])$, where u (v) is a sequence of TERMS.

The following example from a correctness proof for the McCarthy-Painter compiler, is’ (the formalization of the correctness statement for constant expressions, where the variables have the following intended meanings:

c represents constants of the source language;
 i and j represent machine locations;
 ssv and osv represent source language state vectors
and object language state vectors, respectively;
 $v1$ represents variables of the source language.

Consider half of the base case of the induction:

$$(*) \quad \forall c \ i \ ssv \ osv. (\forall v1. (v1 \text{ OCCURS IN } c) \supset (loc(v1) < i \wedge ssv \circ v1 = osv \circ loc(v1))) \\ \supset (\text{compute}(\text{compile}(c, i), osv) \circ ac = ssv \circ c \\ \wedge \forall j. (j < i) \supset \text{compute}(\text{compile}(c, i), osv) \circ j = osv \circ j))$$

(*) is a direct consequence of elementary logical facts together with the following axioms defining source language state vectors, the compiler, and the “load immediate” instruction of the object language:

$$\forall ssv \ c. ssv \circ c = c; \\ \forall c \ i. \text{compile}(c, i) = \text{mkli}(c); \\ \forall c \ osv. \text{compute}(\text{mkli}(c), osv) \circ ac = c; \\ \forall c \ osv \ j. \text{compute}(\text{mkli}(c), osv) \circ j = osv \circ j.$$

The direct proof can be thought of as reducing (*) to TRUE by the following sequence of left-to-right substitutions (immediate simplifications):

$$\text{compile}(c, i) \Rightarrow \text{mkli}(c) \\ \text{compute}(\text{mkli}(c), osv) \circ ac \Rightarrow c \\ ssv \circ c \Rightarrow c \\ c = c \Rightarrow \text{TRUE} \\ \text{compile}(c, i) \Rightarrow \text{mkli}(c) \\ \text{compute}(\text{mkli}(c), osv) \circ j \Rightarrow osv \circ j \\ osv \circ j = osv \circ j \Rightarrow \text{TRUE} \\ j < i \supset \text{TRUE} \Rightarrow \text{TRUE} \\ \forall j. \text{TRUE} \Rightarrow \text{TRUE} \\ \text{TRUE} \wedge \text{TRUE} \Rightarrow \text{TRUE} \\ \forall v1. (v1 \text{ OCCURS IN } c) \supset (loc(v1) < i \wedge ssv \circ v1 = osv \circ loc(v1)) \supset \text{TRUE} \Rightarrow \text{TRUE} \\ \forall osv. \text{TRUE} \Rightarrow \text{TRUE}$$

$$\begin{aligned} \forall ssv. \text{TRUE} &\Rightarrow \text{T R U E} \\ \forall i. \text{TRUE} &\Rightarrow \text{TRUE} \\ \forall c. \text{TRUE} &\Rightarrow \text{TRUE} \end{aligned}$$

FOLs syntactic simplification commands implement- (a version of) this repeated substitution algorithm. There are essentially two subtleties involved in formalizing the procedure exemplified above: (1) There may be more than one equation (or equivalence) whose left half matches a given expression, so one has to establish a precedence hierarchy for matching. (2) What order does the algorithm use to consider the subexpressions of a given expression e ?

FOLs solution to the first problem **is** the following ordering on expressions:

Each simplification expression (i.e., left half of an equation or equivalence) is regarded as a linear string of atoms. Each atom is either:

- (1) a constant (which is not bound by the universal quantifiers in the prefix);
- (2) an old variable (which is bound by the universal quantifiers in the prefix and which has occurred before in the linear string);
- (3) a new variable (which is bound by the universal quantifiers in the prefix and which has not occurred before in the linear string).

If we think of concatenating different atoms to a given initial string, then the atoms have the precedence ordering

constants c old variables $<$ new variables

and expressions are ordered lexicographically in accordance with the ordering on atoms.

Let's consider, for example, the precedence relations among the simplification expressions $f(a,b,b)$, $f(a,b,c)$, $f(a,a,x)$, $f(a,x,x)$, $f(a,x,y)$, $f(x,x,x)$, and $f(x,x,y)$, where f,a,b,c are constants and x,y are variables. The last four expressions are linearly ordered:

$$f(a,x,x) < f(a,x,y) < f(x,x,x) < f(x,x,y)$$

and each of the first three expressions is less than $f(a,x,x)$ and incomparable to the other two of the first three expressions:

$$\begin{aligned} f(a,b,b) &< f(a,x,x) \\ f(a,b,c) &< f(a,x,x) \\ f(a,a,x) &< f(a,x,x) \end{aligned}$$

Together with transitivity, these inequalities completely define the precedence relation.

FOLs syntactic simplification code basically considers subexpressions of e in the usual left-to-right order. The exceptions occur after a subexpression e' has been matched (and substituted for). The

algorithm **then** begins again at the subexpression one level above **e**'. Consider the above example from the McCarthy-Painter compiler. After making the match `compile(c,i) => mkli(c)`, the algorithm begins again **with** the expression `compute(mkli(c),osv).e` does not simplify, and the algorithm attempts (unsuccessfully) to match all the subexpressions of `e` before considering the expression `compute(mkli(c),osv).ac`. Then, after making the match `compute(mkli(c),osv).ac => c`, the algorithm starts again at the expression `c=ssv.c`. The subexpression `ssv.c` matches (and is replaced by `c`), whereupon the algorithm begins again with the reduced expression `c=c`.

The syntactic simplification algorithm has the usual problems of rewrite rules. A typical difficulty is the possibility of infinitely recurring substitutions; e.g., if one uses `1=1+0` as a simplification equation, the algorithm will attempt to make this substitution without end. Longer less obvious loops are also possible. An example that actually occurred **is** the equations

$$\begin{aligned} 1 &= \text{succ}(0) \\ \forall n. \text{succ}(n) &= n+1 \\ \forall n. 0+n &= n \end{aligned}$$

which cause any occurrence of "1" to be replaced by "1" forever.

Section X10.1 Making a simplification set

One thing a user must do is to explain which **VLS** will be used as rewrite rules. The **set** of rewrite rules is called either the match tree or the simplification set. There are two commands for manipulating match trees.

```
DECLARE SIHPSET <token>;
```

creates an empty match tree, i.e., one with no rewrite rules, which has <token> as its name.

```
<match-tree-name> ← <simpset-expr>;
```

creates a **match tree** containing the **specified** rewrite rules. Existing simplification sets can be augmented using a command like

```
HTREE ← HTREE U <simpset-expr>;
```

Simplification set expressions are defined by the syntax below, where `"|"` means to take the union of the given expressions. The binding powers of `"|"`, `"U"` and `"\"` are that `"|"` binds least strongly, `"\"` has an intermediate binding power, and `"U"` is strongest.

```

<simpset-expr> := { <vl list> } | <simpset> |
                 <simpset-expr> , <simpset-expr> |
                 <simpset-expr> U <simpset-expr> |
                 <simpset-expr> \ <simpset-expr>

```

A VL which is a universally quantified equation **or** equivalence will be used as a rewrite rule in the obvious way; 'that is, in simplifying an, expression, every instance of the left-hand side of the equation will be replaced by the corresponding instance of the right-hand side. A VL, v of some other form will be used as a rewrite rule $v \equiv \text{TRUE}$. If v is also of the form $\forall x.M$, where $\forall x$ represents **the** (maximal) prefix of universal quantifiers and M is the matrix (so that M is NOT an equation or equivalence), then $M \equiv \text{TRUE}$ will be used as a rewrite rule.

There is a standard match tree, LOGICTREE which' contains the rewrite rules corresponding to the following basic logical equivalences:

```

P      A TRUE  ≡ P
P      A FALSE ≡ FALSE
TRUE  A P     ≡ P
FALSE A P     ≡ FALSE
P      v TRUE  ≡ TRUE
P      v FALSE ≡ P
TRUE  v P     ≡ TRUE
FALSE v P     ≡ P
P      ⊃ TRUE  ≡ TRUE
P      ⊃ FALSE ≡ ¬P
TRUE  ⊃ P     ≡ P
FALSE ⊃ P     ≡ TRUE
      ¬ TRUE  ≡ FALSE
      ¬ FALSE ≡ TRUE
      X = x   ≡ TRUE
VX. TRUE    ≡ TRUE
VX. FALSE   ≡ FALSE
∃X. TRUE . ' ≡ TRUE
∃X. FALSE   ≡ FALSE

```

Once an appropriate match tree has been defined, the user may invoke the simplification routines by the command

```
REWRITE ALT[<vl>|<term>|<wff> 3 OPT[ BY <simpset-expr>];
```

The different alternatives have significantly different effects on the proof: (1) rewriting a VL generates a new! proof step which **is** the maximally rewritten form of the given VL; (2) rewriting a TERM t generates a proof step $t=t'$, where t' is the maximally simplified form of t ; (3) rewriting a WFF w **generates** a proof step $w=w'$, where w' is the maximally simplified form of w , except that if w simplifies to TRUE, the new proof step is simply w . In the latter two cases, the dependencies of the new proof step are the dependencies of the VLs which were actually used in the simplification; in the first case the dependencies **also** include the dependencies of the given VL. If the command does not specify a simplification set expression, the given expression will be simplified according to the basic logical rewrite rules contained in LOGICTREE.

At present there is no FOL command for showing the rewrite rules contained in a match tree.

Section 7.10.2 Example of syntactic **simplification**

The following is an example using the syntactic **simplification** commands.

```

*****DECLARE SENTCONST P;

*****DECLARE INDCONST A B;

*****DECLARE INDVAR X Y;

*****DECLARE OPCONST F 2 G 1;

*****AXIOM F: VX.F(X,A)=A,
*          VX.F(X,X)=G(X),
*          VX Y.F(X,Y)=Y;;

F : F1: VX.F(X,A)=A
    F2: VX.F(X,X)=G(X)
    F3: VX Y.F(X,Y)=Y

*****ASSUME F1:,F2:,F3;;

1 VX.F(X,A)=A (1)
2 VX.F(X,X)=G(X) (2)
3 VX Y.F(X,Y)=Y (3)

*****REWRITE F(A,A) BY {F1,F2,F3} I
4 F(A,A)=A

*****REWRITE F(A,A) B Y {F2,F3};
5. F(A,A)=G(A)

*****REWRITE F(A,A) BY {F3};
6 F(A,A)=A

*****REWRITE F(A,A) B Y {1,2,3};
7 F(A,A)=A (1)

*****REWRITE F(A,A) BY {2,3};
8 F(A,A)=G(A) (2)

```

*****REWRITE F (A,A) BY {3};

9 F(A,A)=A (3)

*****REWRITE F (B,B) BY {1,2,3};

10 F(B,B)=G(B) (2)

*****REWRITE F (B,B) BY {1,3};

11 F(B,B)=B (3)

*****REWRITE F (B,B) BY {1};

This expression does not **simplify**. Sorry.

*****DECLARE SIMPSET MTTEST;

*****REWRITE -TRUE BY NTTEST;

This **expression** does not simplify. Sorry.

*****REWRITE -TRUE BY LOGICTREE;

12 -TRUE=FALSE

*****REWRITE TRUE \supset (P \supset X=X) BY LOGICTREE:

13 TRUE \supset (P \supset X=X)

*****MTTEST \leftarrow {1,2,3};

*****REWRITE F (A,A) BY MTTEST;

14 F(A,A)=A (1)

*****REWRITE F (A,A)=A BY MTTEST;

15 F(A,A)=A=A (1)

*****REWRITE F (A,A)=A BY MTTEST U LOGICTREE;

16 F(A,A)=A (1)

*****REWRITE F (A,A)=G(A) BY HTTEST U LOGICTREE;

17 F(A,A)=G(A)=A=G(A) (1)

*****REWRITE F (B,B) BY HTTEST;

18 $F(B, B) = G(B)$ (2)

*****REWRITE $F(B, B) = G(B)$ BY HTTEST U LOGICTREE:

19 $F(B, B) = G(B)$ (2)

*****REWRITE $F(B, B) = G(B) \wedge F(A, A) = A$ BY HTTEST U LOGICTREE;

20 $F(B, B) = G(B) \wedge F(A, A) = A$ (1 2)

*****REWRITE $F(A, A)$ BY MTTEST\{1};

21 $F(A, A) = G(A)$ (2)

*****REWRITE $F(A, A)$ BY MTTEST\{1, 2};

22 $F(A, A) = A$ (3)

*****REWRITE $F(A, A) = A$ BY (MTTEST\{1, 2}) U LOGICTREE;

2 3 $F(A, A) = A$ (3)

*****REWRITE $F(A, A) = A$ BY MTTEST\{1, 2} U LOGICTREE;

24 $F(A, A) = A = A = A$ (3)

Section 8 ADMINISTRATIVE COMMANDS

These commands manipulate the proof checker but **do not** directly alter the current deduction.

Section 8.1 The LABEL command

```
L A B E L ALT [ <ident> | <ident> = <linenum> ] ;
```

In the first case the next line the proof checker generates will get the label **IDENT**. In the second the **LINENUM** mentioned will become labeled by **IDENT**. Labels are alternatives to **VLS** and can be used in any place that the syntax expects them. When you use the same label in this command twice the second **LINENUM** specified is the one used from then on.

Section 8.2 File Handling commands

Section 8.2.1 The FETCH command

```
FETCH <filename> OPT [ FROM <mark1> | OPT | TO <mark2> ] ;
```

The **FETCH** command reads the file **<filename>**, and executes any FOL commands in this file. FOL accepts standard Stanford file designators. If mark specifications are present, the file is only read within the limits which they specify. The default FROM/TO are the beginning and the end, respectively, of the file. The commands read during a fetch are not printed in the backup file. **FETCHes** may be nested to a depth of 10. An example of a **FETCH** command is shown in the description of the **MARK** command.

Section 8.2.2 The MARK command

```
MARK <token> ;
```

This command has no effect on the proof, but simply places a mark in the file which the **FETCH** command can use to **delimit** reading of the file. For example, suppose that the file **A[FOL,RWW]** contains the following commands:

```
DECLARE SENTCONST P Q;
ASSUME P^Q;
MARK 1;
^E 1;
MARK 2;
^E ↑ 2;
```


One can invoke these commands **in** the sequence shown below. Note that it is also possible to produce the **following** proof with the single command `FETCH A [FOL, RWW]`; in which case the `MARK` commands will simply be ignored.

```
*****FETCH A [FOL, RWW] TO 1;

***
****

1 P^Q (1)

****
*****FETCHA [FOL, RWW] FROM 1 TO 2;

****

2 P (1)

****
*****FETCH A [FOL, RWW] FROM 2 :

****

3 Q (1)

****
```

Section 8.2.3 The BACKUP command

`BACKUP <file name> ;`

When FOL is initialized; a file called `BACKUP.TMP` **is** automatically created. All console input from the user is saved on this file. This command closes the current backup file, and opens a new one with the specified file name. *Caution: it deletes any file of the given name.*

Section 8.2.4 The CLOSE command

`CLOSE :`

This closes and reopens the backup file. Normally the backup file is written every five steps in the proof, but this command enables the **user** to save the state of his deduction at any point.

Section 8.3 The COMMENT command

COMMENT <delimiter><text> <delimiter>

When typed at the top-level, this inserts any text between the delimiters into the backup file; if it appears in a **FETCHed** file, the text is ignored. Of course, the delimiter must not appear in the text.

Section 8.4 The CANCEL command

CANCEL OPT [c linenum] ;

This cancels **all** steps of a deduction with **LINENUMs** greater than or equal to **LINENUM**. For example, **CANCEL 23**; deletes step 23 and all later steps. Thus you can remove unwanted steps from a deduction provided they are all at the *end* of the **PROOF**. If no **LINENUM** is specified, only the last line is cancelled.

Section 8.5 The SHOW command

The **SHOW** command is used to **display** information generated by FOL. The intent of the present command is to allow you to display information about a derivation at the console and save it on a file. The integer after the **FILENAME** becomes the linelength while this command is active.

SHOW <showtype> OPT → <filename> OPT [<NATNUM>] ;

```

<showtype> := ALT[ PROOF      OPT[ <range list> |
                   STEPS     OPT[ <range list> |
                   PRF       OPT[ <range list> |
                   AXIOM     OPT[ <axnamlst> ]
                   DECLARATIONS OPT[ <decinfo> |
                   GENERALITY OPT[ <geninfo> |
                   COMMANDS
                   LABELS     OPT[ <labelinfo> | ]

```

```

<range list> := REPI[ <rangespec>, OPT[, ] ]
<rangespec> := ALT[ OPT[ <linenum> ] : OPT[ <linenum> ] | <linenum> ]
<decinfo>   := REPI[ ALT[ <syntype> OPT[ ε <sort> ] |
                       <folsym>
                       SORTS
                       ], OPT[, ] ]
<geninfo>   := REPI[ <sort>, OPT[, ] ]
<labelinfo> := REPI[ ALT[ <label> | <rangespec> ] , OPT[, ] ]

```

RANGESPEC may be of the form 23 or 23:65 or :65 or 34: or even :. Its meaning is either a single **LINENUM** or a range of **LINENUMs**. If a number stands alone it simply means this number. If there are two numbers separated by a colon, the range is from the first to the second. If numbers do not appear on either side of the colon then the default of 0 or the last line is assumed. An **FOLSYM** is any declared identifier and the **SHOW** command returns appropriate syntactic information.

Examples are:

```
*****SHOW PROOF 1; 2: 5,16:→ FOO.BAZ [SET, RWW] 22;
```

this writes lines 1, 2 to 5, 16 to the last line of the proof onto the file `FOO.BAZ[SET,RWW]` with a line length of 22.

```
*****SHOW P R O O F ; ,
```

displays the proof on the console.

The next example shows the kind of syntactic information displayed by a "show declarations" command.

```
*****SHOW DECLARATIONS, EMPTY x + ≤ carry front binaryplus;
```

```
EMPTY is INDCONST of sort BYTES
```

```
x is INDVAR of sort INTEGER
```

```
+ is OPCONST
```

```
The domain is INTEGER • INTEGER, and the range is INTEGER[L←650R←600]
```

```
≤ is PREDCONST
```

```
The domain is INTEGER • INTEGER[L←350R←300]
```

```
carry is OPCONST
```

```
The domain is BYTES • BYTES, and the range is BYTES
```

```
front is OPCONST.
```

```
The domain is BYTES, and the range is BYTES[R←950]
```

```
No declaration for binaryplus
```

```
*****SHOW DECLARATION SORTS:
```

shows all the PREDCONSTs of ARITY 1 (i.e. all of the SORTs)

Section 8.6 The EXIT command

```
EXIT ;
```

This command returns the user to the monitor in a state appropriate for saving his core-image.

Section 8.7 The TTY and UNTTY commands

TTY OPT [**<new name list>**];

This command makes it possible for FOL to be used from terminal without the full Stanford character set and over the ARPA network. It creates synonyms for the FOL sentential connectives and quantifiers. If a **<new name list>** appears it must contain seven names, which then become the default input and output names for \wedge , \vee , \supset , \neg , \equiv , \forall , and \exists , respectively. The original quantifiers and connectives will still be accepted for input, but all output will use the new names.

If the **<new name list>** is omitted, the last used **<new name list>** is assumed. If no **<new name list>** has been used in this proof, then the following default **<new name list>** is assumed.

Original symbol	New symbol
\wedge	&
\vee	OR
\supset	IMP
\neg	NOT
\equiv	IFF
\forall	FA
\exists	EX

for example,

TTY * + \rightarrow - \leftrightarrow ALL EXISTS:

would declare * as a synonym for \wedge , + for \vee , etc.

UNTTY ;

This command returns the user to the original names for the connectives and quantifiers, and deletes any the new definitions.

Section 8.8 The SPOOL Command

SPOOL **<filename>**;
XSPool **<filename>**;

These cause the **<filename>** to be spooled on the appropriate device (LPT or **XGP**).

Appendix A FORMAL DESCRIPTION OF FOL

The non-descriptive symbols of **FOL** divide into SYNTYPEs as follows:

1. Individual variables - INDVAR. There are denumerably many individual variable symbols. We use x, y, z as meta-variables for them;
2. Individual parameters - INDPAR. There are denumerably many individual parameter symbols. As **meta-variables** we use a, b, c ;
3. n-place predicate parameters - PREDPAR. For each n there are denumerably many predicate parameter symbols. An n-place PREDPAR is said to have ARITY n;
4. Logical constants:
 - a) Sentential-constants - SENTCONST: FALSE and TRUE.
 - b) Sentential connectives - SENTCONN: $\neg, \wedge, \vee, \supset, \equiv$.
 - c) Quantifiers - QUANT: \forall and \exists ;

A particular FOL language is distinguished from a pure first order language by declaring certain constant symbols. These have the SYNTYPEs:

1. Individual constants - INDCONST;
2. n-place predicate constants - PREDCONST. Each n-place PREDCONST has ARITY n;
3. n-place operation symbols - OPCONST. Like **PREDPARs** each has an ARITY. Some authors call **OPCONSTs** function symbols;

Each SYNTYPE is assumed to be disjoint from all others.

TERMs

t is a TERM in FOL if either

1. t is an INDPAR, INDVAR, or an INDCONST, or
2. t is $f(t_1, t_2, \dots, t_n)$, where f is an OPCONST of ARITY n and t_i is a TERM.

WFFs

A is an atomic well-formed formula or AFFF if

1. A is one of the symbols "FALSE" or "TRUE",
2. A is $P(t_1, \dots, t_n)$ where P is a PREDPAR or a PREDCONST of ARITY n.

The notion of well-formed formula or WFF is defined inductively by:

1. An AFFF is a WFF.
2. If A and B are WFFs, then so are $(A \wedge B)$, $(A \vee B)$, $(A \supset B)$, $(A \equiv B)$, and $\neg(A)$.
3. If A is a WFF, then so are $\forall x.A$ and $\exists x.A$ provided that x is an INDVAR.

The usual definitions of free and bound variables apply and can be found in any standard logic text (e.g. *Mathematical Logic* by S.C. Kleene). Below the usual conventions for omitting parentheses will be used.

SUBFORMULAS

The notion of SUBFORMULA is defined inductively

1. A is a SUBFORMULA of A.
2. If $B \wedge C$, $B \vee C$, $B \supset C$, $B \equiv C$, or $\neg B$ is a SUBFORMULA of A so are B and C.
3. If $\forall x.B$ or $\exists x.B$ is a SUBFORMULA OF A, so is $B[t \leftarrow x]$.

The notations $A[t \leftarrow x]$ and $A[t \leftarrow u]$, where A represents a WFF, t, u TERMS and x an INDVAR are used to denote the result of substituting x or u, respectively, for all occurrences of t in A (if any): In contexts where a notation-like $A[t \leftarrow x]$ is used, it is always assumed that t does not occur in A within the scope of a quantifier that is immediately followed by x. The notation $A[x \leftarrow t]$, denotes the result of substituting t for all free occurrences of x.

The notation $A[a \leftarrow x, x \leftarrow t]$ means the result of first substituting x for a and then t for x. To denote simultaneous substitution we use $A[a \leftarrow x, x \leftarrow t]$.

Appendix B THE SYNTAX OF THE MACHINE IMPLEMENTATION OF FOL

In this manual the syntax of FOL will be described using a modified form of the MLISP2 notion of pattern. These form the basic constructs of the FOL parser.

1. Identifiers which appear in patterns are to be taken literally.
2. Patterns for syntactic types are surrounded by angle brackets.
3. Patterns for repetitions are designated by:

REP0[<pattern>] means 0 or more repeated PATTERNS,

REPn[<pattern>] means n or more repeated PATTERNS.

If a REP0 or a REPn has two arguments then the second argument is a pattern that acts as a separator. So that REP1[<wff>,] means one or more WFFs separated by commas.

4. Alternatives appear as ALT[<PATTERN1>...<PATTERNn>].

ALT[<wff><term>] means either a WFF or a TERM

5. Optional things appear as OPT[<pattern>]

REP2[<wff>,OPT[,]] means a sequence of two or more WFFs optionally separated by commas.

These conventions are combined with the standard Backus Normal Form notation.

Basic FOL symbols

In an attempt to make life easier for users, the FOL parser makes more careful distinctions about the kinds of symbols that it sees than the previous description indicated.

```

<indsym> := ALT[ <indvar> | <indpar> | <indconst> ]
<indvar> := <identifier> ;declared INDVAR
<indpar> := <identifier> ;declared INDPAR
<indconst> := ALT[ <identifier> |
                  <integer> ] ;declared INDCONST
                  ;no declaration necessary

<opsym> := ALT [ <oppar> <opconst> ]
<oppar> := <identifier> ;declared OPARR
<opconst> := <identifier> ;declared OPCONST
<preop> := <opsym> ;ARITY 1 and declared PREFIX
<infop> := <opsym> ;ARITY 2 and declared INFIX
<applop> := <opsym> ;ARITY n and not declared
;INF or PRE dsc

<predsym> := ALT[ <predpar> | <predconst> ]
<predpar> := <identifier> ;declared PREDPAR
<predconst> := <identifier> ;declared PRECONST
<prepred> := <predsym> ;ARITY 1 and declared PREFIX
<infpred> := <predsym> ;ARITY 2 and declared INFIX
<applpred> := <predsym> ;ARITY n and not declared
;INF or PRE dec

<sentsym> := ALT[ <sentpar> | <sentconst> ]
<sentpar> := <identifier> ;declared SENTPAR
<sentconst> := ALT[ FALSE |
                   TRUE |
                   <identifier> ] ;declared SENTCONST
                   ;INF or PRE dsc

```

```

<sentconn> := ALT( ~ | NOT | ;negation
                  v | OR | ;disjunction
                  ^ | & | AND | ;conjunction
                  > | + | IMP | ;implication
                  = | * | EQUIV | ;equivalence

<prelog> := ALT( ~ | NOT )
<inflag> := RL( v | OR | A | & | AND | > | + | IMP | = | * | EQUIV |
<quant> := ALT( V | FORALL | 3 | EXISTS )

```

TERMs

The FOL syntax for **TERMs** allows for both prefix operators and binary infix operators, as well as the usual function application notation. Any undeclared identifier can be declared an operation constant (**OPCONST**) using the **DECLARE** command. With proper declaration the following are **TERMs**:

```

f(x+-y,g(x*y+z))
CAR
car(x,y)
{ROBOT,BOX1,DOOR} U {y|Vx.P(g(x,y))}
powerset(<A,B,C>)

```

```

<term> := ALT( <indsym>
              <appterm>
              <prefixterm>
              <infixterm>
              <setterm>
              <n_tupleterm>
              <compterm>
              ( <term> )
            ]
<appterm> := <applop> ( <term list> )
<prefixterm> := <preop> <term>
<infixterm> := <term> <inop> <term>
<setterm> := a | <term list> a |
<ntupleterm> := < <term list> >
<compterm> := a | <indvar> | <uff> a |
<term list> := REPI( <term> , OPT[, ] )

```

These are illustrated above and may be used at any **time**. Other additions may occur from time to time.

AWFFs

AWFFs are formed similarly, but cannot be nested,

```

<awff> := ALT( <basawff> |
              <applawff> |
              <preawff> |
              <inlawff> )

```



```

<baseawff> := ALT[ <sentsym> |
                  <predpar> ]           ,with ARITY 0
<applawff> := <appipred> ( <termlist> )
<preawff>  := <prepred> <term>
<inlawff>  := <term> <infpred> <term>

```

Examples of AWWFs are

$$\{A, B, W\} \in \{X \mid \exists Z. W \in Z \wedge Z \in X\}$$

$$\langle a, b \rangle = \{\{a\}, \{a, b\}\}$$

$$f(x, y) = \text{'car'}(\text{cons}(x, y))$$

Equality is treated as any other predicate constant, but the system knows about the substitution of equals for equals. It does not know that $A=B$ is usually interpreted as $\neg(A=B)$, but treats it as any other predicate symbol.

WFFs

```

<wff> := ALT[ <standard first order logic formula> |
              ~ <v!> : OPT[ <subpart> ] OPT[ <subst_oper> ] ]

```

The syntax for WFFs allows the following abbreviations and options.

The primitive logical symbols are:

```

<wff>      := ALT[ <primwff> | <prewff> | <inwff> ]
<primwff>  := ALT[ <awff> | <quantwff> | ( <wff> ) ]
<prewff>   := <prelog> <primwff>
<inwff>    := <primwff> <inflag> <primwff>
<quantwff> := <quantprefix> <smallwff>
<quantprefix> := ALT[ <quant> REP1[ <indvar> ] . |
                      ( <quant> REP1[ <indvar> ] ) |
                      ]
<smallwff> := REP0[ <prelog> ] <primwff>

```

Parentheses may be omitted and then association is to the right. As is usual conjunction binds the strongest, followed by disjunction, implication and equivalence. Negation, as well as both quantifiers, bind to the shortest WFF on their right. Thus $\forall x. P(x) \supset P(x)$ will parse as $(\forall x. P(x)) \supset P(x)$ not as $\forall x. (P(x) \supset P(x))$!

We can write adjacent quantifiers of the same type together, so $\forall x. \forall y. P(x, y)$ can be written $\forall x y. P(x, y)$. FOL also accepts $(\forall x)(\forall y)P(x, y)$ or $(\forall x y)P(x, y)$ for $\forall x. \forall y. P(x, y)$.

Subparts of WFFs and TERMS

Within a deduction there is a completely general way of specifying any subpart of any TERM or WFF already mentioned. We accomplish this by means of a SUBPART designator. Derivations consist of WFFs, each of which has a LINENUM. The WFF which appears on this **line** is designated by following it with a colon. If

10 $\forall x y.(P(f(x)) \supset Q(h(x,y)))$

is line 10 of some derivation then 10: represents the WFF on that line, i.e. $\forall x y.(P(f(x)) \supset Q(h(x,y)))$. Furthermore, subparts of such a WFF can be designated by a SUBPART designator.

`<subpart> := REP1[# <integer>]`

The integer denotes which branch of the subpart tree you wish to go down. Quantified formulas and negations have only one immediate subpart, called #1. The other sentential connectives each have two. For predicates and function symbols the number of immediate subparts is determined by their ARITYs. Any conflict with these will produce an error. Thus

```

10:#1          =  $\forall y.(P(f(x)) \supset Q(h(x,y)))$ 
10:#2          = ERROR
10:#1#1#2#1    =  $h(x,y)$ 
10:#1#1#1#2 = 'ERROR' (P has ARITY 1).
10:#1#1#1#1#1 =  $x$ 

```

Substitutions in WFFs and TERMS

Once you have named a WFF, you can use a substitution operator to perform an arbitrary substitution.

```

<subst_oper> := [ REP1[<substlist>,OPT[ :]] ]
<substlist> := ALT[ <term> + <term> | <wff> + <wff> ]

```

Examples:

```

10:#1[x←ROBOT] =  $\forall y.(P(f(ROBOT)) \supset Q(h(ROBOT,y)))$ 
10:#1#1[f(x)←ROBOT:Q(h(x,y))←P(x)] =  $P(ROBOT) \supset P(x)$ 
10:#1#1#1#1[f(10:#1#1#2#1#1)←ROBOT] = ROBOT
10:#1[x←f(y)] =  $\forall y1.(P(f(f(y))) \supset Q(h(f(y),y1)))$ .

```

Note: the substitution operator changed the bound variable in the last example. This prevented the y in f(y) from becoming bound. See section on substitutions.

WFFs and TERMS thus have the following alternative syntax:

```

<wff> := <v1> : OPT[ <subpart> OPT[ <subst_oper> ] ]
<term> := <v1> : OPT[ <subpart> OPT[ <subst_oper> ] ]

```

There is an ambiguity as SUBPART may produce only a WFF where a TERM is necessary (or the other way around). FOL checks for this and will not allow a mistake. Such a subpart designator can be used whenever the syntax calls for a WFF or TERM.

A **nother** label for handling well-formed expressions is the VL

```

<vl> := ALT[ <integer> | <label> OPT[ALT[ +|-] <integer>]
          <axref> REP1(↑) ]

```

The optional + or - <integer> after a label designates an offset from the mentioned label by the amount designated,

The last alternative has not been previously mentioned. **Its** meaning is the n-th previous line, where **n** is the number of "↑" signs.

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