

# **I/O CHARACTERIZATION AND ATTRIBUTE CACHE DATA FOR ELEVEN MEASURED WORKLOADS**

**Kathy J. Richardson**

**Technical Report No. CSL-TR-94-656**

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## **Abstract**

Workloads generate a variety of disk I/O requests to access file information, execute programs, and perform computation. Workload characterization is crucial to optimizing I/O system performance. This report contains detailed workload characterization data for eleven measured workloads. It includes numerous tables, and cache behavior plots for each workload.

The workload I/O traces, from which the characterization is derived, include both file system information and I/O system information, where previous traces only included one or the other. The additional information allows I/O characterization at the system level, and greatly increases the body of knowledge about the make-up and type of disk I/O requested. The new information shows that the I/O request stream contains statistically diverse components that can be separated. This allows the important features of the workload to be captured at the appropriate cache size, and increases the total cache utilization.

**Note:** This technical report is a companion report to the dissertation *I/O Characterization and Attribute Caches for Improved I/O System Performance* (CSL-TR-94-655). While the dissertation is self contained, this report is not; it presents data that is analyzed and discussed only in the dissertation.

**Key Words and Phrases:** I/O cache, I/O architecture, disk cache

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## Appendix A

# Workload Descriptions

A DECstation 5000 running ULTRIX generated the traces, using a new version of the WRL tracing facilities. The original system was designed primarily to study processor memory issues in a multi-programming environment [BKLW90, CBJ92]. A modified version of the ULTRIX 4.2 operating system stores trace information in a large buffer. When the buffer becomes sufficiently full, the kernel schedules a special process called the *analysis program* to read and process the buffer contents. The modified system logs system call information, rather than individual instructions, in the trace buffer. On an I/O system call, the call type, process ID, and call parameters are entered in the buffer. A separate entry in the buffer holds the return value, error status and information matching the appropriate call. The kernel traces all processes.

Most of the traces monitor several days of user activity. Such long traces are necessary to capture significant I/O activity and to show the interaction of the many large and small files that comprise a workload. For most workloads, I/O calls occur relatively infrequently; long trace periods are required to capture adequate I/O call events. For user driven workloads, the CPU is idle much of the time waiting for user commands which lengthens the time required for an I/O trace.

The traces cover a wide range of user applications and types of work. All traces were collected within a research laboratory with a broad range of activities. Individual traces cover activities of researchers involved in software and hardware development, as well as writing papers. Although not necessarily typical of heavy commercial use, such as large database systems, the traces should represent many engineering development and office environments. This Appendix describes the eleven traced workloads.

## Kernel Build Workload

The Kernel Build trace is a configuration and compile of the ULTRIX kernel for a diskless DECstation 5000, with few extraneous devices [Cop]. Many modules are recompiled to make this kernel.

Workload Duration	119	minutes
<b>Datafiles</b>		
Datafile Read	18077	Requests 67508 KBytes
Datafile Write	11904	Requests 11998 KBytes
Datafile References	12589	Uses 1079 Unique
<b>Executables</b>		
Executable Reads	778	Requests 93813 KBytes (approx.)
Executable References	778	Uses 52 Unique
<b>Inodes and Directories</b>		
Inode Read	75733	Requests
Inode Writes	222	File system modifications
Inode Update	75400	Requests
Inode References	1327	Unique
Directory Read	40271	Requests
Directory Write	129	Requests
Directory References	131	Unique
<b>Operations</b>		
12449 Opens	140	Creates 334 Deletes

## Ingres Workload

Ingres workload performs 10,000 banking debit and credit transactions on an Ingres database [Sto86]. The transactions are entirely random, and there are no complicated searches. Indexes exist for all of the lookups. The database environment consists of a server and client. Both run on the same machine. The trace includes starting the server and running the 10,000 transactions.

Workload Duration	68	minutes
<b>Datafiles</b>		
Datafile Read	21012	Requests 49086 KBytes
Datafile Write	32291	Requests 179712 KBytes
Datafile References	3711	Uses 304 Unique
<b>Executables</b>		
Executable Reads	473	Requests 41061 KBytes (approx.)
Executable References	473	Uses 77 Unique
<b>Inodes and Directories</b>		
Inode Read	23000	Requests
Inode Writes	271	File system modifications
Inode Update	22535	Requests
Inode References	565	Unique
Directory Read	11774	Requests
Directory Write	193	Requests
Directory References	76	Unique
<b>Operations</b>		
3518 Opens	193	Creates 137 Deletes

## **Application Data Analysis Workload**

Application Data Analysis workload manipulates a series of traces, simulates caches and displays cache results. A filter makes minor modifications to the traces using system utilities such as *fgrep* and *awk*. The cache simulations then use the traces, formatting the results into postscript plots.

Workload Duration	1591	minutes
<b>Datafiles</b>		
Datafile Read	19428	Requests
Datafile Write	41573	Requests
Datafile References	16741	Uses
<b>Executables</b>		
Executable Reads	501	Requests
Executable References	501	Uses
<b>Inodes and Directories</b>		
Inode Read	114689	Requests
Inode Writes	220	File system modifications
Inode Update	110899	Requests
Inode References	985	Unique
Directory Read	64217	Requests
Directory Write	95	Requests
Directory References	128	Unique
<b>Operations</b>		
16621 Opens	120	Creates
		86 Deletes

## **Document Preparation Workload**

Document Preparation records six hours of intense work on a technical report. It includes text processing, editing, simulation, drawing, and data manipulation.

Workload Duration	277	minutes
<b>Datafiles</b>		
Datafile Read	64947	Requests
Datafile Write	34326	Requests
Datafile References	17537	Uses
<b>Executables</b>		
Executable Reads	2420	Requests
Executable References	2420	Uses
<b>Inodes and Directories</b>		
Inode Read	207866	Requests
Inode Writes	465	File system modifications
Inode Update	204708	Requests
Inode References	1168	Unique
Directory Read	117814	Requests
Directory Write	315	Requests
Directory References	144	Unique
<b>Operations</b>		
17190 Opens	374	Creates
		111 Deletes

## Software Development 1 Workload

Software Development 1 (8 to 5) develops and tests the ATOM simulation system [SE94]. ATOM is an instrumentation and simulation platform for understanding and debugging performance problems. It selectively instruments applications with an additional compiler pass, and incorporates libraries to count events or simulate performance of the program's execution. Although ATOM uses a standard set of libraries, the platform encourages architects to write custom applications and simulation libraries. The machine performing this activity only logged traces during the day while doing actual work. The primary system user turned tracing on when they arrived, and off when they left for the day.

Workload Duration	21330	minutes
<b>Datafiles</b>		
Datafile Read	173013	Requests
Datafile Write	430215	Requests
Datafile References	318613	Uses
<b>Executables</b>		
Executable Reads	7733	Requests
Executable References	7733	Uses
<b>Inodes and Directories</b>		
Inode Read	1993535	Requests
Inode Writes	3614	File system modifications
Inode Update	1901811	Requests
Inode References	9409	Unique
Directory Read	1013153	Requests
Directory Write	3059	Requests
Directory References	828	Unique
<b>Operations</b>		
315687 Opens	2926 Creates	2897 Deletes

## Software Development 2 Workload

Software Development 2 (24 hr.) is the same basic environment as **Software Development (8 to 5)**. The trace includes idle time at night and all the maintenance activity that goes on at night. The trace records 4 days of activity.

Workload Duration	7264	minutes
<b>Datafiles</b>		
Datafile Read	76807	Requests
Datafile Write	345133	Requests
Datafile References	313157	Uses
<b>Executables</b>		
Executable Reads	7788	Requests
Executable References	7788	Uses
<b>Inodes and Directories</b>		
Inode Read	2016482	Requests
Inode Writes	3557	File system modifications
Inode Update	1923672	Requests
Inode References	7148	Unique
Directory Read	1031202	Requests
Directory Write	2896	Requests
Directory References	559	Unique
<b>Operations</b>		
310413 Opens	2744 Creates	2516 Deletes

<b>Mecca Development Workload</b>				
Mecca Development (24 hr.) records the development and testing of a centralized e-mail system [Bor92]. Mecca uses an Ingres database with information about individuals to properly direct incoming mail to the proper recipient. This workload includes development and testing of the interface modules.				
Workload Duration	4578	minutes		
<b>Datafiles</b>				
Datafile Read	64329	Requests	147224	KBytes
Datafile Write	115123	Requests	94100	KBytes
Datafile References	60486	Uses	1054	Unique
<b>Executables</b>				
Executable Reads	3721	Requests	469734	KBytes (approx.)
Executable References	3721	Uses	145	Unique
<b>Inodes and Directories</b>				
Inode Read	618809	Requests		
Inode Writes	1620	File system modifications		
Inode Update	563633	Requests		
Inode Referencs	13244	Unique		
Directory Read	302648	Requests		
Directory Write	1329	Requests		
Directory Referencs	836	Unique		
<b>Operations</b>				
59179 Opens	1307	Creates	1416	Deletes

<b>Mecca Development with Server Workload</b>				
Mecca Development with Server (8 to 5) is the same basic environment as <b>Mecca Development (24 hr.)</b> , except that (1) the Ingres server resides on the traced machine, and (2) the machine recorded traces only during the day.				
Workload Duration	5665	minutes		
<b>Datafiles</b>				
Datafile Read	180864	Requests	341168	KBytes
Datafile Write	30077	Requests	95255	KBytes
Datafile References	19259	Uses	1056	Unique
<b>Executables</b>				
Executable Reads	1846	Requests	212003	KBytes (approx.)
Executable References	1846	Uses	146	Unique
<b>Inodes and Directories</b>				
Inode Read	172184	Requests		
Inode Writes	1510	File system modifications		
Inode Update	156301	Requests		
Inode Referencs	3589	Unique		
Directory Read	65509	Requests		
Directory Write	936	Requests		
Directory Referencs	315	Unique		
<b>Operations</b>				
18282 Opens	977	Creates	1017	Deletes

## Network Update Workload

Network Update (24 hr.) mostly consists of a large network gather-scatter operation. The operation gathered information from the whole DEC NET and then updated the whole net with new information. Additional work included the typical UNIX applications, and some special network configuration tools that run on top of the Ingres database.

Workload Duration	39659	minutes
<b>Datafiles</b>		
Datafile Read	1938499	Requests
Datafile Write	275788	Requests
Datafile References	111709	Uses
<b>Executables</b>		
Executable Reads	5026	Requests
Executable References	5026	Uses
<b>Inodes and Directories</b>		
Inode Read	1143705	Requests
Inode Writes	3929	File system modifications
Inode Update	1071904	Requests
Inode References	24080	Unique
Directory Read	599337	Requests
Directory Write	2639	Requests
Directory References	1643	Unique
<b>Operations</b>		
109073 Opens	2636 Creates	3699 Deletes

## CAD: Chip Build Workload

CAD: Chip Build traces the construction of a CPU layout from a high level description [DM92]. The high level description is in C, and much of the build involves standard compilation of the various chip pieces into a single program. The program then constructs the layout of the chip. This is not a complete layout because the chip is only partially finished. The workload ran design tests on the compiled chip description.

Workload Duration	4799	minutes
<b>Datafiles</b>		
Datafile Read	138828	Requests
Datafile Write	409271	Requests
Datafile References	205194	Uses
<b>Executables</b>		
Executable Reads	5143	Requests
Executable References	5143	Uses
<b>Inodes and Directories</b>		
Inode Read	1746158	Requests
Inode Writes	2005	File system modifications
Inode Update	1655082	Requests
Inode References	17765	Unique
Directory Read	1003335	Requests
Directory Write	1652	Requests
Directory References	975	Unique
<b>Operations</b>		
203706 Opens	1488 Creates	1969 Deletes

<b>Compute Server Workload</b>				
Compute Server (24 hr.) consists of batch simulations that ran on a machine with an idle console. The simulations evaluated potential architectural features from a compiler technology standpoint.				
Workload Duration	11091 minutes			
<b>Datafiles</b>				
Datafile Read	144000	Requests	334709	KBytes
Datafile Write	558053	Requests	239883	KBytes
Datafile References	477959	Uses	3017	Unique
<b>Executables</b>				
Executable Reads	10905	Requests	1374629	KBytes (approx.)
Executable References	10905	Uses	144	Unique
<b>Inodes and Directories</b>				
Inode Read	5081786	Requests		
Inode Writes	6269	File system modifications		
Inode Update	4773893	Requests		
Inode References	39894	Unique		
Directory Read	3087764	Requests		
Directory Write	5239	Requests		
Directory References	3177	Unique		
<b>Operations</b>				
472634 Opens	5325	Creates	4227	Deletes



## **Appendix B**

# **Workload Characterization Data**

Knowledge of the statistical distribution of I/O requests can help design systems that achieve the best price/performance ratio. Some techniques for improving performance rely heavily on the statistical nature of requests. Caches work because, statistically, programs and work environments exhibit locality. A better understanding of the statistical properties of the workloads allows cache designers to exploit the most prominent statistical properties of the workloads. The characterization shows the type of requests that are the most important to performance, and focuses attention on the most important part of the problem to be solved.

This appendix presents the characteristics of I/O with no cache effects.

1. Characteristics by type of data transferred.
2. Characteristics by transfer type (read or write).
3. Characteristics by file size.

Workload	Static Measure Objects/Size	Static Break-down (percent)	Ratio Dynamic Counts to Static Cnts	Dynamic Measure	Dynamic Break-down (percent)
Kernel Build	Inode Objects	51.3	114.06	References	68.0
	Directory Objects	5.1	308.40	References	18.2
	Executable Objects	2.0	14.96	References	0.3
	Datafile Objects	41.7	27.82	References	13.5
	Total Objects	100.0	85.96	References	100.0
	Inode Size	0.4	114.06	Bytes Trans.	9.1
	Directory Size	0.1	308.40	Bytes Trans.	9.7
	Executable Size	14.1	14.29	Bytes Trans.	44.0
	Datafile Size	85.4	1.99	Bytes Trans.	37.3
	Total Size	100.0	4.57	Bytes Trans.	100.0
Ingres	Inode Objects	55.3	81.07	References	41.1
	Directory Objects	7.4	157.46	References	10.7
	Executable Objects	7.5	6.14	References	0.4
	Datafile Objects	29.7	175.42	References	47.8
	Total Objects	100.0	109.17	References	100.0
	Inode Size	0.1	81.07	Bytes Trans.	2.1
	Directory Size	0.1	157.46	Bytes Trans.	2.2
	Executable Size	40.6	1.82	Bytes Trans.	14.6
	Datafile Size	59.2	6.96	Bytes Trans.	81.2
	Total Size	100.0	5.08	Bytes Trans.	100.0
Application Analysis	Inode Objects	64.8	229.25	References	63.4
	Directory Objects	8.4	502.44	References	18.1
	Executable Objects	5.2	6.34	References	0.1
	Datafile Objects	21.5	199.63	References	18.3
	Total Objects	100.0	234.30	References	100.0
	Inode Size	0.1	229.25	Bytes Trans.	12.2
	Directory Size	0.1	502.44	Bytes Trans.	13.9
	Executable Size	10.7	5.02	Bytes Trans.	21.3
	Datafile Size	89.1	1.49	Bytes Trans.	52.6
	Total Size	100.0	2.52	Bytes Trans.	100.0

Table B.1: Relative Static and Dynamic benchmark measures - one

Workload	Static Measure Objects/Size	Static Break-down (percent)	Ratio Dynamic Counts to Static Cnts	Dynamic Measure	Dynamic Break-down (percent)
Document Preparation	Inode Objects	60.1	353.63	References	65.3
	Directory Objects	7.4	820.34	References	18.7
	Executable Objects	3.5	35.07	References	0.4
	Datafile Objects	29.0	176.36	References	15.7
	Total Objects	100.0	325.56	References	100.0
	Inode Size	0.1	353.63	Bytes Trans.	7.7
	Directory Size	0.0	820.34	Bytes Trans.	8.8
	Executable Size	6.7	13.97	Bytes Trans.	25.0
	Datafile Size	93.1	2.36	Bytes Trans.	58.5
	Total Size	100.0	3.76	Bytes Trans.	100.0
Software Development 2	Inode Objects	76.0	551.72	References	72.8
	Directory Objects	5.9	1849.91	References	19.1
	Executable Objects	1.5	54.46	References	0.1
	Datafile Objects	16.5	278.90	References	8.0
	Total Objects	100.0	576.21	References	100.0
	Inode Size	1.6	551.72	Bytes Trans.	20.0
	Directory Size	0.5	1849.91	Bytes Trans.	21.0
	Executable Size	36.7	56.63	Bytes Trans.	45.9
	Datafile Size	61.1	9.67	Bytes Trans.	13.1
	Total Size	100.0	45.27	Bytes Trans.	100.0
MECCA Server	Inode Objects	70.3	91.95	References	54.0
	Directory Objects	6.2	210.94	References	10.9
	Executable Objects	2.9	12.64	References	0.3
	Datafile Objects	20.7	201.09	References	34.8
	Total Objects	100.0	119.59	References	100.0
	Inode Size	0.8	91.95	Bytes Trans.	5.8
	Directory Size	0.3	210.94	Bytes Trans.	4.7
	Executable Size	41.6	9.15	Bytes Trans.	29.3
	Datafile Size	57.3	13.68	Bytes Trans.	60.2
	Total Size	100.0	13.01	Bytes Trans.	100.0

Table B.2: Relative Static and Dynamic benchmark measures - two

Workload	Static Measure Objects/Size	Static Break-down (percent)	Ratio Dynamic Counts to Static Cnts	Dynamic Measure	Dynamic Break-down (percent)
MECCA Development	Inode Objects	86.7	89.40	References	70.6
	Directory Objects	5.5	363.61	References	18.1
	Executable Objects	0.9	25.66	References	0.2
	Datafile Objects	6.9	176.17	References	11.1
	Total Objects	100.0	109.79	References	100.0
	Inode Size	2.7	89.40	Bytes Trans.	14.9
	Directory Size	0.7	363.61	Bytes Trans.	15.3
	Executable Size	35.8	20.83	Bytes Trans.	46.1
	Datafile Size	60.8	6.30	Bytes Trans.	23.7
	Total Size	100.0	16.16	Bytes Trans.	100.0
CAD Chip Build	Inode Objects	86.0	191.57	References	68.5
	Directory Objects	4.7	1030.76	References	20.2
	Executable Objects	0.5	46.33	References	0.1
	Datafile Objects	8.7	307.51	References	11.1
	Total Objects	100.0	240.52	References	100.0
	Inode Size	0.3	191.57	Bytes Trans.	16.0
	Directory Size	0.1	1030.76	Bytes Trans.	18.9
	Executable Size	1.7	47.63	Bytes Trans.	23.8
	Datafile Size	97.9	1.47	Bytes Trans.	41.2
	Total Size	100.0	3.48	Bytes Trans.	100.0
Network Update	Inode Objects	81.4	92.17	References	44.0
	Directory Objects	5.6	366.39	References	11.9
	Executable Objects	0.8	21.39	References	0.1
	Datafile Objects	12.3	610.15	References	44.0
	Total Objects	100.0	170.51	References	100.0
	Inode Size	2.0	92.17	Bytes Trans.	5.2
	Directory Size	0.6	366.39	Bytes Trans.	5.6
	Executable Size	39.3	9.88	Bytes Trans.	10.7
	Datafile Size	58.1	49.27	Bytes Trans.	78.6
	Total Size	100.0	36.42	Bytes Trans.	100.0

Table B.3: Relative Static and Dynamic benchmark measures - three

Workload	Static Measure Objects/ Size	Static Break- down (percent)	Ratio Dynamic Counts to Static Cnts	Dynamic Measure	Dynamic Break- down (percent)
Software Development 1	Inode Objects	70.7	414.39	References	70.4
	Directory Objects	6.2	1227.31	References	18.4
	Executable Objects	1.1	54.46	References	0.1
	Datafile Objects	22.0	209.59	References	11.1
	Total Objects	100.0	416.14	References	100.0
	Inode Size	1.2	414.39	Bytes Trans.	18.2
	Directory Size	0.4	1227.31	Bytes Trans.	19.0
	Executable Size	29.4	40.27	Bytes Trans.	42.9
	Datafile Size	69.0	7.98	Bytes Trans.	20.0
	Total Size	100.0	27.57	Bytes Trans.	100.0
CPU Server	Inode Objects	86.3	247.20	References	72.1
	Directory Objects	6.9	973.56	References	22.6
	Executable Objects	0.3	75.73	References	0.1
	Datafile Objects	6.5	236.91	References	5.2
	Total Objects	100.0	295.91	References	100.0
	Inode Size	5.7	247.20	Bytes Trans.	26.3
	Directory Size	1.8	973.56	Bytes Trans.	33.0
	Executable Size	25.4	60.52	Bytes Trans.	28.7
	Datafile Size	67.1	9.57	Bytes Trans.	12.0
	Total Size	100.0	53.57	Bytes Trans.	100.0

Table B.4: Relative Static and Dynamic benchmark measures - four

File type	Percent of requests	Breakdown for type (percent)	Percent Read requests	Percent Write requests
<b>Kernel Build</b>				
Inode	68.0	50.0 Read 49.9 writes	34.0	33.9
Directory	18.2	99.7 Read 0.3 Write	18.1	0.1
Executable	0.3	100.0 Read	0.3	
Datafile	13.5	60.3 Read 39.7 Write	8.1	5.3
Total RW Breakdown			60.6	39.4
<b>Ingres</b>				
Inode	41.1	50.2 Read 49.8 Write	20.6	20.4
Directory	10.7	98.4 Read 1.6 Write	10.6	0.2
Executable	0.4	100.0 Read	0.4	
Datafile	47.8	39.4 Read 60.6 Write	18.9	28.9
Total RW Breakdown			50.4	49.5
<b>Application Analysis</b>				
Inode	63.4	50.8 Read 49.2 Write	32.2	31.3
Directory	18.1	99.9 Read 0.1 Write	18.0	0.1
Executable	0.1	100.0 Read	0.1	
Datafile	18.3	30.9 Read 69.1 Write	5.7	12.7
Total RW Breakdown			56.1	43.9

Table B.5: Benchmark RW request measures - one

File type	Percent of requests	Breakdown for type (percent)	Percent Read requests	Percent Write requests
<b>Document Preparation</b>				
Inode	65.3	50.3 Read 49.7 Write	32.8	32.4
Directory	18.7	99.7 Read 0.3 Write	18.6	0.1
Executable	0.4	100.0 Read	0.4	
Datafile	15.7	65.4 Read 34.6 Write	10.3	5.4
Total RW Breakdown			62.1	37.9
<b>Software Development 2</b>				
Inode	72.8	51.1 Read 48.9 Write	37.2	35.6
Directory	19.1	99.7 Read 0.3 Write	19.0	0.1
Executable	0.1	100.0 Read	0.1	
Datafile	8.0	20.2 Read 79.8 Write	1.6	6.4
Total RW Breakdown			58.0	42.0
<b>MECCA Server</b>				
Inode	54.0	52.2 Read 47.9 Write	28.2	25.8
Directory	10.9	98.6 Read 1.4 Write	10.7	0.2
Executable	0.3	100.0 Read	0.3	
Datafile	34.8	85.7 Read 14.3 Write	29.8	5.0
Total RW Breakdown			69.0	31.0

Table B.6: Benchmark RW request measures - two

File type	Percent of requests	Breakdown for type (percent)	Percent Read requests	Percent Write requests
<b>MECCA Development</b>				
Inode	70.6	52.3 Read 47.7 Write	36.9	33.7
Directory	18.1	99.6 Read 0.4 Write	18.0	0.1
Executable	0.2	100.0 Read	0.2	
Datafile	11.1	37.8 Read 62.2 Write	4.2	6.9
Total RW Breakdown			59.3	40.7
<b>CAD Chip Build</b>				
Inode	68.5	51.3 Read 48.7 Write	35.2	33.3
Directory	20.2	99.8 Read 0.2 Write	20.2	0.1
Executable	0.1	100.0 Read	0.1	
Datafile	11.1	25.9 Read 74.1 Write	2.9	8.3
Total RW Breakdown			58.3	41.7
<b>Network Update</b>				
Inode	44.0	51.5 Read 48.5 Write	22.7	21.3
Directory	11.9	99.6 Read 0.4 Write	11.9	0.1
Executable	0.1	100.0 Read	0.1	
Datafile	44.0	87.5 Read 12.5 Write	38.5	5.5
Total RW Breakdown			73.1	26.8

Table B.7: Benchmark RW request measures - three

File type	Percent of requests	Breakdown for type (percent)	Percent Read requests	Percent Write requests
<b>Software Development 1</b>				
Inode	70.4	51.1 Read 48.9 Write	36.0	34.5
Directory	18.4	99.7 Read 0.3 Write	18.3	0.1
Executable	0.1	100.0 Read	0.1	
Datafile	11.1	29.5 Read 70.5 Write	3.3	7.8
Total RW Breakdown			57.7	42.3
<b>CPU Server</b>				
Directory	22.6	48.5 Read 99.8 Read 0.2 Write	22.6	34.9 0.1
Executable	0.1	100.0 Read	0.1	
Datafile	5.2	21.7 Read 78.3 Write	1.1	4.1
Total RW Breakdown			60.9	39.1

Table B.8: Benchmark RW request measures - four

File type	Percent of bytes	Breakdown for type (percent)	Percent Read bytes	Percent Write bytes
<b>Kernel Build</b>				
Inode	9.1	50.0 Read 49.8 Write	4.5	4.5
Directory	9.7	99.7 Read 0.3 Write	9.7	0.0
Executable	44.0	100.0 Read	44.0	
Datafile	37.3	84.9 Read 15.1 Write	31.6	5.6
Total RW Breakdown			89.8	10.1
<b>Ingres</b>				
Inode	2.1	50.2 Read 49.2 Write	1.0	1.0
Directory	2.2	98.4 Read 1.6 Write	2.1	0.0
Executable	14.6	100.0 Read	14.6	
Datafile	81.2	21.5 Read 78.5 Write	17.4	63.8
Total RW Breakdown			35.2	64.8
<b>Application Analysis</b>				
Inode	12.2	50.8 Read 49.1 Write	6.2	6.0
Directory	13.9	99.9 Read 0.1 Write	13.9	0.0
Executable	21.3	100.0 Read	21.3	
Datafile	52.6	78.8 Read 21.2 Write	41.4	11.2
Total RW Breakdown			82.8	17.2

Table B.9: Benchmark RW byte measures - one

File type	Percent of bytes	Breakdown for type (percent)	Percent Read bytes	Percent Write bytes
Type	Percent	Breakdown RW-types	Read	Write
<b>Document Preparation</b>				
Inode	7.7	50.3 Read 49.6 Write	3.9	3.8
Directory	8.8	99.7 Read 0.3 Write	8.7	0.0
Executable	25.0	100.0 Read	25.0	
Datafile	58.5	95.0 Read 5.0 Write	55.6	
Total RW Breakdown			93.2	6.7
<b>Software Development 2</b>				
Inode	20.0	51.1 Read 48.8 Write	10.2	9.8
Directory	21.0	99.7 Read 0.3 Write	20.9	0.1
Executable	45.9	100.0 Read	45.9	
Datafile	13.1	60.5 Read 39.5 Write	7.9	
Total RW Breakdown			85.0	15.0
<b>MECCA Server</b>				
Inode	5.8	52.2 Read 47.4 Write	3.0	2.8
Directory	4.7	98.6 Read 1.4 Write	4.6	0.1
Executable	29.3	100.0 Read	29.3	
Datafile	60.2	78.2 Read 21.8 Write	47.1	
Total RW Breakdown			84.0	16.0

Table B.10: Benchmark RW byte measures - two

File type	Percent of bytes	Breakdown for type (percent)	Percent Read bytes	Percent Write bytes
<b>MECCA Development</b>				
Inode	14.9	52.3 Read 47.6 Write	7.8	7.1
Directory	15.3	99.6 Read 0.4 Write	15.2	0.1
Executable	46.1	100.0 Read	46.1	
Datafile	23.7	61.0 Read 39.0 Write	14.5	9.2
Total RW Breakdown			83.6	16.4
<b>CAD Chip Build</b>				
Inode	16.0	51.3 Read 48.6 Write	8.2	7.8
Directory	18.9	99.8 Read 0.2 Write	18.9	0.0
Executable	23.8	100.0 Read	23.8	
Datafile	41.2	22.2 Read 77.8 Write	9.2	32.1
Total RW Breakdown			60.1	39.9
<b>Network Update</b>				
Inode	5.2	51.5 Read 48.3 Write	2.7	2.5
Directory	5.6	99.6 Read 0.4 Write	5.6	0.0
Executable	10.7	100.0 Read	10.7	
Datafile	78.6	93.7 Read 6.3 Write	73.6	5.0
Total RW Breakdown			92.5	7.5

Table B.11: Benchmark RW byte measures - three

File type	Percent of bytes	Breakdown for type (percent)	Percent Read bytes	Percent Write bytes
<b>Software Development 1</b>				
Inode	18.2	51.1 Read 48.8 Write	9.3	8.9
Directory	19.0	99.7 Read 0.3 Write	18.9	0.1
Executable	42.9	100.0 Read	42.9	
Datafile	20.0	57.3 Read 42.7 Write	11.4	8.5
Total RW Breakdown			82.5	17.5
<b>CPU Server</b>				
Inode	26.3	51.5 Read 48.4 Write	13.6	12.7
Directory	33.0	99.8 Read 0.2 Write	33.0	0.1
Executable	28.7	100.0 Read	28.7	
Datafile	12.0	58.3 Read 41.7 Write	7.0	5.0
Total RW Breakdown			82.2	17.8

Table B.12: Benchmark RW byte measures - four

	Number of Unique Files			Total Size of Unique Files (Bytes)		
	Read Only	Write Only	Read and Written	Read Only	Write Only	Read and Written
<b>Kernel Build</b>						
Inode	17	0	1310	2176	0	167680
Directory	79	0	51	60416	0	34816
Executable	52			6567235		
Datafile	521	89	469	27036605	1593250	11242638
<b>Ingres</b>						
Inode	62	0	503	7936	0	64384
Directory	53	0	22	31744	0	18432
Executable	77			22520447		
Datafile	82	150	72	8404721	1679101	22782347
<b>Application Analysis</b>						
Inode	402	0	583	51456	0	74624
Directory	89	0	38	36864	0	30720
Executable	79			10053175		
Datafile	140	106	81	63544690	14889988	5297367
<b>Document Preparation</b>						
Inode	354	0	814	45312	0	104192
Directory	95	0	48	44032	0	28672
Executable	69			12358509		
Datafile	230	106	227	149849477	7581563	13359422

Table B.13: Read, Write, and read-write file breakdown -one

	Number of Unique Files			Total Size of Unique Files (Bytes)		
	Read Only	Write Only	Read and Written	Read Only	Write Only	Read and Written
<b>Software Development 2</b>						
Inode	4928	0	2220	630784	0	284160
Directory	173	0	385	151552	0	332288
Executable	143			20458846		
Datafile	396	587	572	10879843	2801061	20370024
<b>MECCA Server</b>						
Inode	2016	0	1573	258048	0	201344
Directory	151	0	163	122880	0	77824
Executable	146			23159126		
Datafile	266	308	482	9146556	5259243	17492821
<b>MECCA Development</b>						
Inode	11327	0	1917	1449856	0	245376
Directory	287	0	548	160768	0	464896
Executable	145			22550814		
Datafile	228	323	503	10922535	6407136	20990240

Table B.14: Read, Write, and read-write file breakdown -two

	Number of Unique Files			Total Size of Unique Files (Bytes)		
	Read Only	Write Only	Read and Written	Read Only	Write Only	Read and Written
<b>CAD Chip Build</b>						
Inode	14891	0	2874	1906048	0	367872
Directory	318	0	656	284672	0	452096
Executable	111			13623139		
Datafile	644	530	626	30180755	691939161	42633967
<b>Network Update</b>						
Inode	18646	0	5434	2386688	0	695552
Directory	484	0	1158	413696	0	857197
Executable	235			59454820		
Datafile	1299	515	1824	31558030	7836531	48410862
<b>Software Development 1</b>						
Inode	5502	0	3907	704256	0	500096
Directory	278	0	549	248320	0	486408
Executable	142			29249815		
Datafile	1487	742	694	30930015	14111245	23674308
<b>CPU Server</b>						
Inode	33519	0	6375	4290432	0	816000
Directory	649	0	2527	479232	0	1582592
Executable	144			22712488		
Datafile	773	893	1351	17703701	7026312	35330725

Table B.15: Read, Write, and read-write file breakdown -three

Maximum Size	1k	4k	8k	16k	32k	64k	256k	512k	1M	4M	GT 4M
<b>Kernel Build</b>											
Objects	1	0	2	4	6	6	26	6	1	0	0
Uses	8	0	11	40	294	132	94	200	2	0	0
Reuse	8.00	-	5.50	10.00	49.00	22.00	3.62	33.33	2.00	-	-
<b>Ingres</b>											
Objects	1	5	3	11	11	3	30	8	1	4	0
Uses	12	122	36	48	178	30	90	25	1	4	0
Reuse	12.00	24.40	12.00	4.36	16.18	10.00	3.00	3.12	1.00	1.00	-
<b>Application Analysis</b>											
Objects	1	0	2	12	14	9	30	9	1	1	0
Uses	16	0	21	63	114	63	186	56	4	1	0
Reuse	16.00	-	10.50	5.25	8.14	7.00	6.20	6.22	4.00	1.00	-
<b>Document Preparation</b>											
Objects	1	2	2	8	15	7	23	6	2	3	0
Uses	30	68	11	181	618	923	567	57	9	5	0
Reuse	30.00	34.00	5.50	22.63	41.20	131.86	24.65	9.50	4.50	1.67	-
<b>Software Development 2</b>											
Objects	9	1	2	24	25	12	52	9	7	2	0
Uses	326	12	795	444	813	1924	2313	775	539	4	0
Reuse	36.22	12.00	397.50	18.50	32.52	160.33	44.48	86.11	77.00	2.00	-
<b>MECCA Server</b>											
Objects	6	6	3	31	21	10	49	9	8	3	0
Uses	62	126	139	135	257	322	694	149	49	4	0
Reuse	10.33	21.00	46.33	4.35	12.24	32.20	14.16	16.56	6.12	1.33	-
<b>MECCA Development</b>											
Objects	8	5	3	22	24	9	54	10	8	2	0
Uses	88	116	422	160	484	881	1134	406	135	2	0
Reuse	11.00	23.20	140.67	7.27	20.17	97.89	21.00	40.60	16.88	1.00	-
<b>CAD Chip Build</b>											
Objects	5	3	3	11	23	12	41	8	5	0	0
Uses	107	372	520	173	434	1494	1578	531	172	0	0
Reuse	21.40	124.00	173.33	15.73	18.87	124.50	38.49	66.38	34.40	-	-
<b>Network Update</b>											
Objects	8	11	4	42	28	11	89	22	7	13	0
Uses	260	142	462	531	867	803	1595	436	119	23	0
Reuse	32.50	12.91	115.50	12.64	30.96	73.00	17.92	19.82	17.00	1.77	-
<b>Software Development 1</b>											
Objects	9	0	3	14	22	12	59	12	6	5	0
Uses	384	0	809	315	600	1707	2675	980	321	46	0
Reuse	42.67	-	269.67	22.50	27.27	142.25	45.34	81.67	53.50	9.20	-
<b>CPU Server</b>											
Objects	17	2	3	13	27	12	49	13	4	4	0
Uses	444	30	1239	400	1025	3169	3195	1191	396	5	0
Reuse	26.12	15.00	413.00	30.77	37.96	264.08	65.20	91.62	99.00	1.25	-

Table B.16: Executable Files - Size and Usage by Size Distribution - one

Maximum Size	1k	4k	8k	16k	32k	64k	256k	512k	1M	4M	GT 4M
<b>Catagory</b>											
<b>Kernel Build</b>											
Objects	298	139	104	101	90	166	175	0	1	5	0
Uses	5833	2316	1438	1175	464	363	385	0	14	14	0
Reuse	19.57	16.66	13.83	11.63	5.16	2.19	2.20	-	14.00	2.80	-
<b>Ingres</b>											
Objects	243	26	6	2	7	8	3	0	2	5	2
Uses	2719	269	70	2	9	12	19	0	165	18	6
Reuse	11.19	10.35	11.67	1.00	1.29	1.50	6.33	-	82.50	3.60	3.00
<b>Application Analysis</b>											
Objects	147	45	17	39	25	18	17	1	2	11	5
Uses	15256	335	80	136	84	72	79	2	59	75	21
Reuse	103.78	7.44	4.71	3.49	3.36	4.00	4.65	2.00	29.50	6.82	4.20
<b>Document Preparation</b>											
Objects	235	75	26	97	28	16	67	7	4	7	1
Uses	10223	964	231	3578	128	186	271	45	79	63	2
Reuse	43.50	12.85	8.88	36.89	4.57	11.63	4.04	6.43	19.75	9.00	2.00
<b>Software Development 2</b>											
Objects	1062	220	52	46	23	9	126	7	8	2	0
Uses	279248	8240	794	936	132	42	7465	18	2372	26	0
Reuse	262.95	37.45	15.27	20.35	5.74	4.67	59.25	2.57	296.50	13.00	-
<b>MECCA Server</b>											
Objects	718	147	45	53	37	10	33	4	3	5	1
Uses	11526	2616	317	202	112	84	920	20	765	175	3
Reuse	16.05	17.80	7.04	3.81	3.03	8.40	27.88	5.00	255.00	35.00	3.00
<b>MECCA Development</b>											
Objects	802	73	36	36	9	14	74	1	2	6	1
Uses	44839	3857	626	209	25	56	3736	4	1506	107	1
Reuse	55.91	52.84	17.39	5.81	2.78	4.00	50.49	4.00	753.00	17.83	1.00
<b>CAD Chip Build</b>											
Objects	1154	271	59	55	54	13	133	26	13	18	4
Uses	181197	5627	1343	290	110	236	4831	236	1919	529	9
Reuse	157.02	20.76	22.76	5.27	2.04	18.15	36.32	9.08	147.62	29.39	2.25
<b>Network Update</b>											
Objects	1827	1064	238	125	120	97	134	12	10	9	2
Uses	53324	19673	2481	757	565	895	5388	46	14273	246	4
Reuse	29.19	18.49	10.42	6.06	4.71	9.23	40.21	3.83	1427.30	27.33	2.00
<b>Software Development 1</b>											
Objects	1570	532	236	175	116	63	199	13	10	8	1
Uses	282300	9257	1259	903	209	144	7489	24	2626	263	11
Reuse	179.81	17.40	5.33	5.16	1.80	2.29	37.63	1.85	262.60	32.88	11.00
<b>CPU Server</b>											
Objects	2113	328	148	82	56	45	230	6	2	7	0
Uses	422011	11648	724	514	77	82	10520	29	3827	451	0
Reuse	199.72	35.51	4.89	6.27	1.38	1.82	45.74	4.83	1913.50	64.43	-

Table B.17: Datafile - Size and Usage by Size Distribution - one

## B.1 Performance Bounds

These numbers can provide a minimum and maximum bounds for the I/O traffic of the workloads.

$$\text{Minimum} = \text{Objects} * \text{overhead} + \sum_{i=\text{types}} \text{Min}(\text{size}_i, \text{bytes}_i) * \text{Rate}$$

$$\text{Maximum} = \text{References} * \text{overhead} + \sum_{i=\text{types}} \text{Max}(\text{size}_i, \text{bytes}_i) * \text{Rate}$$

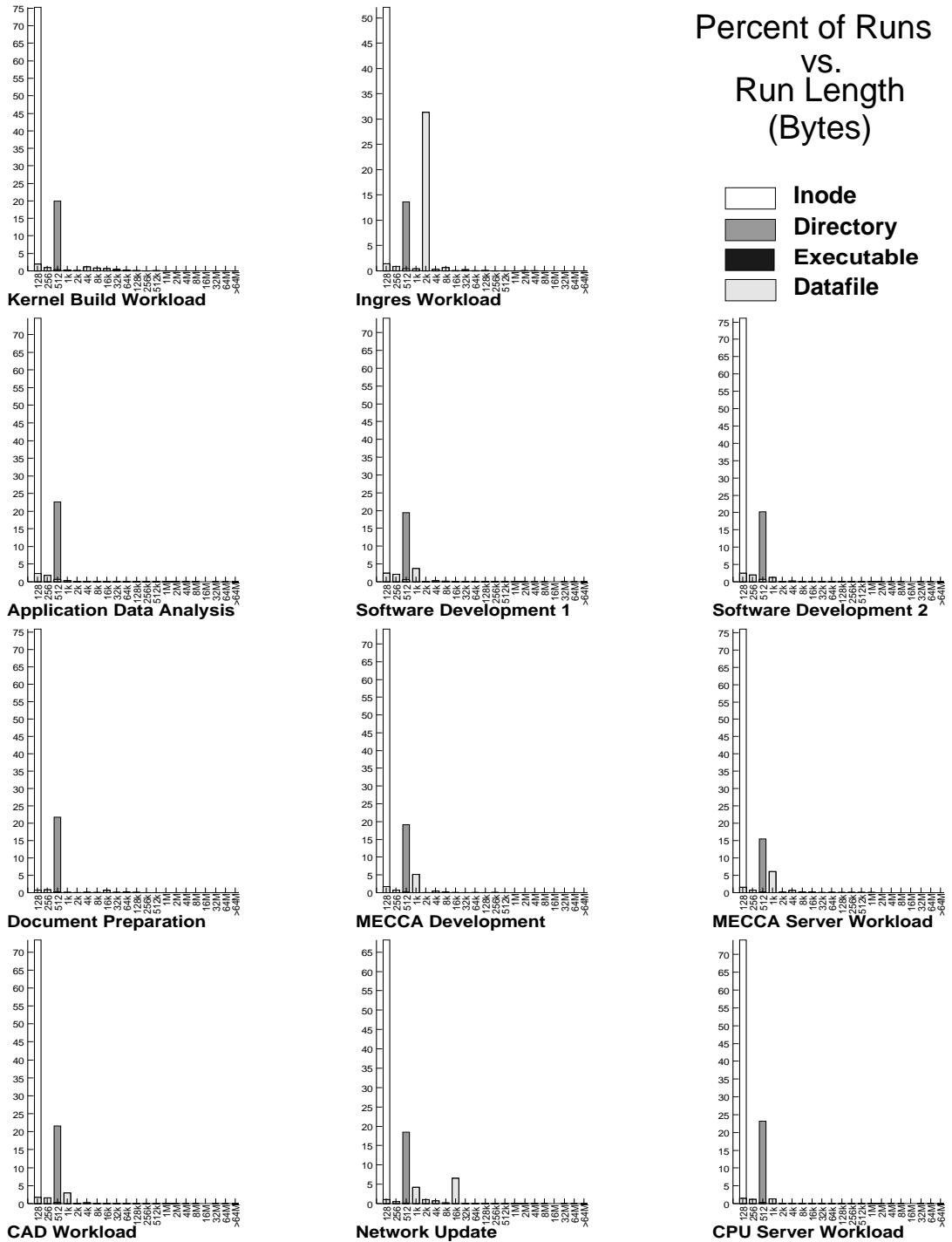


Figure B.1: Distribution of Run Lengths.

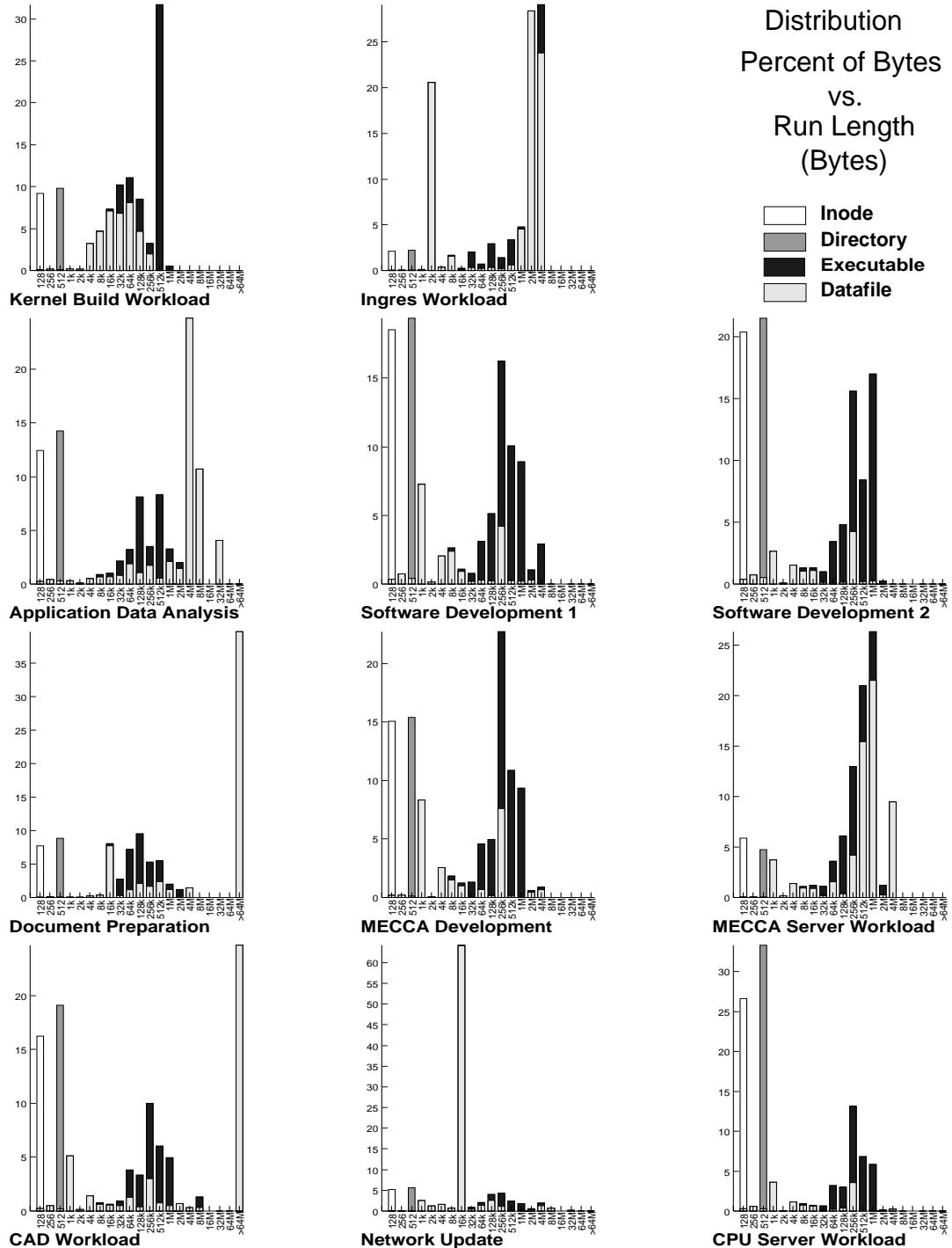


Figure B.2: Distribution of Bytes Transferred evaluated by Run Length.

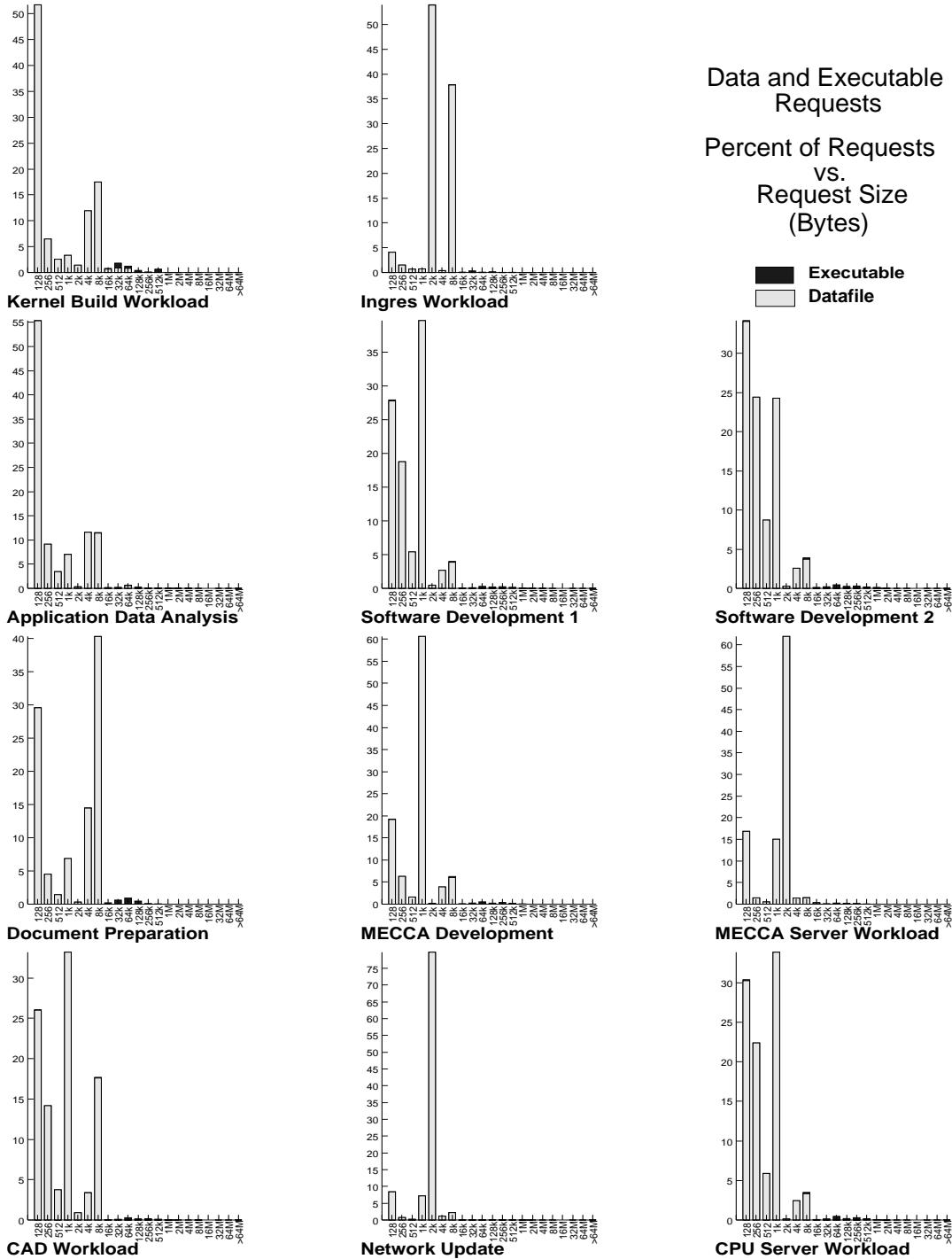


Figure B.3: Distribution of Request Sizes for Datafiles and Executables.

## **Appendix C**

# **Workload Cache Behavior Data**

Individually measuring the cache behavior of each of the four different file types—inode, directory, executable, and datafile—helps evaluate the usefulness of type information for an I/O cache. Differences can potentially be exploited to improve the cache behavior of the entire workload. The cache behavior of each type, along with the system utilization of each type, determine system I/O performance.

This appendix presents the cache behavior of the workload components in individual caches.

- Inode and Directory Cache Behavior.
- Executable Cache Behavior
- Datafile Cache Behavior
- Block Size Effect on Locality Capture
- Block Size Effect on Inode and Directory Locality Capture
- Block Size Impact on Traffic
- Separating Spatial and Temporal Locality
- Sequential Cache Properties
- Temporal Cache Properties

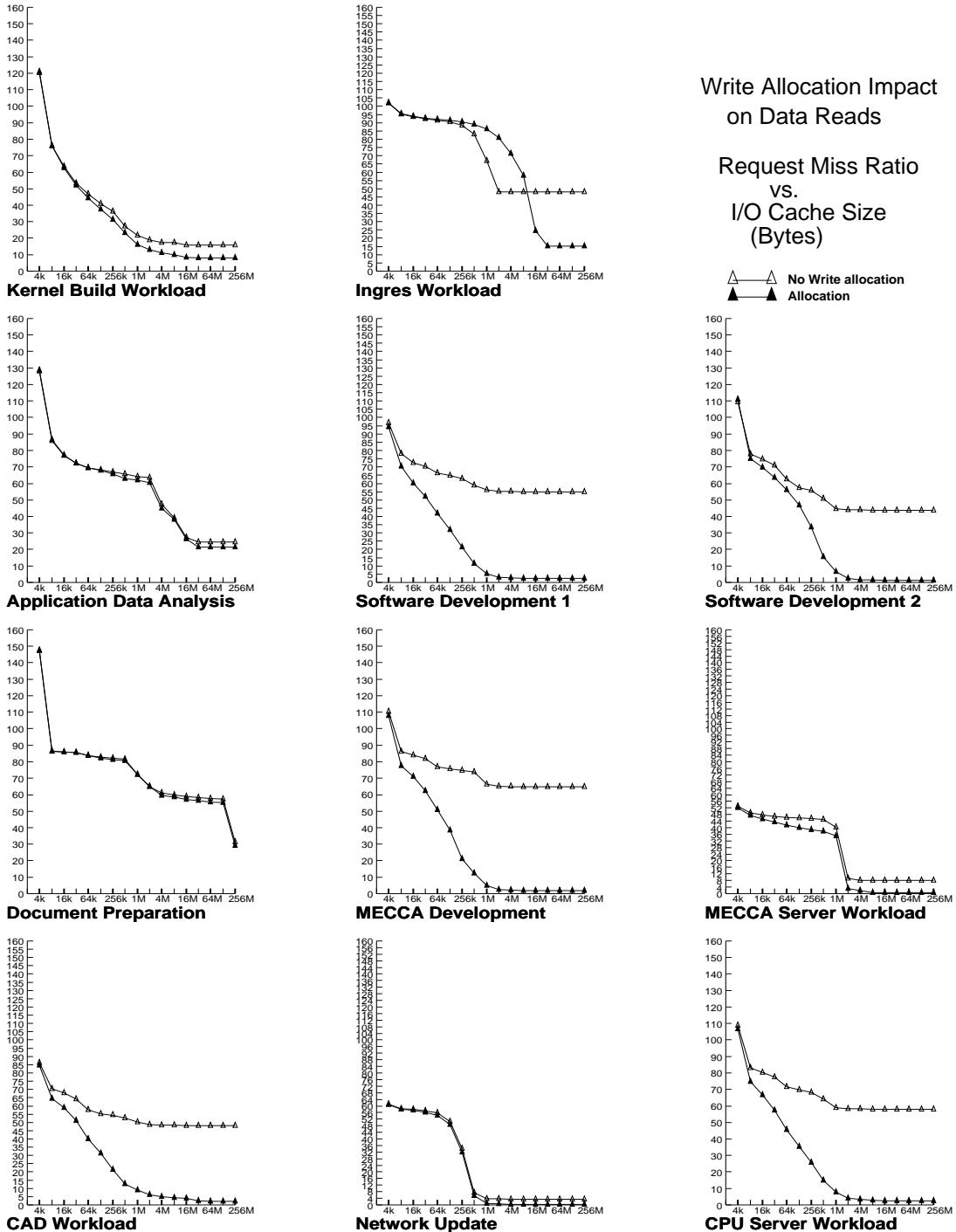


Figure C.1: Read Request Misses With and Without Write Allocation in the Cache.

## Inode Reads Block Size and Locality

**Individual Hit Ratio  
v.s.  
I/O Cache Size  
(kBytes)**

 128 byte blocks

-  1 kbyte Blocks
-  Locality 1
-  Locality 2
-  Locality 4
-  Locality 8
-  Locality 16
-  Locality 32

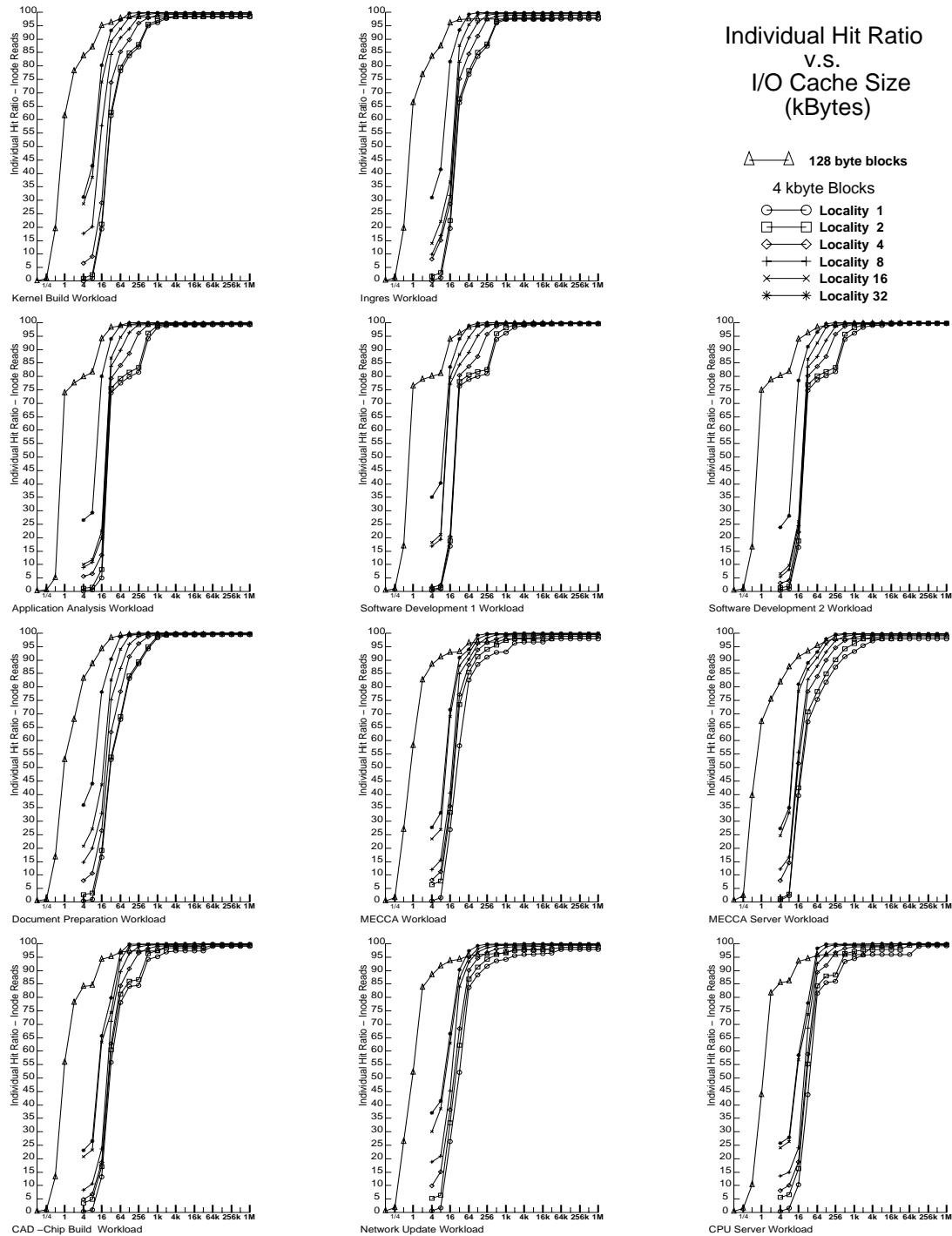


Figure C.2: Cache Hit Ratio for Inode Reads with Varying Amounts of Locality.

## Data and Executables

Request Miss Ratio  
VS.  
I/O Cache Size  
(Bytes)

- $\triangle - \triangle$  4096 byte blocks
- $\circ - \circ$  8192 byte blocks
- $\square - \square$  16384 byte blocks
- $\diamond - \diamond$  32768 byte blocks
- $+ - +$  65536 byte blocks

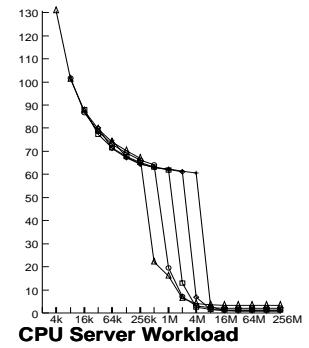
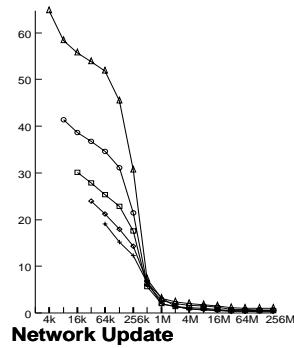
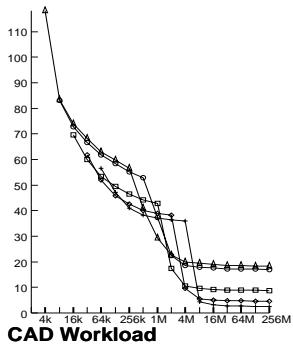
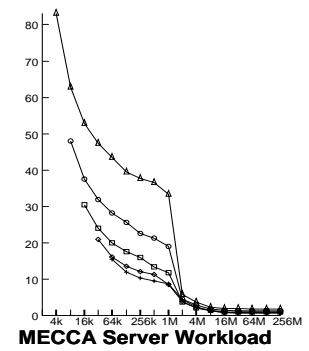
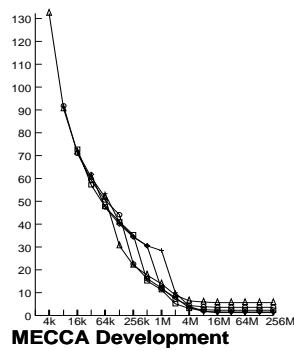
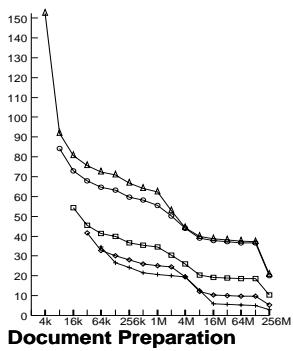
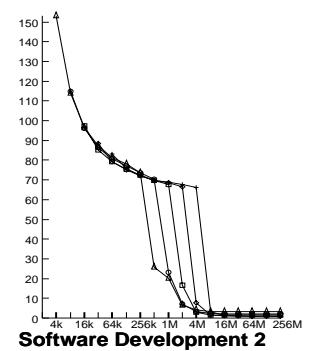
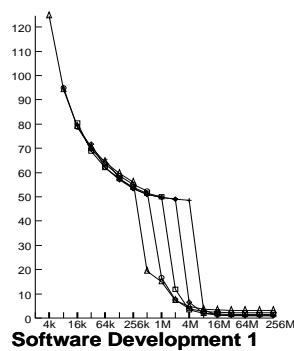
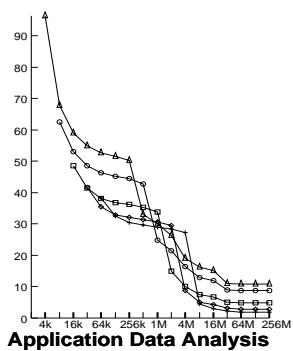
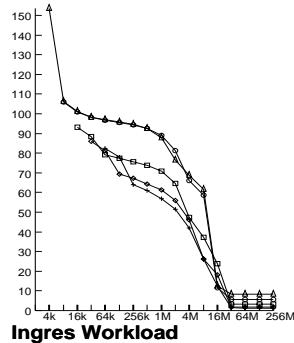
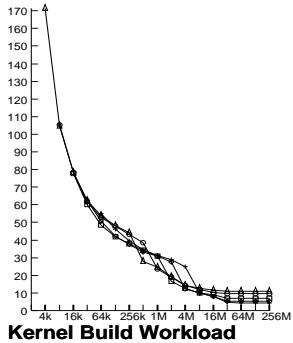


Figure C.3: Request Miss Ratio for various block sizes.

## Data and Executables

### Byte Request Ratio vs. I/O Cache Size (Bytes)


 ▲ 4096 byte blocks  
 ○ 8192 byte blocks  
 ■ 16384 byte blocks  
 ◆ 32768 byte blocks  
 + 65536 byte blocks

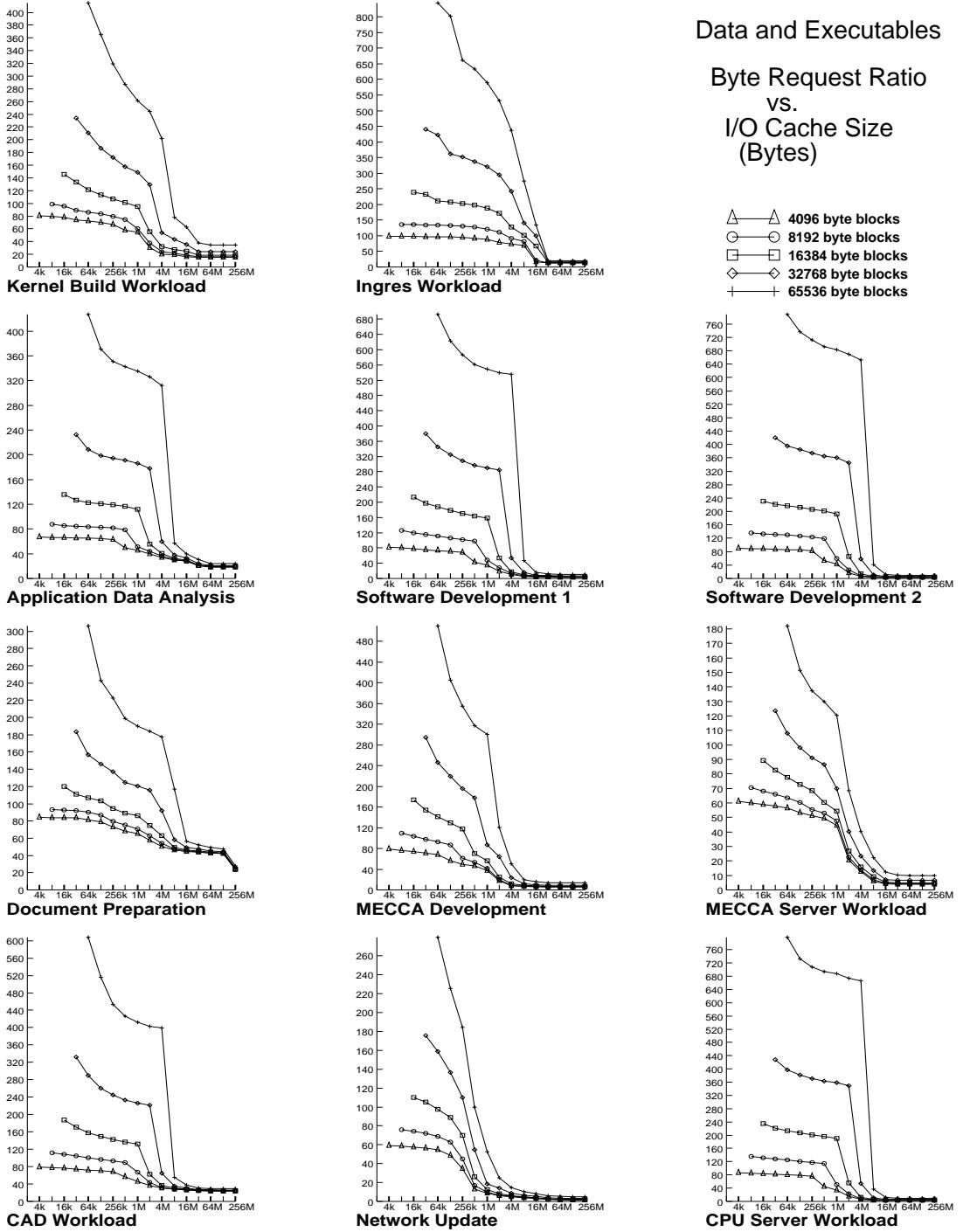


Figure C.4: Relative Traffic (based on blocks) for various block sizes.

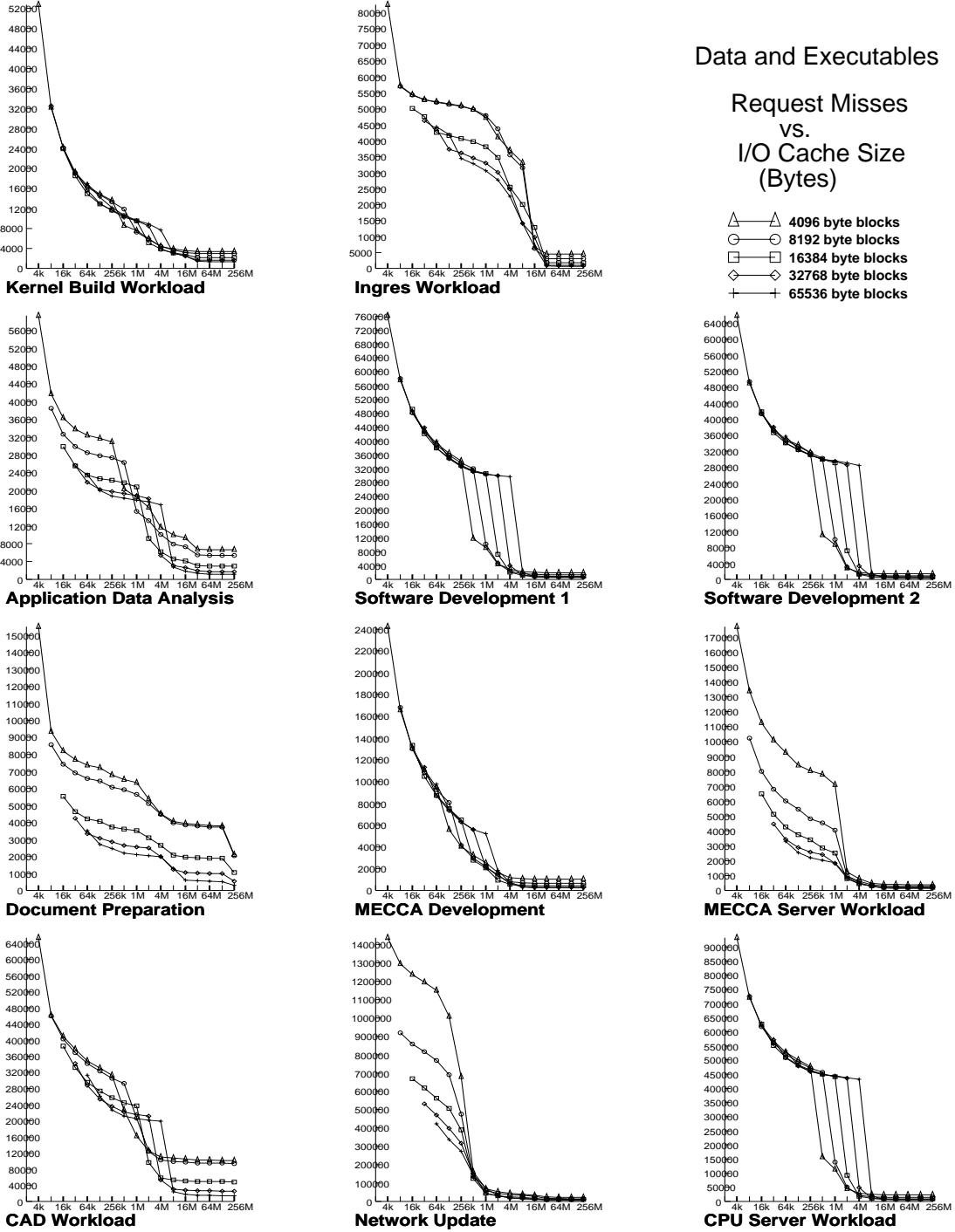


Figure C.5: Request Miss Counts for various block sizes.

## Data and Executables

TrafficMisses  
( Kbytes )  
VS.  
I/O Cache Size  
(Bytes)

△ 4096 byte blocks  
○ 8192 byte blocks  
□ 16384 byte blocks  
◇ 32768 byte blocks  
+ 65536 byte blocks

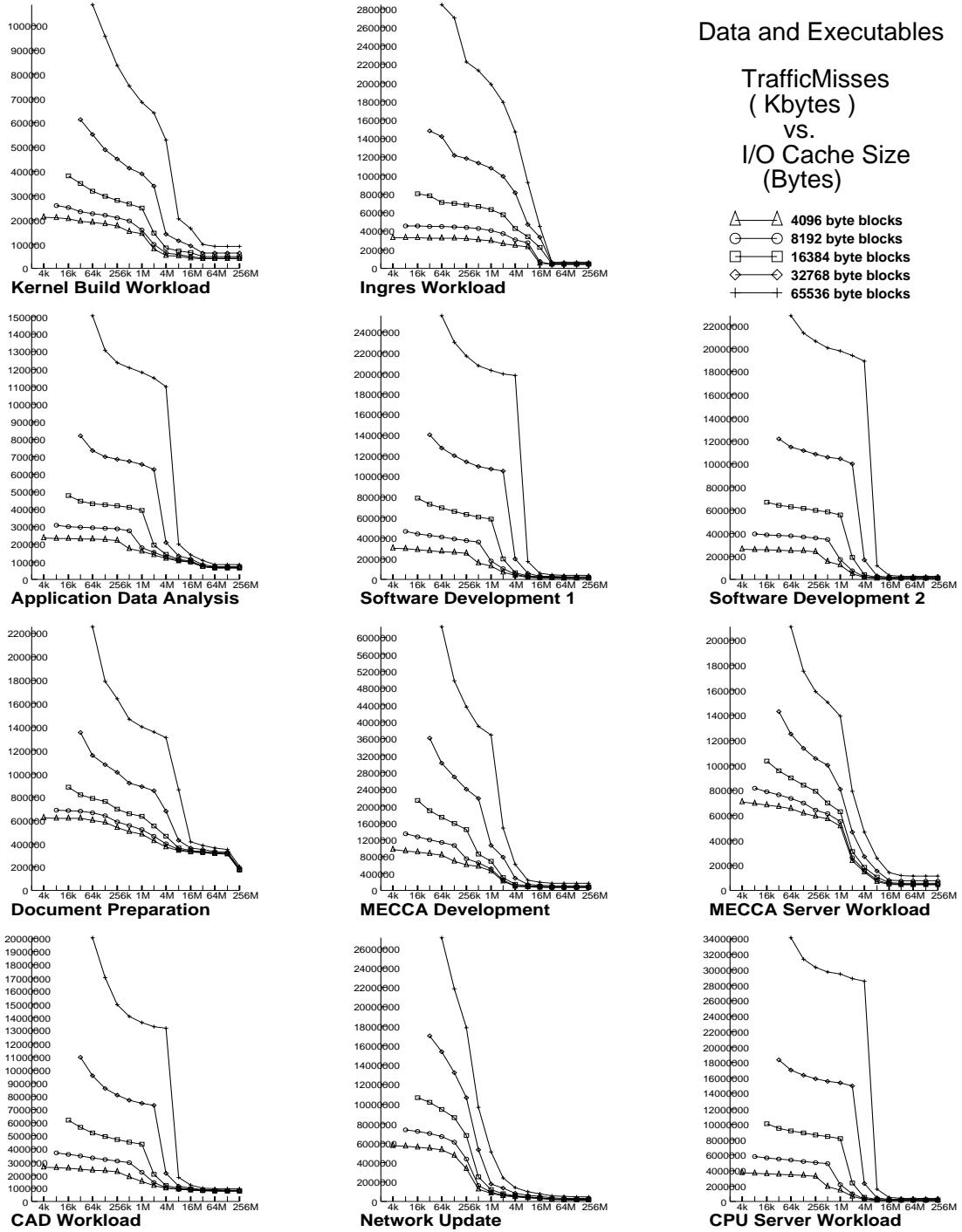


Figure C.6: Raw Traffic (based on blocks) for various block sizes.

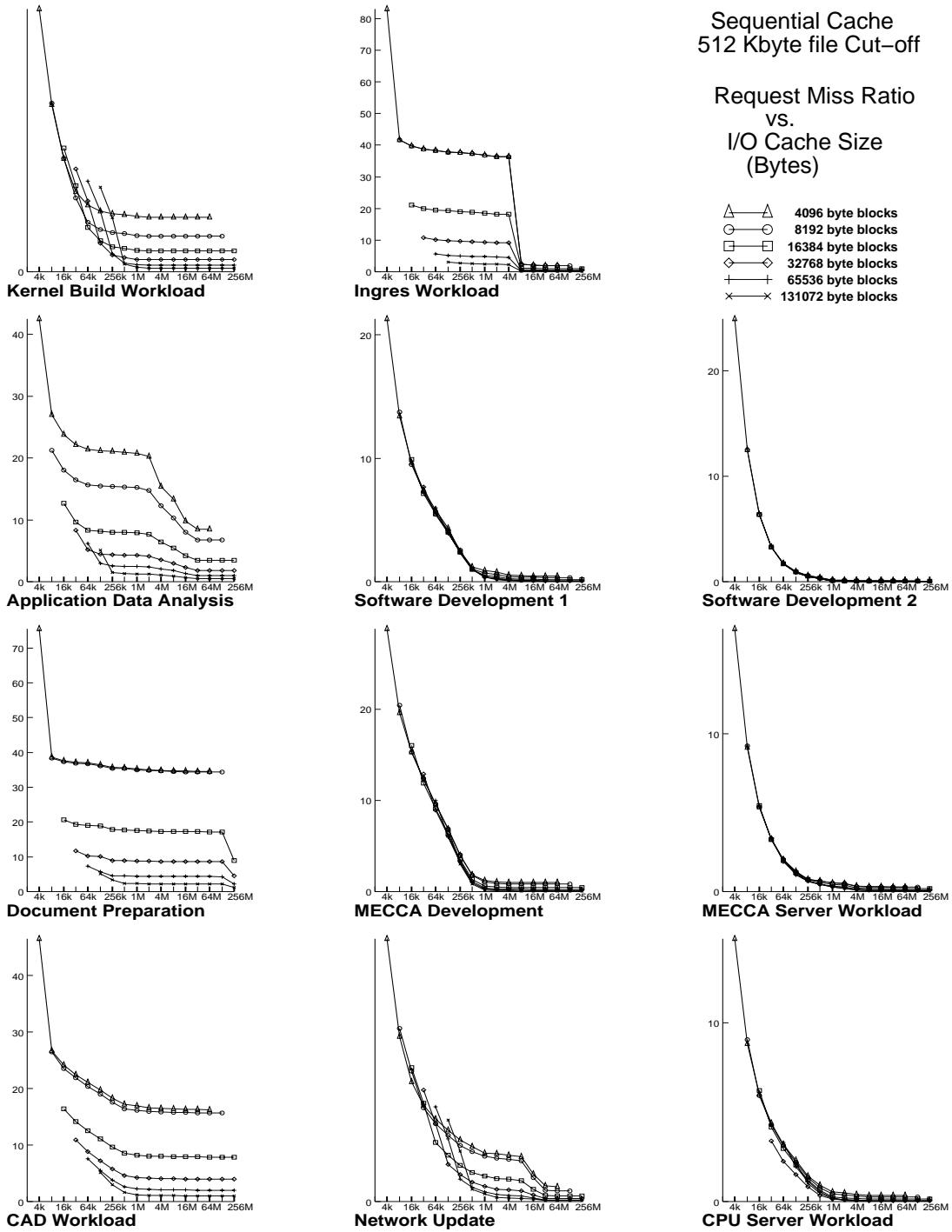


Figure C.7: Sequential Cache Request Misses for a File Cut-off of 512 Kb (rel.)

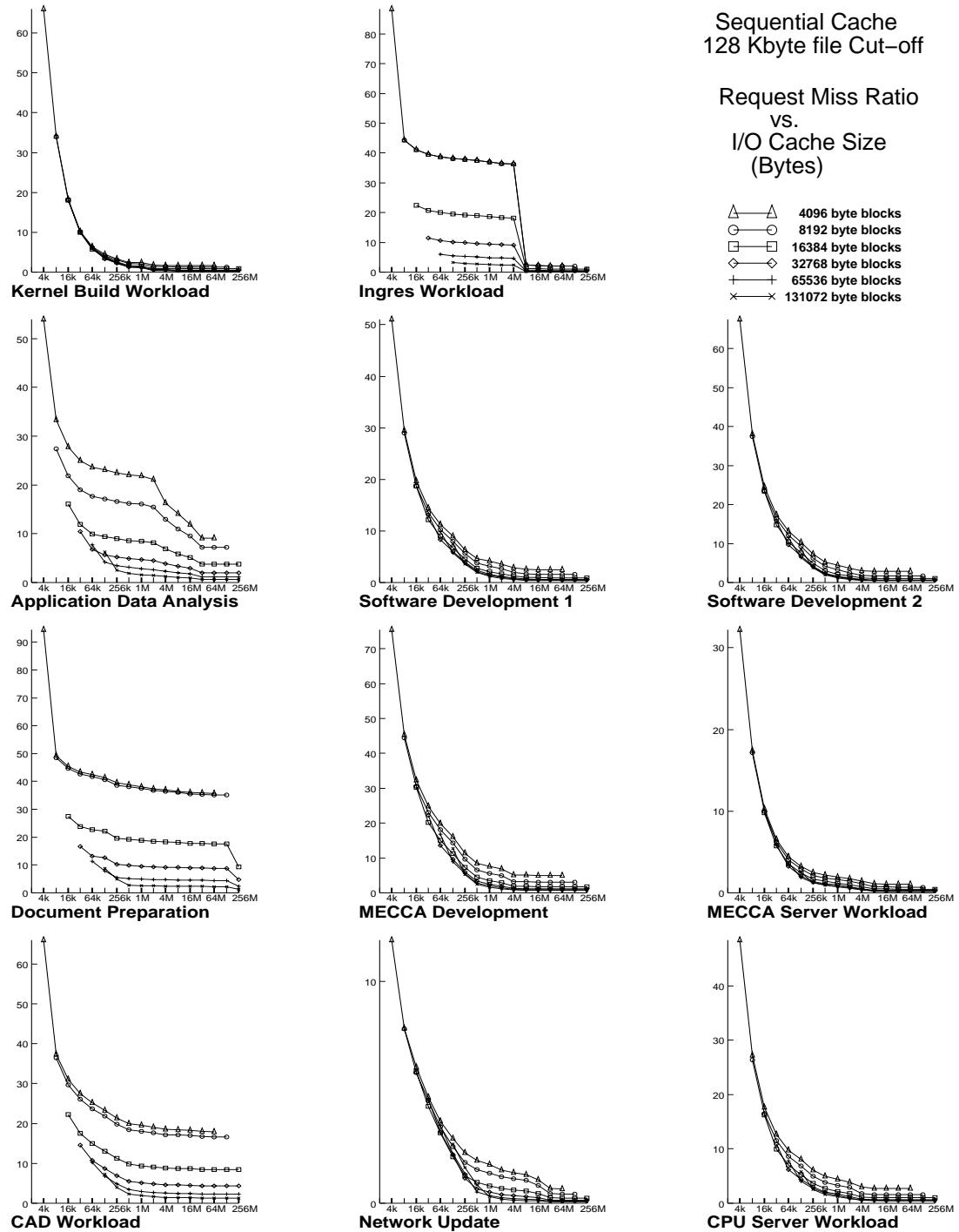


Figure C.8: Sequential Cache Request Misses for a File Cut-off of 128 Kb (rel.)

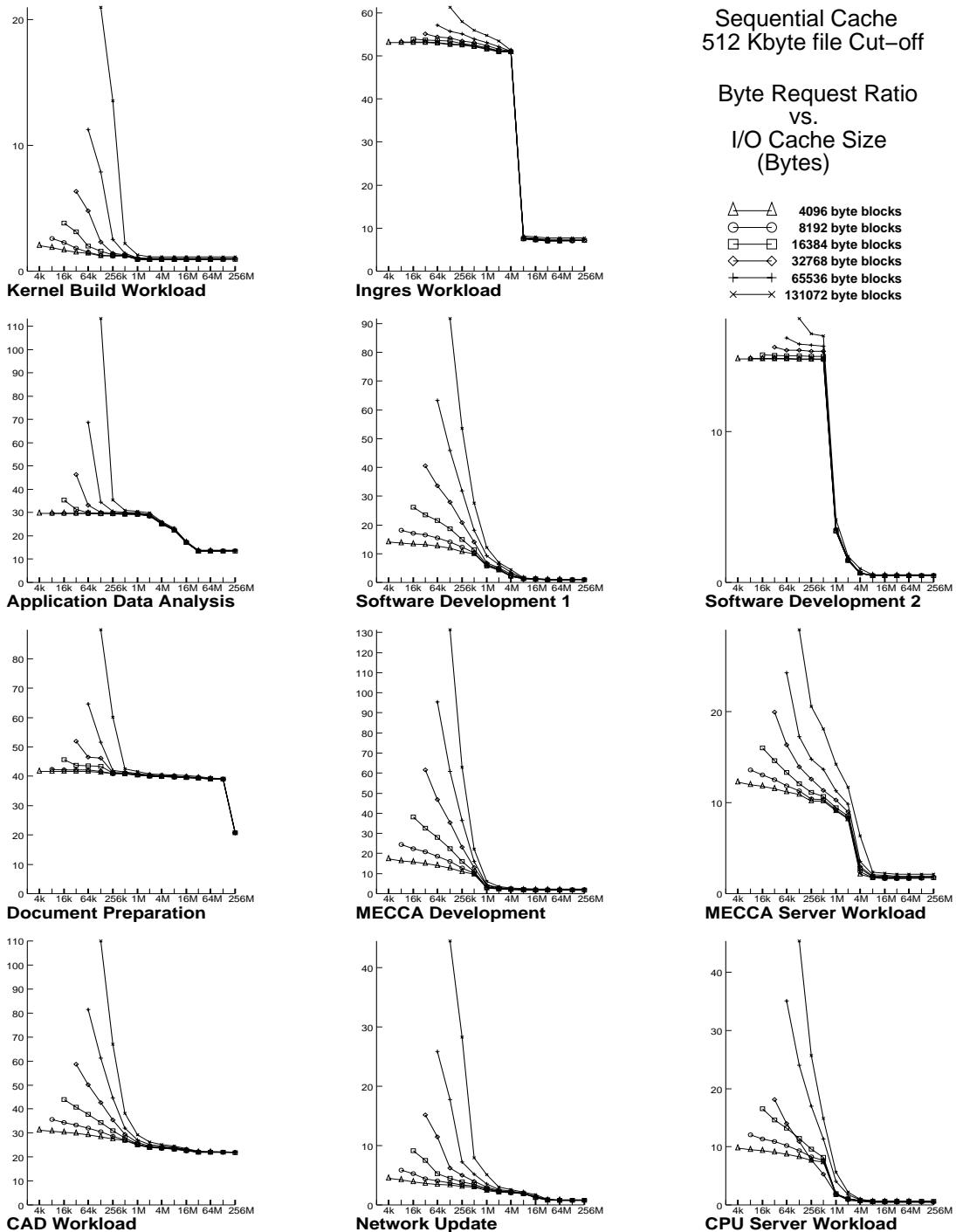


Figure C.9: Sequential Cache Traffic a File Cut-off of 512 Kb (relative)

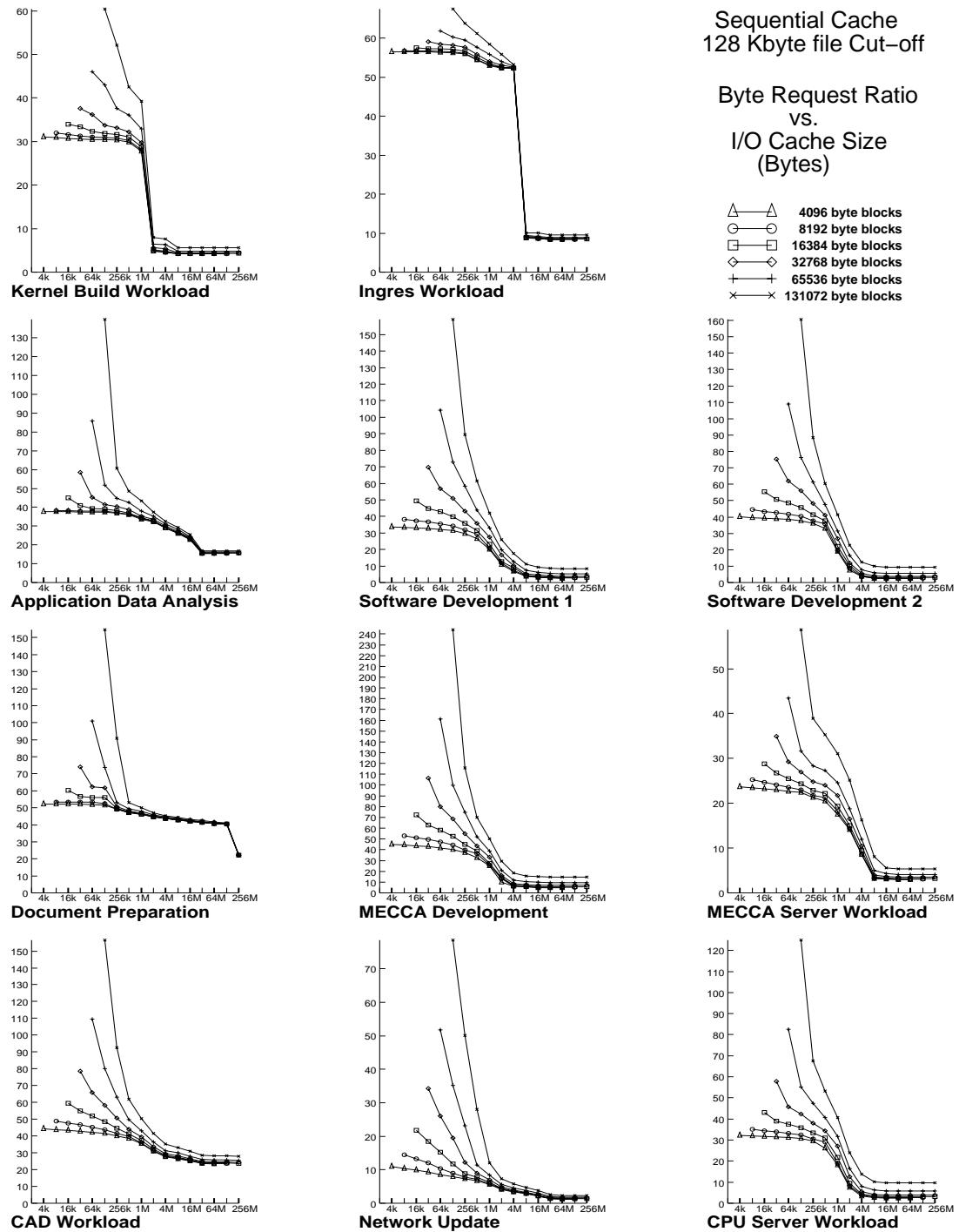


Figure C.10: Sequential Cache Traffic a File Cut-off of 128 Kb (relative)

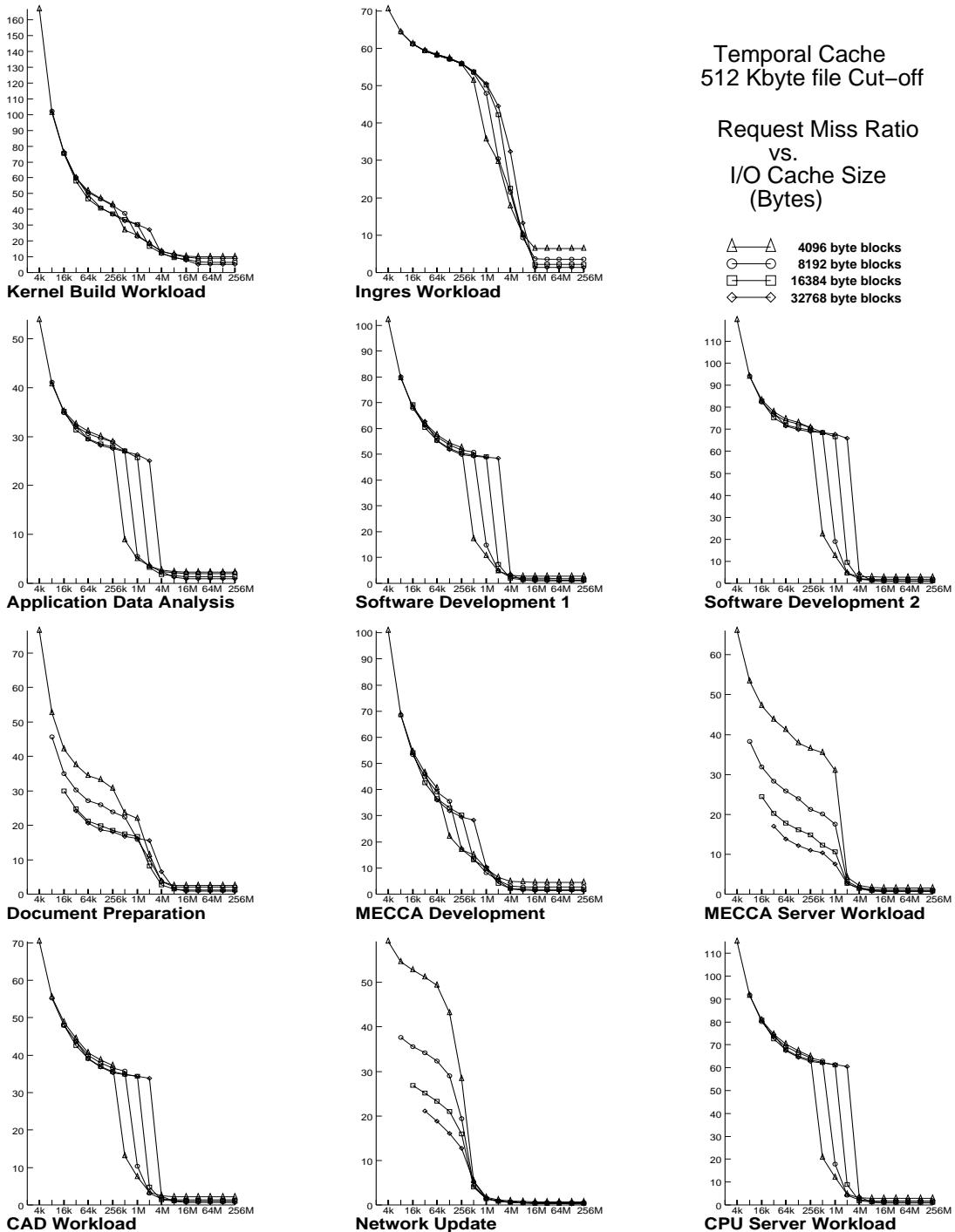


Figure C.11: Temporal Cache Request Misses for a File Cut-off of 512 Kb (relative)

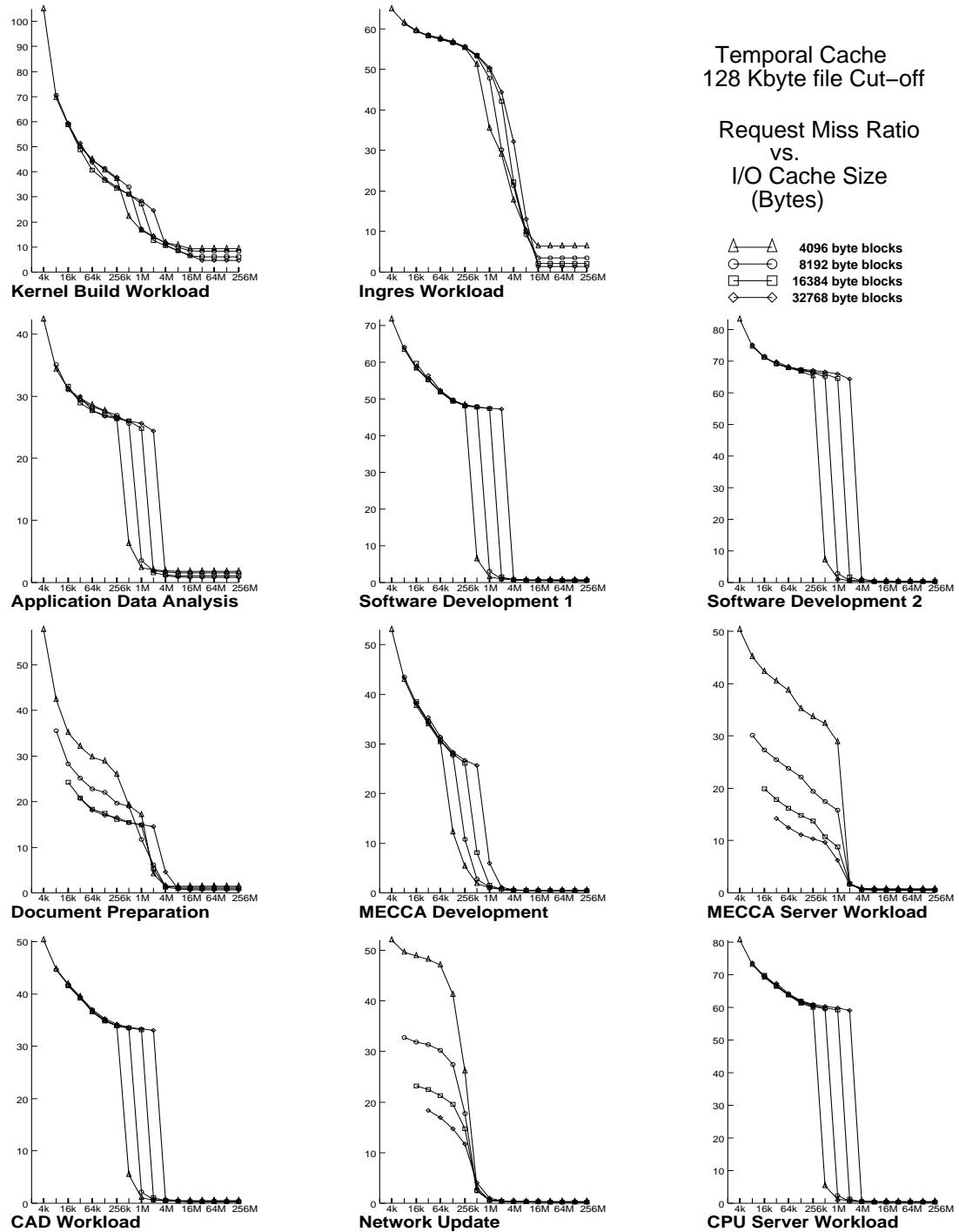


Figure C.12: Temporal Cache Request Misses for a File Cut-off of 128 Kb (relative)

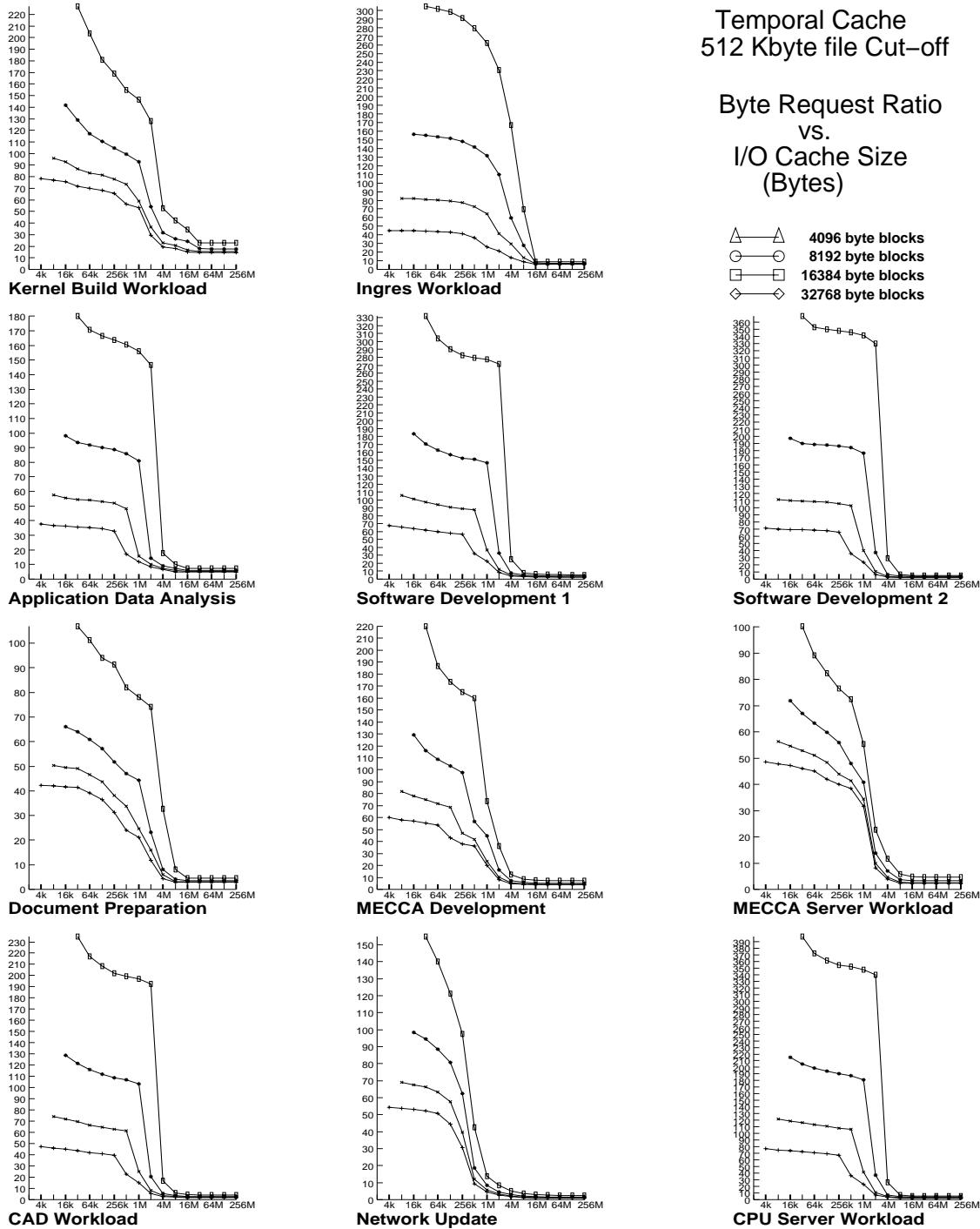


Figure C.13: Temporal Cache Traffic for a file cut-off of 512 Kbytes (relative).

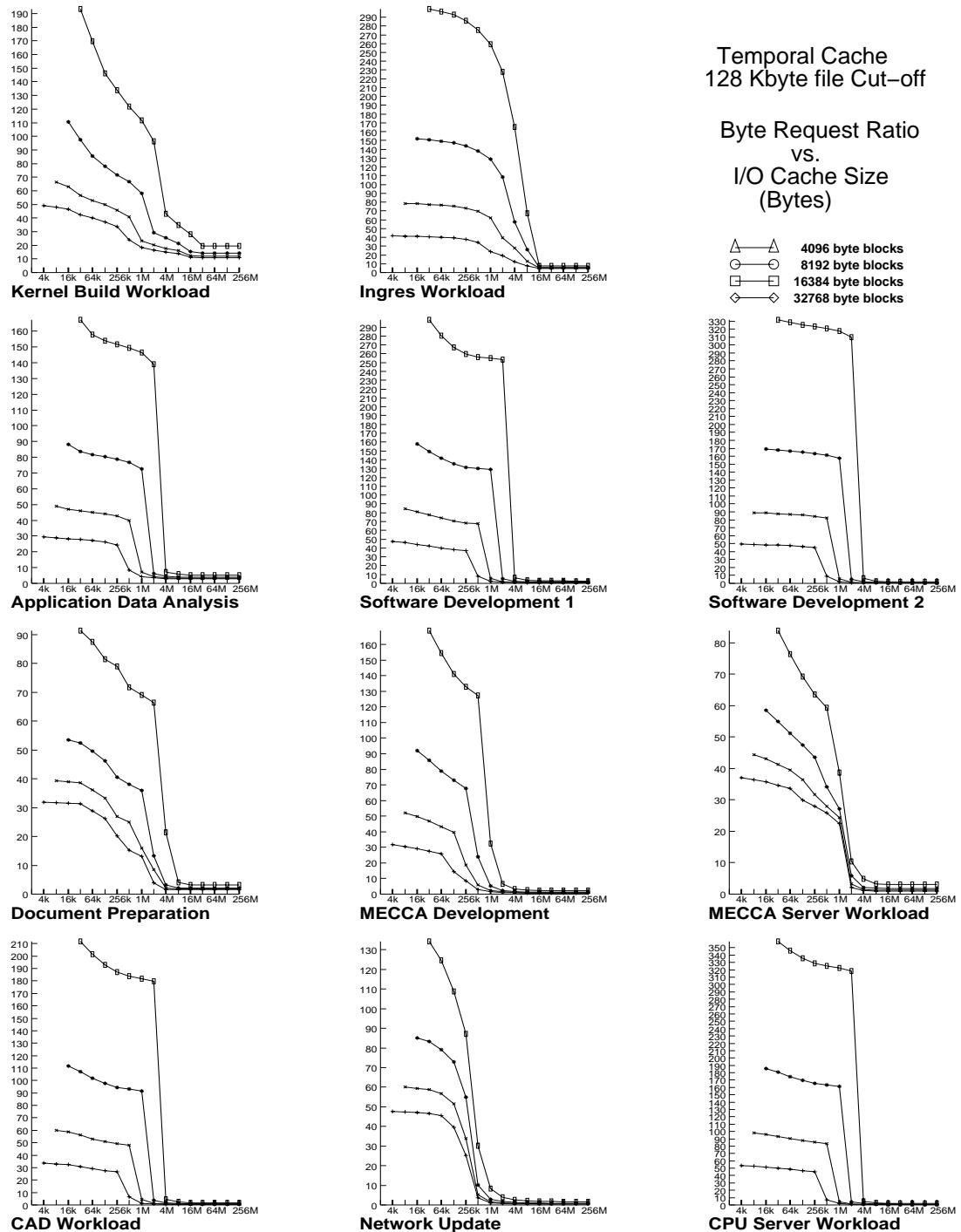


Figure C.14: Temporal Cache Traffic for a File Cut-off of 128 Kbytes (relative)



## Appendix D

# Variable Attribute Cache Data

This appendix presents attribute cache results used to develop an attribute cache scheme that improves the resource utilization of the cache and, in doing so, reduces the read request misses. The scheme was designed based on an evaluation of the overall workloads. It is neither an optimal solution given the cache partition requirement, nor an optimal solution given the set of experiments simulated. Many cache choices depend on the expected workload. The goal was to pick a simple scheme that works well over a broad range of workloads and for many potential disk or network systems.

### D.1 Baseline for Comparison

A Unix style cache will be the baseline comparison for attribute caches. The Unix baseline allocates 32 Kbytes for inodes, and divides the rest of the cache into 4-Kbyte blocks. It is fully associative, and has LRU replacement. The cache allocates writes.

Figure D.1 shows the baseline read request miss ratio for all the workloads. The four sample workloads featured in the remainder of the chapter have solid symbols for highlighting. The data separates naturally into three regions of cache operation. Caches less than 64 or 128 Kbytes have high miss ratios, and increasing the cache size dramatically reduces the read request miss ratio. The region between 128 Kbytes and 8 Mbytes captures the data and executable working sets for most of the workloads. Extending the cache size beyond 8 Mbytes captures very large data sets, like that found in Ingres, and captures reuse of large runs. Each region has unique constraints and workload behavior, and will be evaluated individually. The boundaries between the three regions are not rigid, but for simplicity the regions are defined as follows:

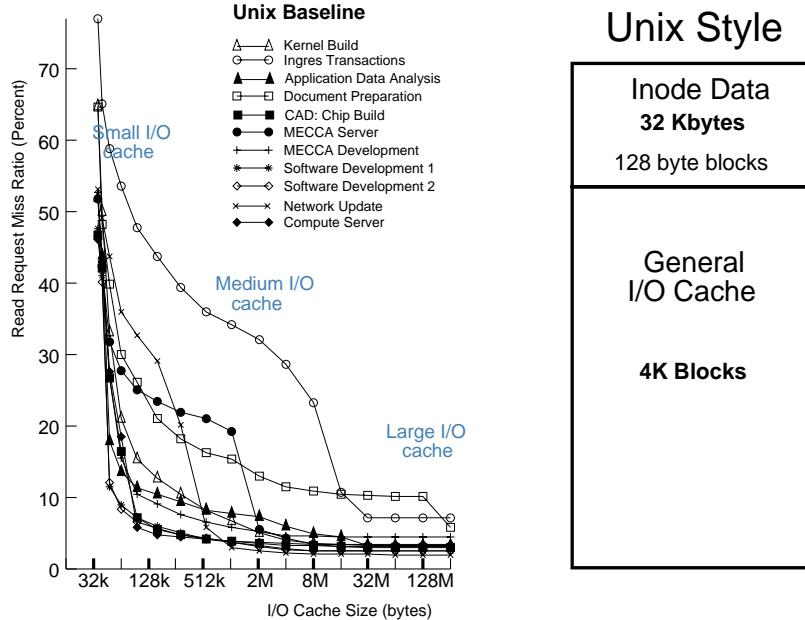


Figure D.1: Unix style cache read request miss ratio.

- Small I/O Cache:** 128 Kbytes or less.
- Medium I/O Cache:** 256 Kbytes to 4 Mbytes.
- Large I/O Cache:** 8 Mbytes or more.

## D.2 Attribute Cache Design Parameters

Fixed-size subcaches allow the designer to evaluate many potential attribute cache configurations. The simulator handles each subcache independently, producing results for a range of cache sizes on each run. A post-simulation phase combines subcache results to determine the total cache read request misses. Figure D.2 shows the cache configurations used to demonstrate the usefulness of attributes and to determine how subcache splits should vary with total cache size. Four separate subcaches are used to construct two-category and three-category attribute caches.

The inode and directory subcache, also called the ID subcache, stores inodes and directories in small 128-byte blocks, packing many objects in a small space. The general I/O subcache is designed to capture both the temporal and sequential locality of non-ID requests. The temporal subcache caches the bulk of the datafile and executable references; its size determines whether the cache can capture the whole workload working set. The sequential cache captures large sequentially accessed objects or large objects with very low expected reuse. All the results shown in this chapter use a 512-Kbyte file cut-off to split file references between the temporal and sequential subcaches.

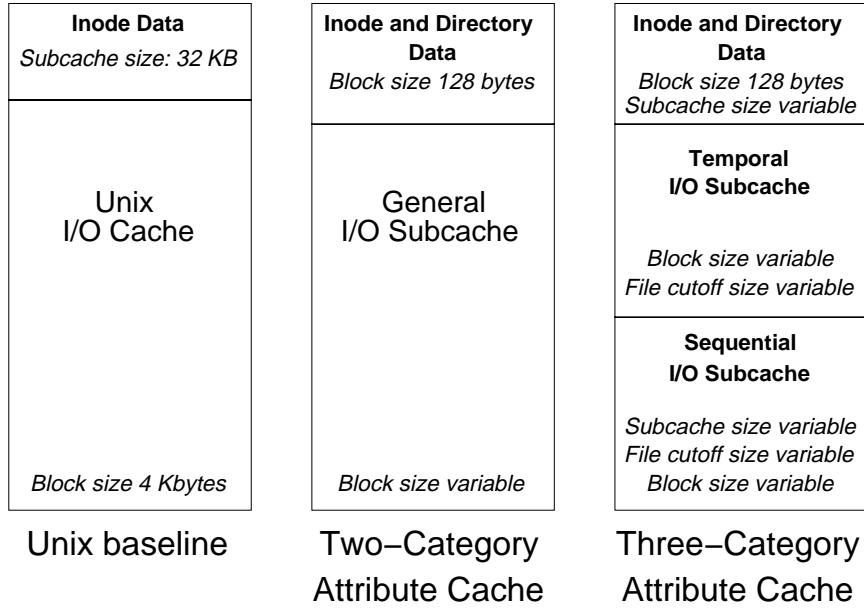


Figure D.2: Logical configurations for attribute I/O caches.

To concisely describe attribute cache organizations requires a notation convention. The important characteristics of each subcache are the cache size, the block size, and the cache category. Each subcache will be described as follows:

Cache\_size/Block\_size Cache Category

A string of subcache definitions describes a complete attribute cache configuration. For example, a two-category attribute cache with a 64K inode and directory cache and a general cache with 8-Kbyte blocks is described as follows:

64K/128 ID, x/8K General

The ID cache is fixed at 64-Kbytes and the General cache size determines the total cache size. A 128 Kbyte I/O cache would have a 64 Kbyte general cache, whereas a 1 Mbyte cache would have a 960 Kbyte (1 MB less 64 Kbyte) general cache. From the size and blocks size it is easy to determine the number of cache blocks. The 64K/128 ID cache has 512 blocks.

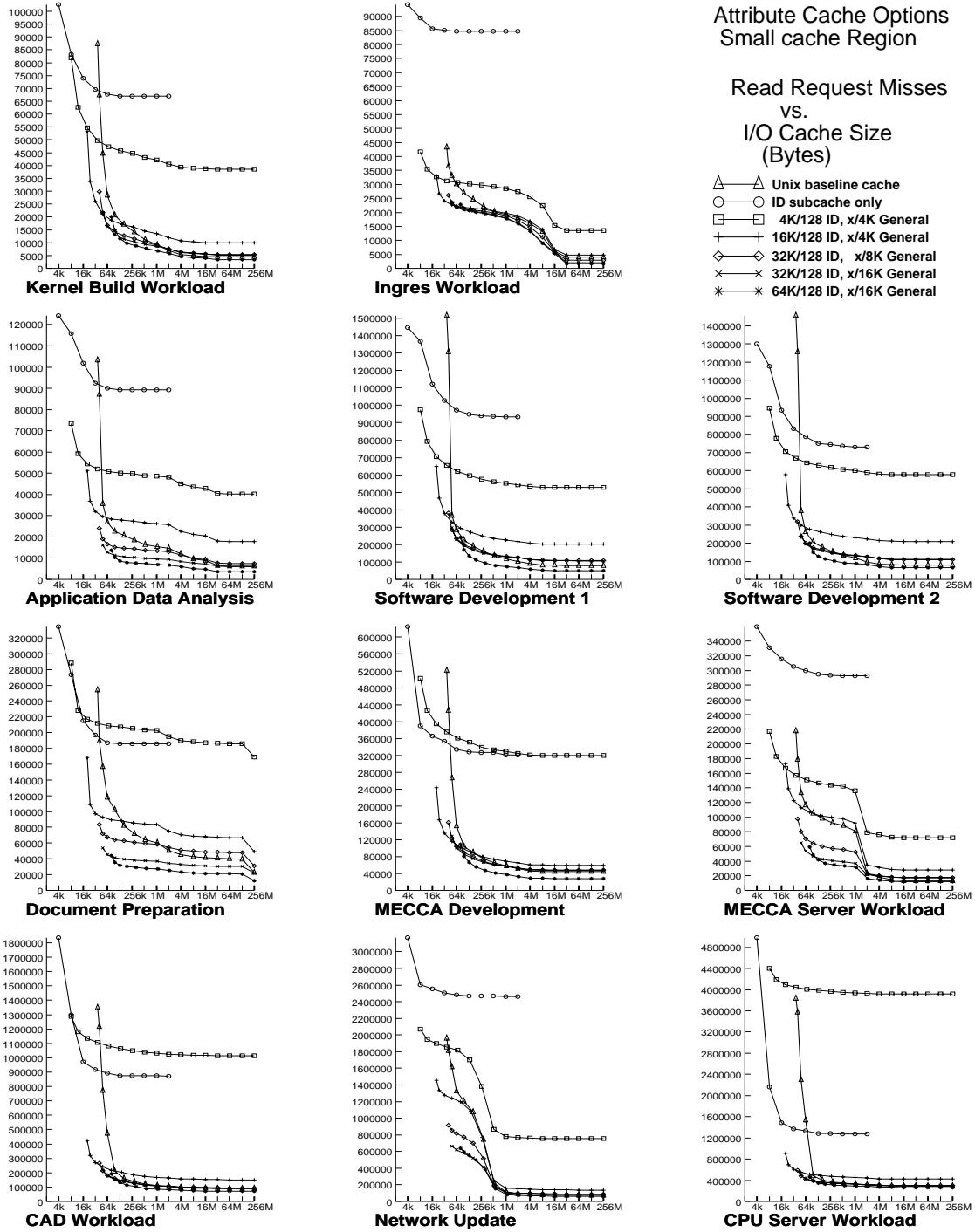


Figure D.3: Attribute cache option for small I/O caches.

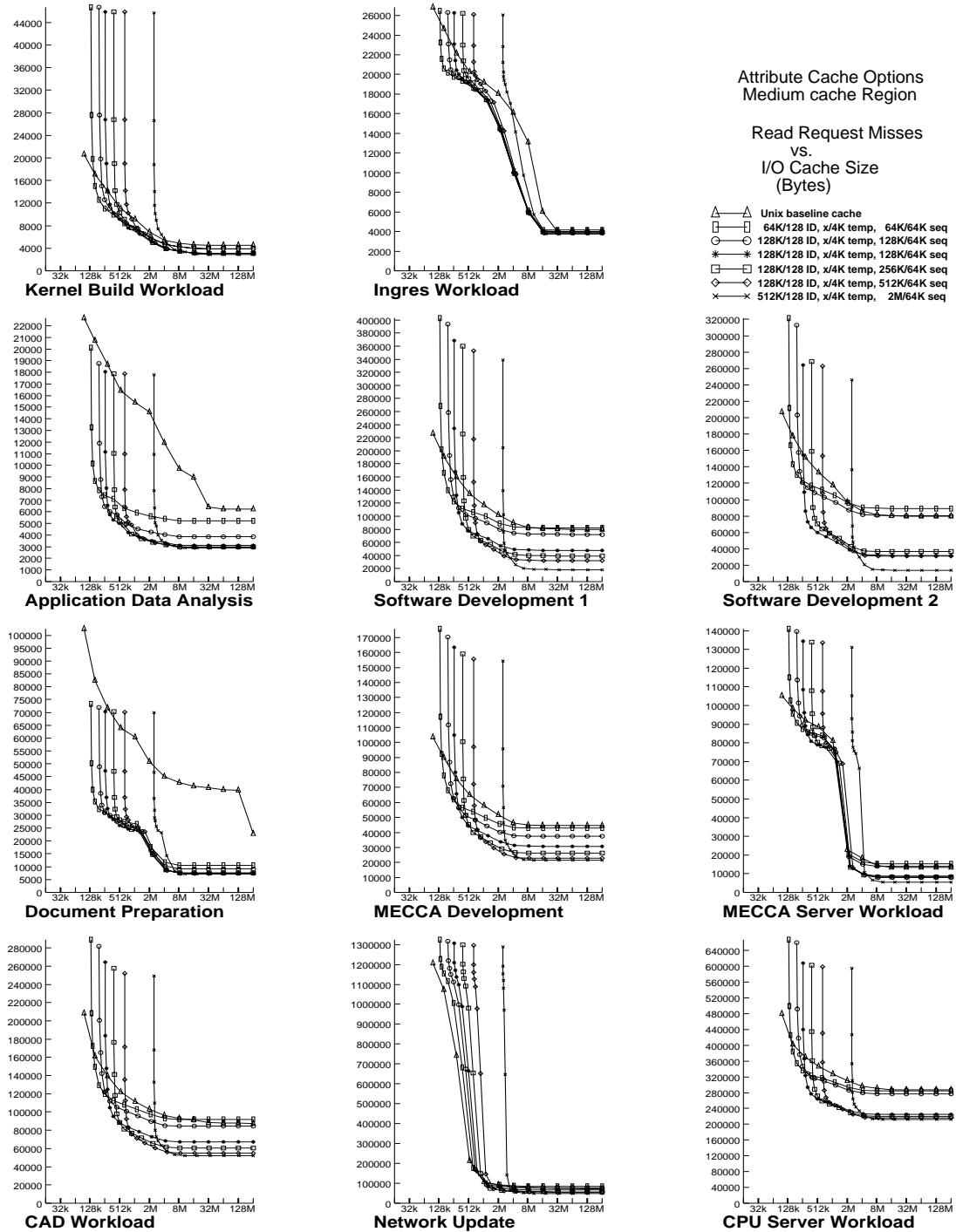


Figure D.4: Attribute cache option for medium I/O caches.

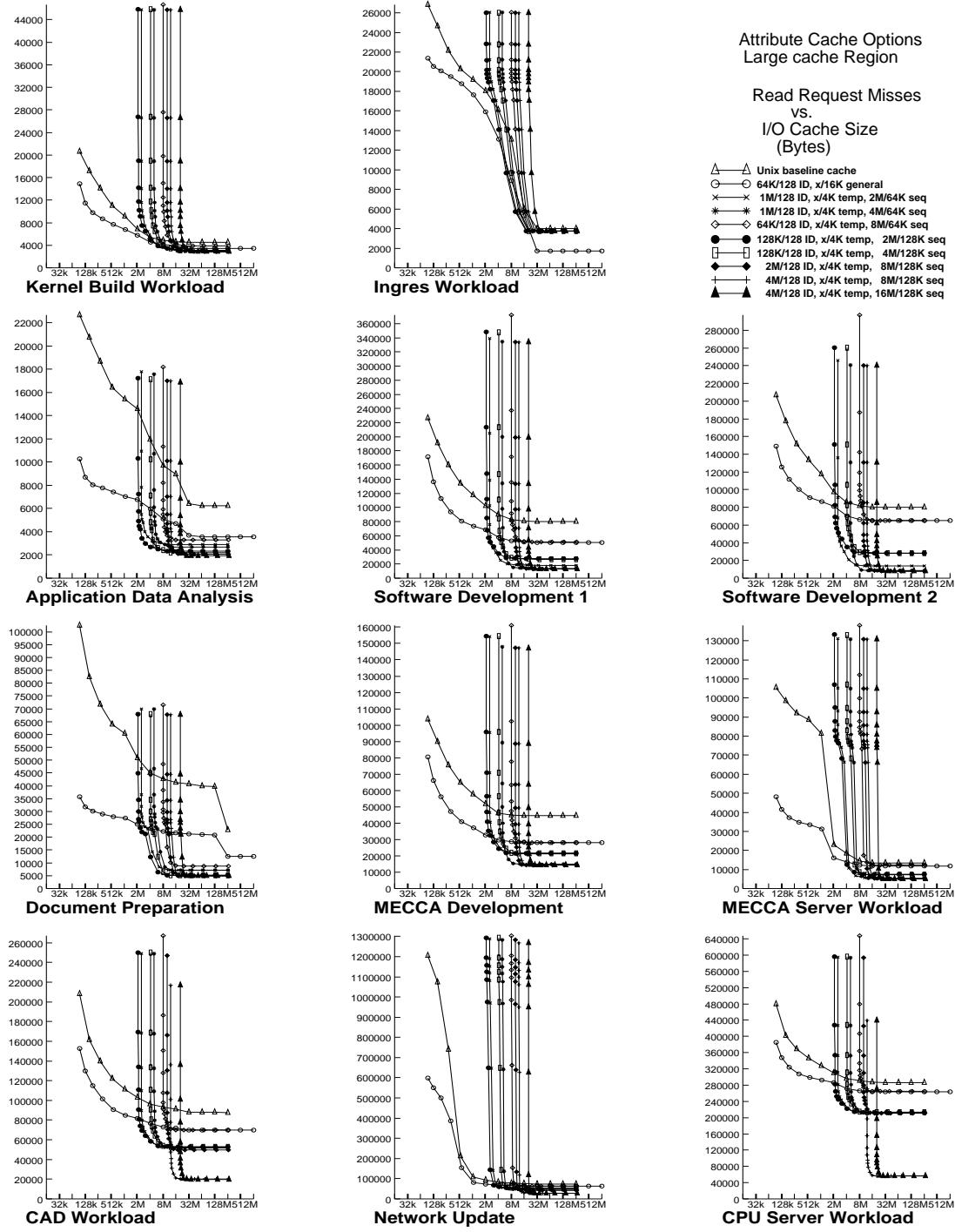


Figure D.5: Attribute cache option for large I/O caches.

## Variable Attribute Cache Results

### Read Request Miss Ratio vs. I/O Cache Size (Bytes)

△ Unix baseline  
○ Variable Attribute Cache

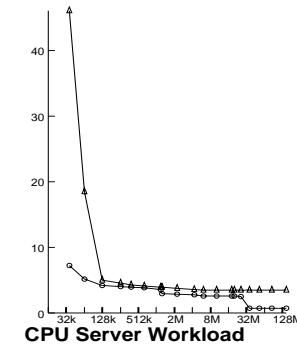
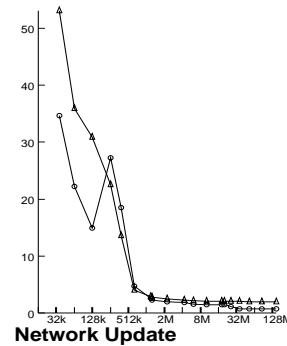
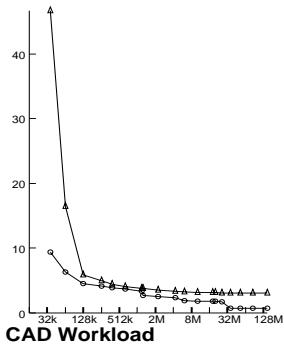
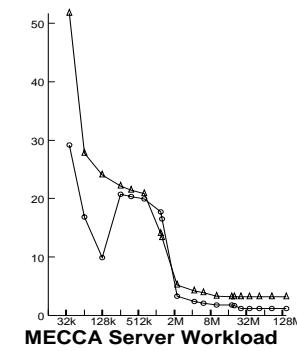
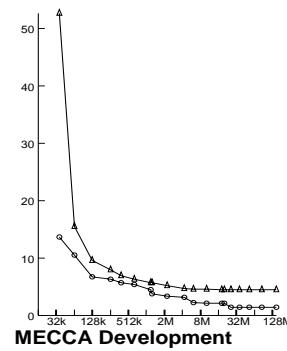
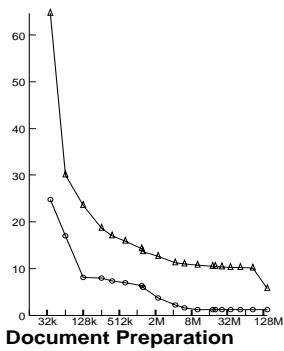
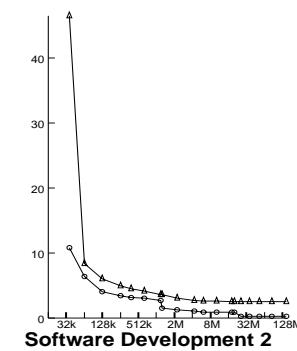
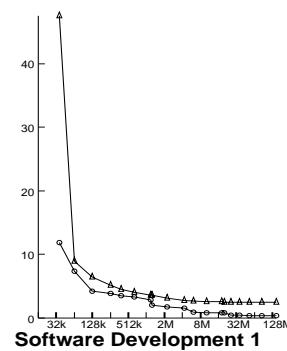
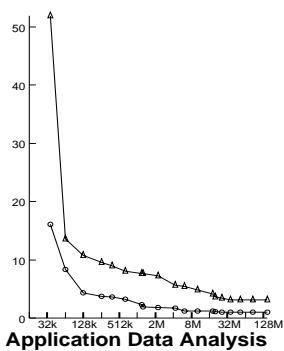
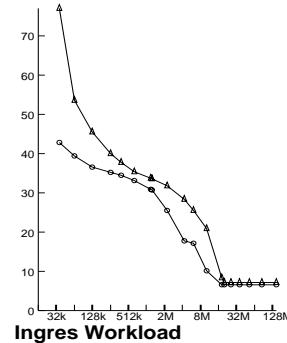
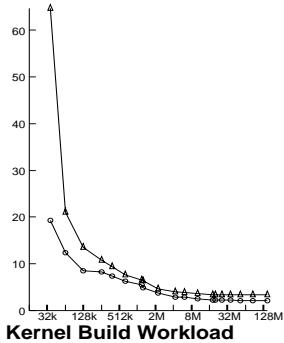


Figure D.6: Variable attribute cache read request miss ratio.

## Variable Attribute Cache Results

Read Byte Transfer Ratio  
vs.  
I/O Cache Size (Bytes)

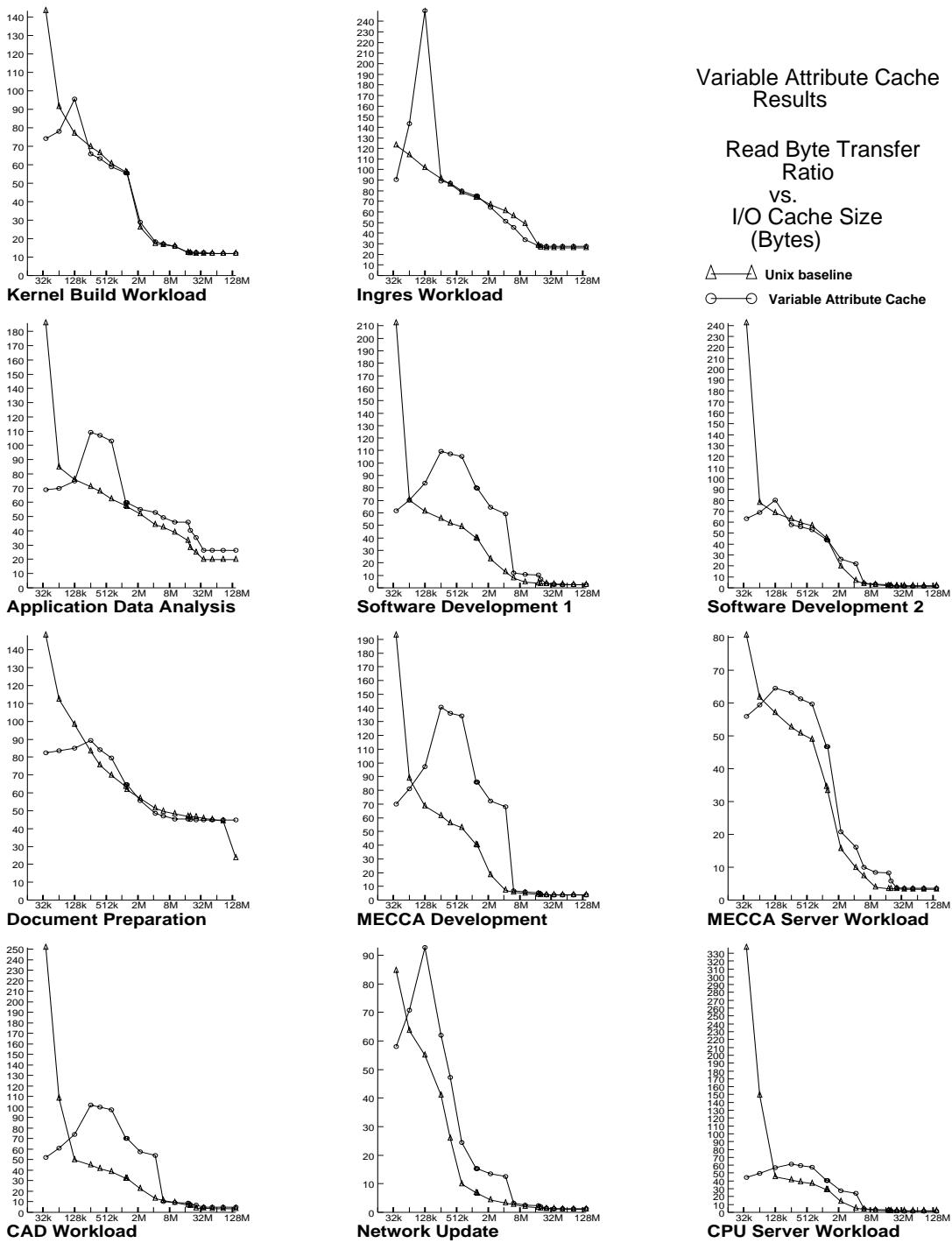


Figure D.7: Variable attribute cache read byte transfer ratio.

### Variable Attribute Cache Results

Write Expulsion Ratio  
vs.  
I/O Cache Size  
(Bytes)

$\triangle$  Unix baseline  
 $\circ$  Variable Attribute Cache

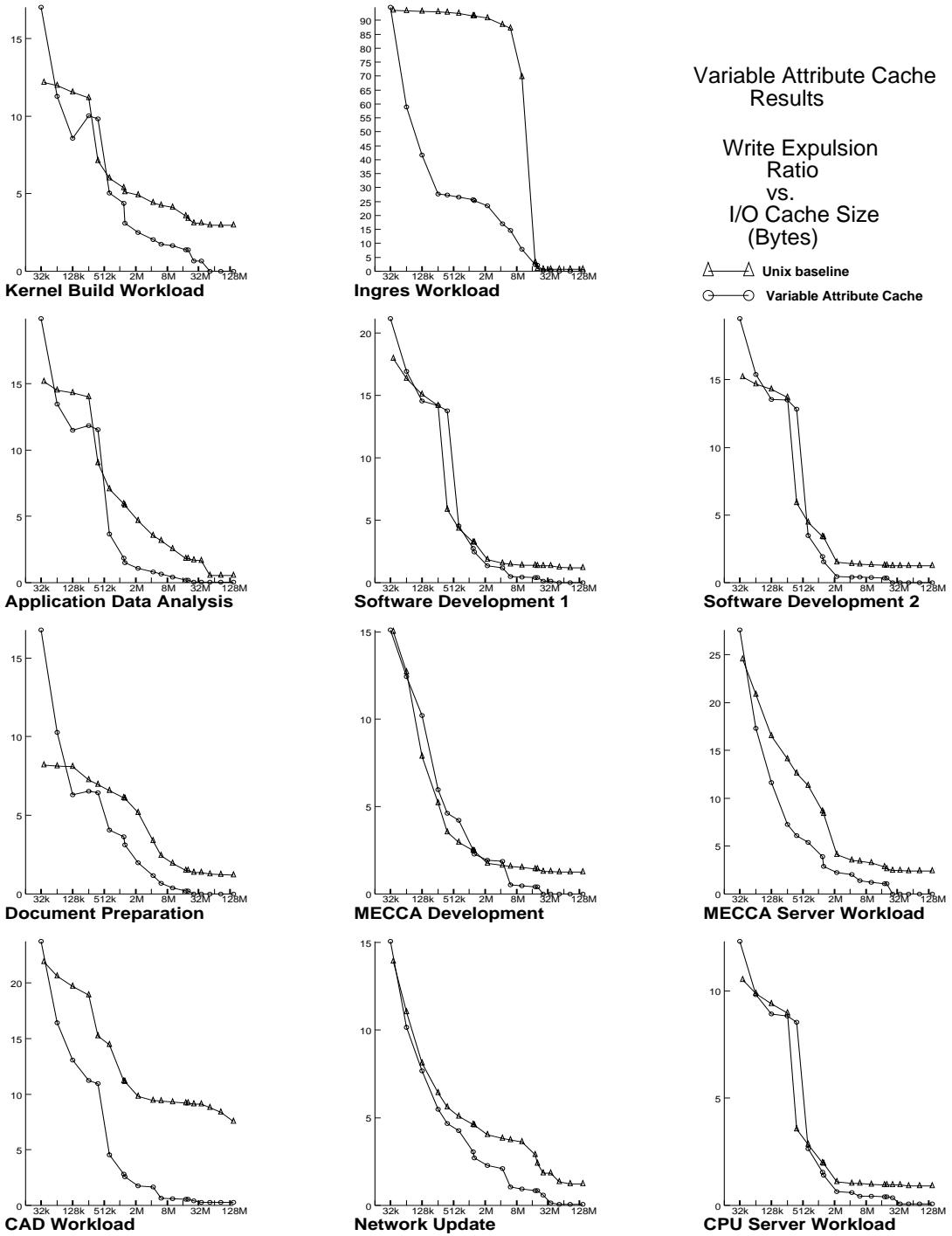


Figure D.8: Variable attribute cache write expulsion ratio.

## Variable Attribute Cache Results

### Write Byte Transfer Ratio vs. I/O Cache Size (Bytes)

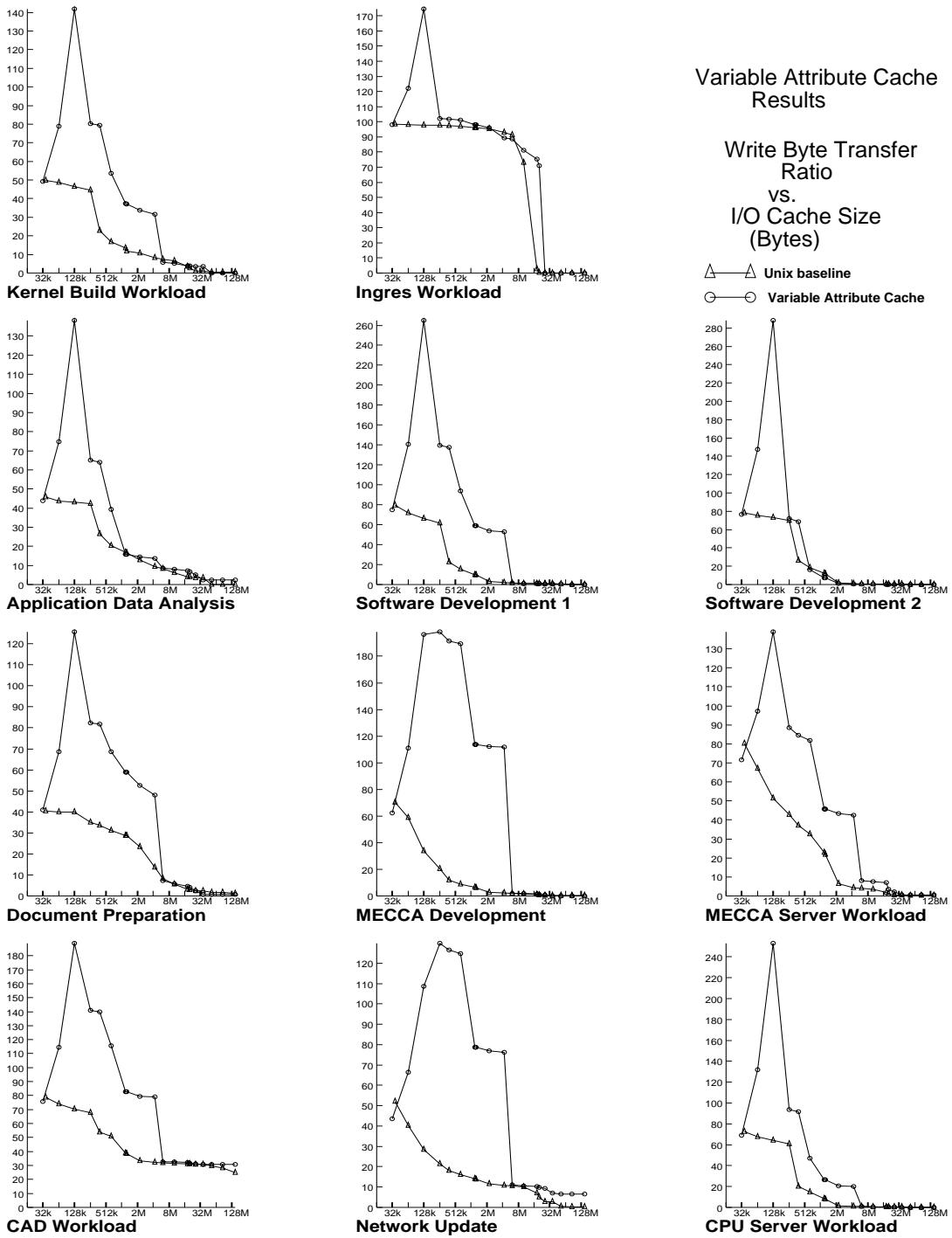


Figure D.9: Variable attribute cache write byte transfer ratio.

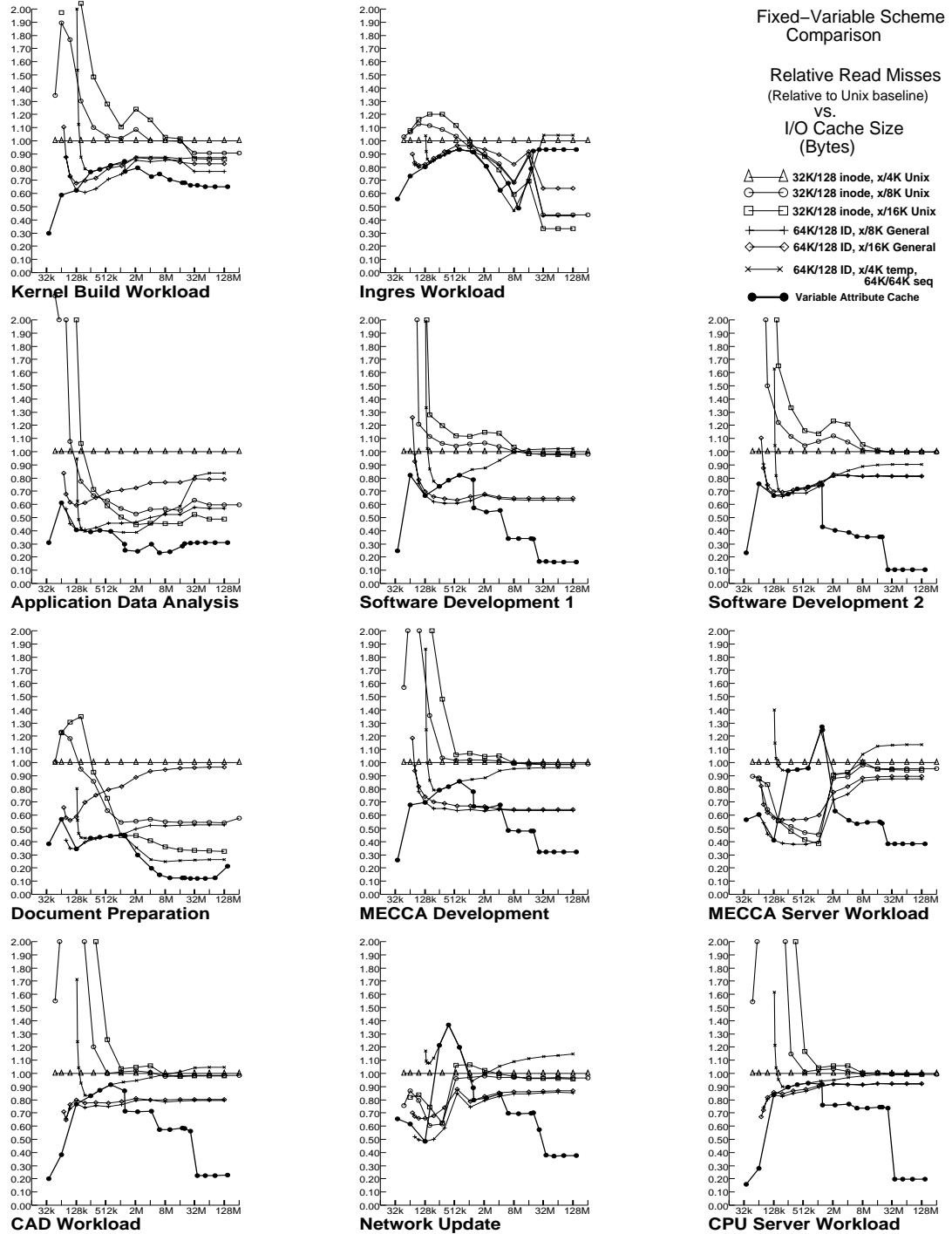


Figure D.10: Comparison between fixed schemes and the variable attribute cache.

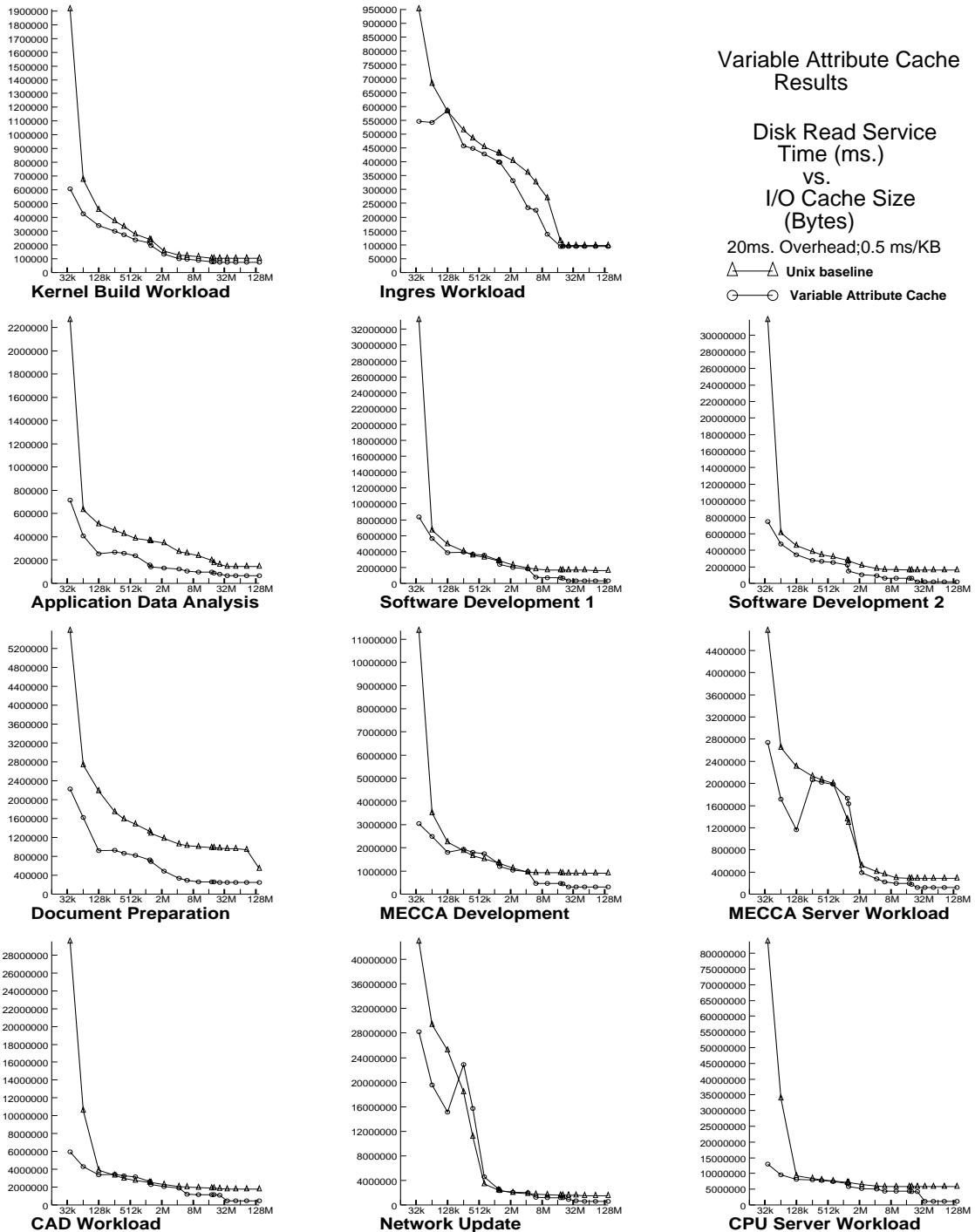


Figure D.11: Read service time.

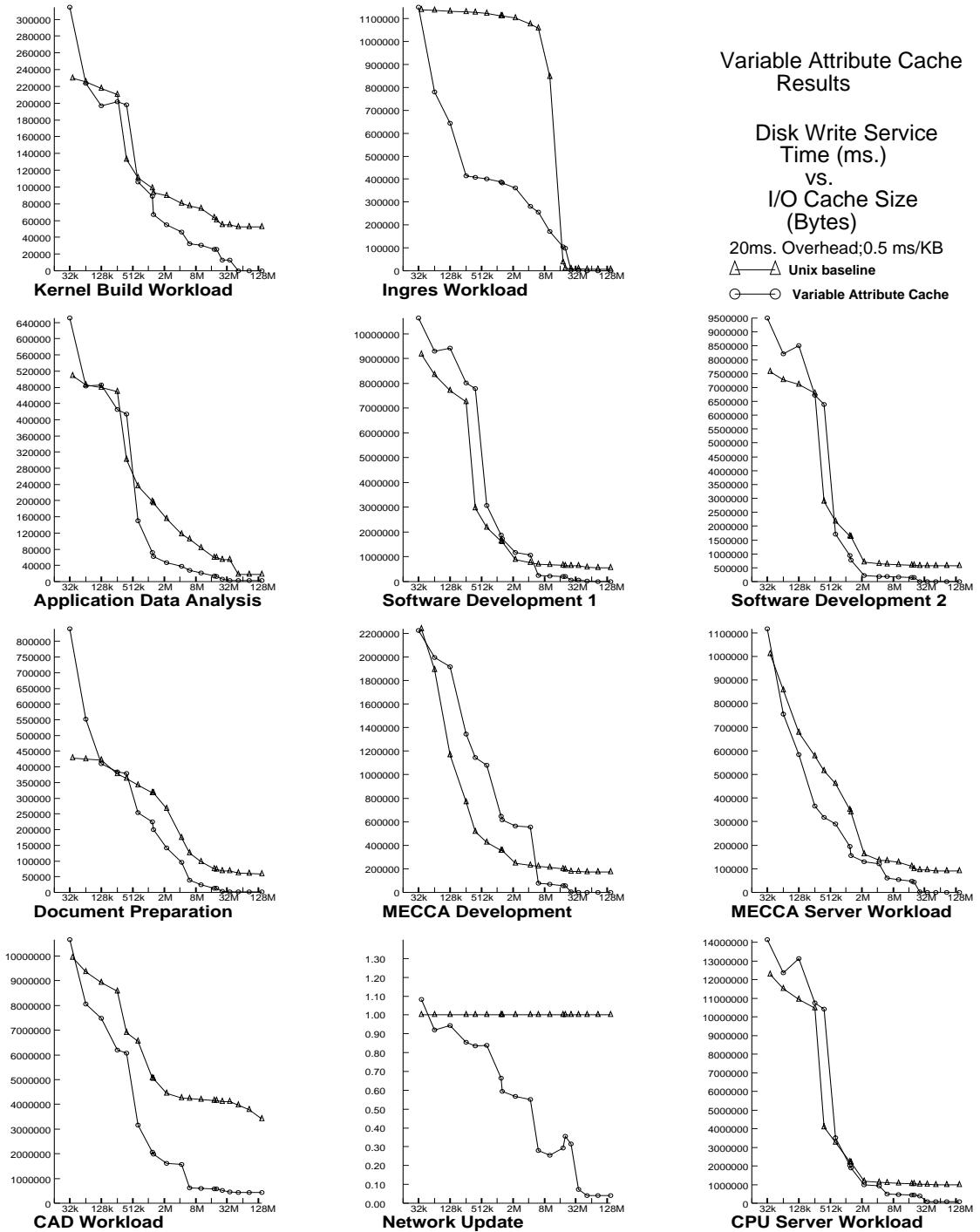


Figure D.12: Write service time.

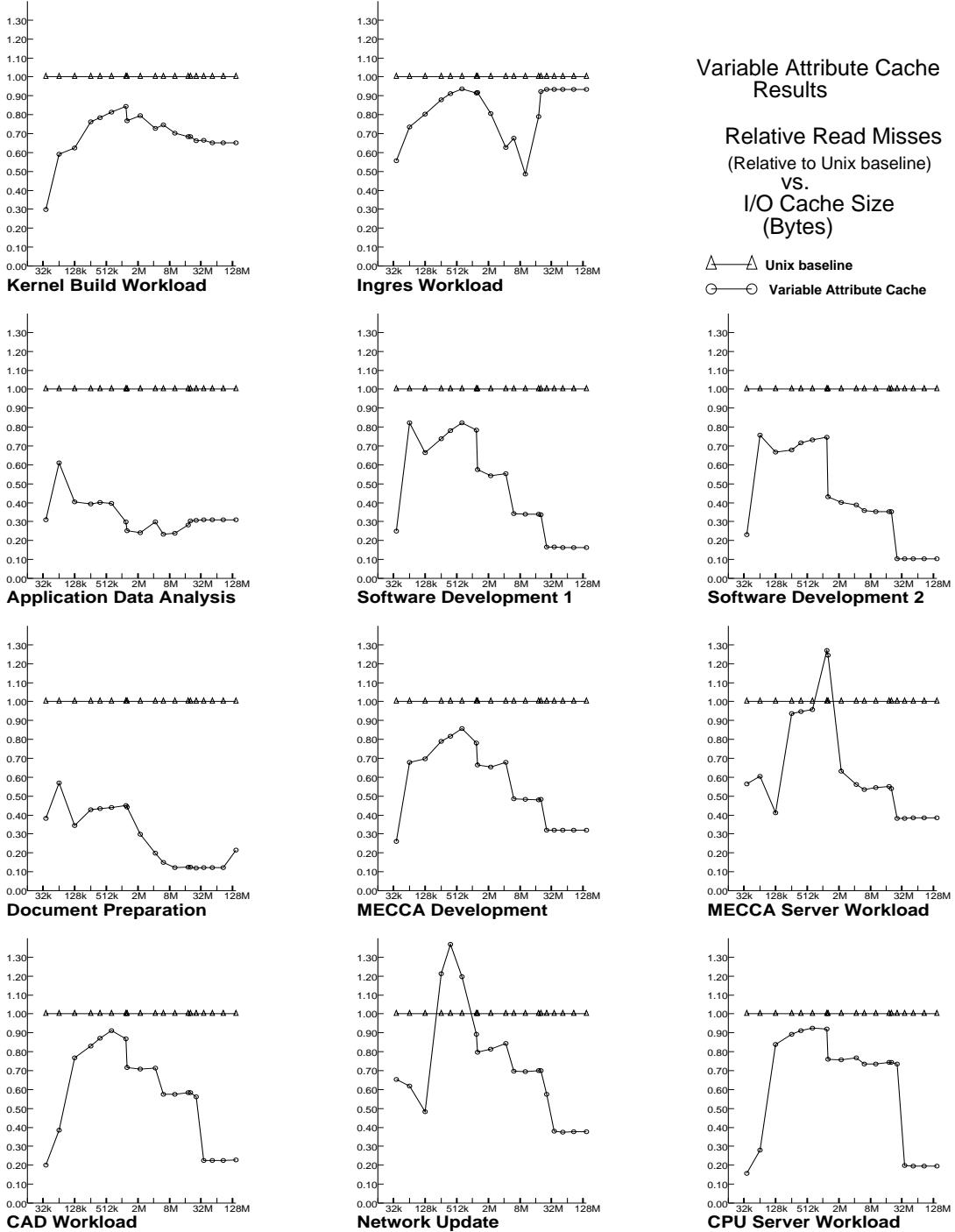


Figure D.13: Relative read requests misses.

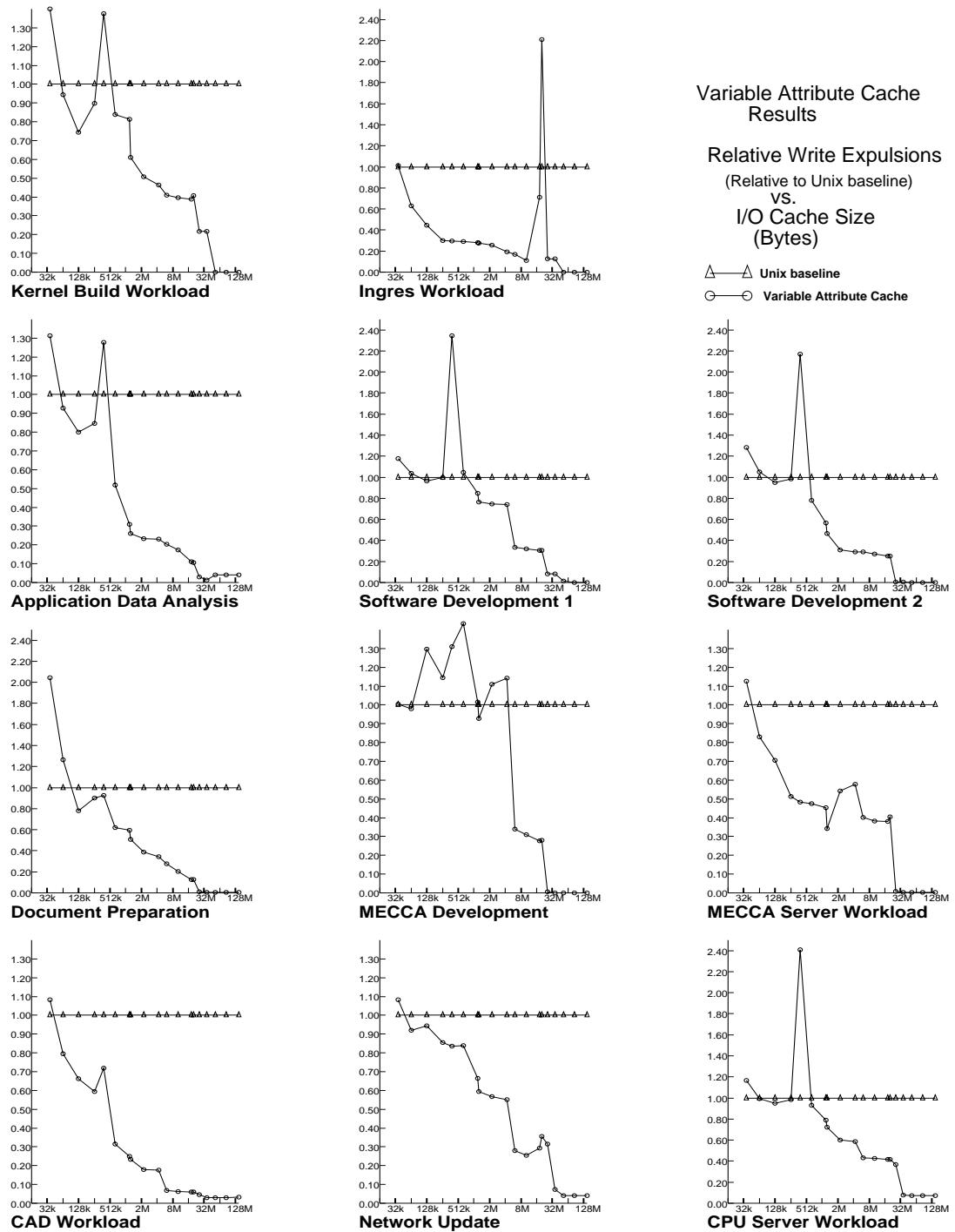


Figure D.14: Relative write expulsions.

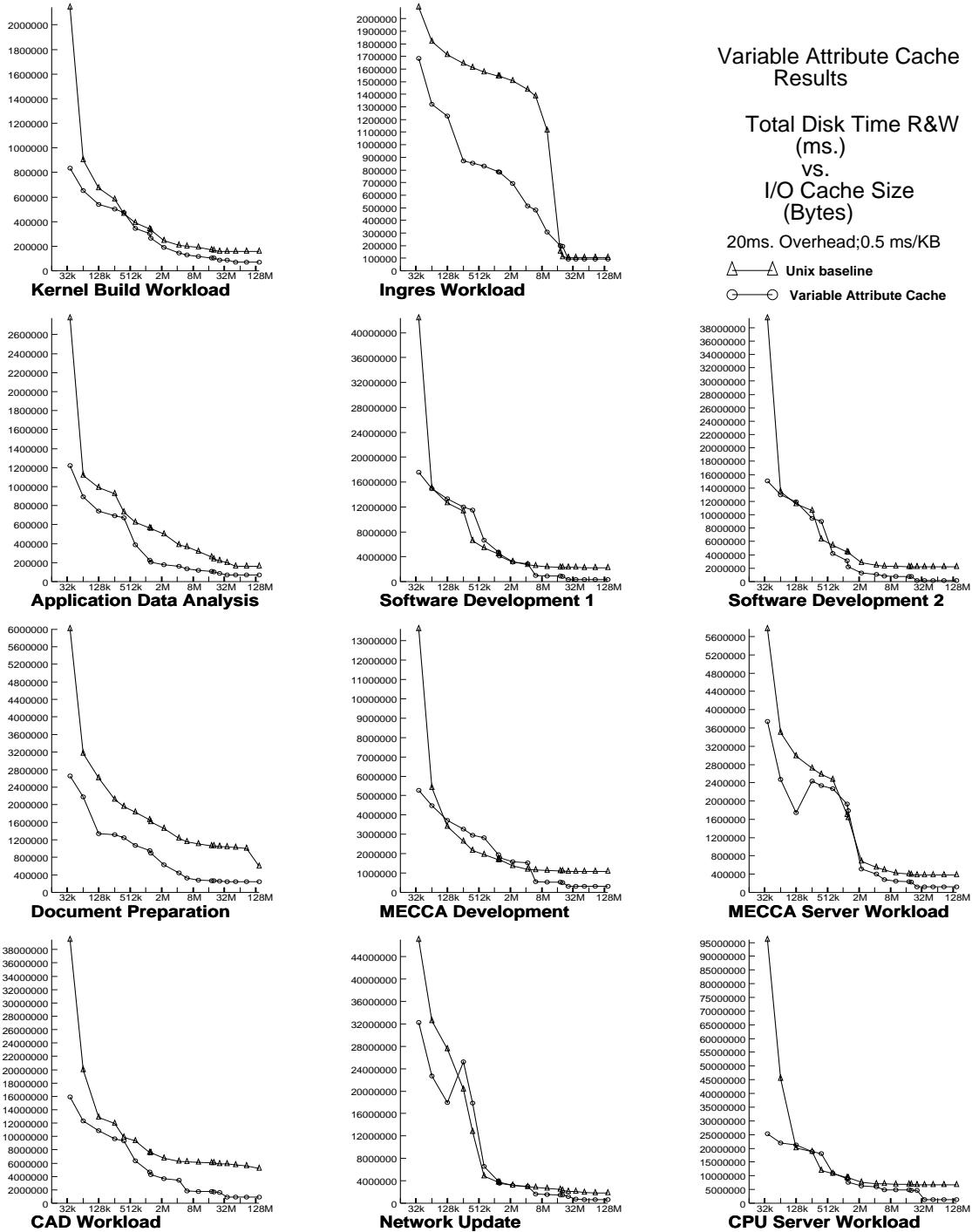


Figure D.15: Total disk service time.

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